#### **SUPPLEMENTARY MATERIAL**

### **METHODS**

We established a systematic review protocol according to the methodological guidance provided by the Cochrane Collaboration<sup>1</sup> and have reported the findings according to the PRISMA statement.<sup>2</sup>

With the main objective to assess the efficacy of new oral anticoagulants, this systematic review addressed the following clinical question: What is the comparative effectiveness of new oral anticoagulants vs vitamin K antagonists in terms of a reduction in the risk of stroke or bleeding in patients with nonvalvular atrial fibrillation?

### **Inclusion Criteria**

To respond to the clinical question of the review, we considered eligible studies with the following criteria (studies should meet all of them):

- Participants: patients diagnosed with nonvalvular atrial fibrillation (NVAF) with or without a previous stroke;
- Interventions: direct oral anticoagulants (DOACs: apixaban, dabigatran, and rivaroxaban; any dose);
- Control: vitamin K antagonists (VKAs), focusing on warfarin;
- Outcomes: the primary outcome for effectiveness was ischemic stroke. Major and intracranial bleeding were considered the primary safety outcomes. The secondary outcomes of interest were gastrointestinal and fatal bleeding. Additionally, we also considered a composite end point of stroke/systemic embolism. Because all studies reported time-to-event outcomes, in order to be included in the meta-analysis, studies had to not only provide rates, but also effect measures (hazard ratios [HRs]). Otherwise, studies were excluded;
- Study design: we limited the inclusion to observational studies (either prospective or retrospective) reporting on any of the above outcomes from routinely collected health data. To be

included, studies had to use national or regional registries or registries covering a large population across multiple sites. Single-center studies using local registries were excluded unless they had more than 1000 patients. For studies that used the same registry and were performed in the same (or very similar) period, the most complete publication was selected, discarding the rest in order to avoid including the same patients in duplicate in the meta-analysis. Only when it was perceived that the degree of overlap between studies was low were all publications included.

### **Study Identification**

• To retrieve the studies of interest for the review, MEDLINE (through PubMed) and EMBASE (through Ovid) were searched up to March 2017. Search algorithms (Table 1 of the supplementary material) were designed that were adapted to the requirements of each database; these algorithms included a combination of controlled vocabulary search terms and filters to retrieve clinical trials and cohort studies. The bibliography sections of eligible studies were also searched for additional studies.

#### **Data Extraction**

- One reviewer extracted data to describe the included studies according to the following variables: reference, objective, country, design, data source, time period, DOAC, control, outcomes, outcome definitions, population (eligibility), population (study sample), population (baseline participant characteristics), and analysis.
- All of the data obtained in this step are included in tables showing the characteristics of the
  included studies. In addition, 1 researcher extracted data on the effects estimates for the
  outcomes of interest reported in the included studies, and a second reviewer checked the data
  extraction for accuracy.

#### **Risk of Bias Assessment**

- We assessed the risk of bias of included studies and judged the bias across outcomes of interest. We used the ROBINS-I tool to assess risk of bias because it was specifically designed to assess nonrandomized studies when they are used to measure the impact of interventions<sup>3</sup> (Table 2 of the supplementary material).
- The assessment of threats of validity for the study designs included in the review is a complex task because studies based on routine collected health data do not fit the classical observational design and do not typically collect data with a specific research question,<sup>4</sup> complicating the appraisal of some domains.
- For each study, we assessed confounding, selection bias, bias in measurement interventions, bias due to deviations from intended interventions, bias due to missing data, bias in outcome assessment, and bias in the selection of the reported results. We adapted the original ROBINS-I tool to fit the design of the included studies and their specificities.
- We established some questions to assess the different biases of interest and appraised each included study. We appraised the different domains according to the main outcome of interest in the included studies. Each domain was classified as having low, moderate, or serious risk of bias and we made a final assessment for each study according to the bias across domains. We considered a study to be at (1) low risk of bias if all of the domains were assessed as low risk; (2) moderate risk if all of the domains were assessed as low or moderate risk; and (3) serious risk if the study was considered to be at serious risk in at least 1 domain.

# **Data Analysis**

Timepoints and Effect Measures

 Most studies presented results up to 1 year, with only a few reporting results from longer follow-up periods (2 years or more). The timepoint chosen for the main comparison was 1 year, with secondary analyses defined for longer follow-up results.

- The effect measures were HRs and their corresponding 95% confidence intervals. In all cases, the data extracted were adjusted by the HR reported in the main analyses of the original papers or, exceptionally, by the HR obtained with the most complete adjustment model.
- When available, the data reported in the main analysis corresponds to the most general population: all doses (standard and reduced), all participants (switch and naïve), all ages, and all purposes (primary and secondary prevention). Whenever a study presented only disaggregated data for 1 or more of these subgroups, the most complete nonoverlapping data were used for the main analysis. Whenever a study presented data for only some level of the subgroups (ie, only including naïve participants), these data were included in the main analysis as well as in the corresponding subgroup analysis.

## **Data Synthesis**

- The main comparison of interest was DOACs vs control, presenting results disaggregated by type of DOAC. The control was warfarin but could also be other VKAs. Other main comparisons of interest were head-to-head comparisons between the different DOACs. However, the meta-analysis was only meaningful for the rivaroxaban vs dabigatran comparison because the included studies presented few data for the other head-to-head comparisons. Thus, there are only 2 main comparisons.
- Pooled estimates of effect for the main comparisons (DOACs vs control, and rivaroxaban vs dabigatran) were computed with a random-effects model applying the inverse-variance meta-analysis method. Meta-analyses were conducted for all primary and secondary outcomes assessed at 1 year.
- For secondary analyses (subgroup analyses, sensitivity analyses, and analysis at 2 years), only the primary outcomes of stroke, major bleeding, and intracranial bleeding were analyzed.

• All meta-analyses were stratified by DOACs and included a pooled category with the trials that presented aggregated data for all DOAC. Because most trials provided data for different categories of DOACs, no total was computed for any meta-analysis.

# **Heterogeneity Assessment**

• All of the included studies were observational real-life studies and all of them implemented some kind of procedure to adjust for differences between the cohort of participants taking warfarin, apixaban, dabigatran, or rivaroxaban. The procedures implemented varied across studies (ie, propensity scores or adjusted Cox models), and the number and type of factors adjusted for varied considerably. For these reasons, large clinical heterogeneity was expected in all of the analyses.

• Between-study heterogeneity was assessed through the  $I^2$  statistic, which can take a range of values from 0% (meaning all observed variability in results can be explained by random variation) to 100% (none of the observed variability in results can be explained by random variation). Cutoff values were defined for the  $I^2$  to help in the interpretation of results: values lower than 20% were considered to correspond to unimportant heterogeneity; values between 21% and 65% were considered moderate heterogeneity; and  $I^2$  values over 65% were considered to be highly heterogeneous.

# **Subgroup and Sensitivity Analyses**

- Several secondary analyses were conducted. First of all, secondary analyses were conducted for each of the planned subgroups (naïve and switched participants, standard and reduced doses).
- A secondary analysis was conducted using the longer-term data available in each study.

### **RESULTS**

# **Search Results and Eligibility**

The PRISMA flowchart shows the search results and the decisions made during the eligibility process (Figure 1 of the manuscript). We obtained 4244 references from MEDLINE and EMBASE searches and screened 3391 unique references after eliminating duplicates. We excluded 3312 references based on their title or abstract and obtained 79 full-text studies for the final decision.

After a detailed assessment of the full texts, we excluded 49 studies:

- 19 did not assess an outcome of interest or reported outcome data in a way that could not be analyzed in the meta-analysis (crude data and rates, without providing an effect measure such as the HR) (Avgil-Tsadok et al.,<sup>5</sup> Badal et al.,<sup>6</sup> Bochatay et al.,<sup>7</sup> Chan et al.,<sup>8</sup> Demir et al.,<sup>9</sup> Ellis et al.,<sup>10</sup> Fontaine et al.,<sup>11</sup> Gorst-Rasmussen et al.,<sup>12</sup> Kodani et al.,<sup>13</sup> Kono et al.,<sup>14</sup> Larsen et al.,<sup>15</sup> Lee et al.,<sup>16</sup> Maura et al.,<sup>17</sup> Michel et al.,<sup>18</sup> Palamaner et al.,<sup>19</sup> Shevelev et al.,<sup>20</sup> Sorensen et al.,<sup>21</sup> Steinberg et al.,<sup>22</sup> and Yap et al.<sup>23</sup>);
- 18 did not obtain data from a reliable source (Al-Khalili et al.,<sup>24</sup> Aslan et al.,<sup>25</sup> Ho et al.,<sup>26</sup> Khan et al.,<sup>27</sup> Kilickiran Avci et al.,<sup>8</sup> Konigsbrugge et al.,<sup>29</sup> Korenstra et al.,<sup>30</sup> Kwon et al.,<sup>31</sup> Labaf et al.,<sup>32</sup> Lee et al.,<sup>33</sup> Leef et al.,<sup>34</sup> Marques-Matos et al.,<sup>35</sup> Naganuma et al.,<sup>36</sup> Riley et al.,<sup>37</sup> Saji et al.,<sup>38</sup> Sherid et al.,<sup>39</sup> Yap et al.,<sup>40</sup> and Yavuz et al.<sup>41</sup>);
- 8 reported overlapping data with other included studies (Abraham et al.,<sup>42</sup> Ho et al.,<sup>43</sup> Lamberts et al.,<sup>44</sup> Larsen et al.,<sup>45</sup> Lauffenburger et al.,<sup>46</sup> Lip et al.,<sup>47</sup> Staerk et al.,<sup>48</sup> and Staerk et al.,<sup>49</sup>) (overlaps with Yao et al.,<sup>50</sup> overlaps with Li et al.,<sup>51</sup> overlaps with Larsen et al.,<sup>52</sup> and Nielsen et al.,<sup>53</sup> overlaps with Larsen et al.,<sup>54</sup> overlaps with Lip et al.,<sup>55</sup> overlaps with Gorst-Rasmussen et al.,<sup>12</sup> overlaps with Larsen et al.,<sup>50</sup> and Nielsen et al.,<sup>53</sup> respectively);
- 2 studies did not assess new oral anticoagulants (Guo et al.<sup>56</sup> and Lip et al.<sup>57</sup>);
- 1 reported data from an ineligible population (anticoagulation resumption after a first major bleed in NVAF patients) (Hernandez et al.<sup>58</sup>);
- and 1 did not adjust data for the comparison (the reference group for the comparison comprised patients treated with warfarin and with a time in the rapeutic range  $\geq$  65%) (Li et al.<sup>51</sup>).

Finally, we included 27 different studies publishing data in 30 publications (3 studies published relevant data in 2 separate papers): Arihiro et al.<sup>59</sup> (Japan), Avgil-Tsadok et al.<sup>60</sup> (Canada), Bengtson et al.<sup>54</sup> (US), Bouillon et al.<sup>61</sup> (France), Chan et al.<sup>62,63</sup> a+b (Taiwan), Chang et al.<sup>64</sup> (US), Coleman et al.<sup>65</sup> (US), Forslund et al.<sup>66</sup> (Sweden), Gieling et al.<sup>67</sup> (UK), Graham et al.<sup>68</sup> (US), Graham et al.<sup>69</sup> (US), Halvorsen et al.<sup>70</sup> (Norway), Hernandez et al.<sup>71</sup> (US), Hernandez et al.<sup>72</sup> (US), Hohnloser et al.<sup>73</sup> (Germany), Lai et al.<sup>74</sup> (Taiwan), Laliberté et al.<sup>75</sup> (US), Larsen et al.<sup>76,77</sup> a+b (Denmark), Larsen et al.<sup>52</sup> (Denmark), Li et al.<sup>78</sup> (US), Lip et al.<sup>79</sup> (US), Nielsen et al.<sup>53</sup> (Denmark), Nishtala et al.<sup>80</sup> (New Zealand), Noseworthy et al.<sup>81</sup> (US), Seeger et al.<sup>82</sup> (linked to Yao et al.), Vaughan Sarrazin et al.<sup>83</sup> (US), Villinies et al.<sup>84</sup> (US), and Yao et al.<sup>85</sup> (US) (Table 3 of the supplementary material).

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# Table 1 of the suplementary material

Search Algorithms for Database Searches

DATABASE	SEARCI	H ALGORITHM	
MEDLINE	#1	"Dabigatran"[Mesh]	1986
(PubMed)	#2	"Rivaroxaban"[Mesh]	1658
20/04/2017	#3	"Dabigatran"[nm]	1986
20/01/2027	#4	"Rivaroxaban"[nm]	1658
	#5	"edoxaban"[nm] 291	1030
	#6	"apixaban"[nm] 893	
	#7	oral anticoagula*[ti]	4625
	#8	NOAC*[tiab] 1188	4023
	#9	DOAC*[tiab] 466	
	#10	dabigatran[tiab] 3209	
	#11	apixaban[tiab] 1799	
	#12	rivaroxaban[tiab]	2855
	#13	edoxaban[tiab] 728	2033
	#14		OR #5 OR #6 OR #7 OR #8 OR #9 OR #10 OR
		#12 OR #13 9668	OK #3 OK #0 OK #7 OK #8 OK #3 OK #10 OK
	#15	"Warfarin"[Mesh]	16800
	#16	"Warfarin"[nm] 16800	
	#17	warfarin[tiab] 20278	
	#18	vitamin K antagonist*[t	
	#19	VKA[tiab] 1109	3300
	#20	#15 OR #16 OR #17 OR	#18 OR #19 28384
	#20	#14 AND #20 4531	#10 01(#15) 20304
	#21	systematic[sb] 31965	.1
	#23	#21 AND #22 486	1
	#24	#21 NOT #23 4045	
	#25	"Atrial Fibrillation"[Mes	th1.42760
	#26	atrial fibrillation[tiab]	52480
	#27	#25 OR #26 62436	
	#28	#24 AND #27 2257	,
	#29	"Stroke"[Mesh] 10400	ıA
	#30	stroke[tiab] 18799	
	#31	#29 OR #30 22061	
	#32	#24 AND #31 1808	3
	#33	#28 OR #32 2401	
	#34		d trial[pt] OR controlled clinical trial[pt] OR
			ab] OR drug therapy[sh] OR randomly[tiab] OR
			(animals [mh] NOT humans [mh]) 3461777
	#35	#33 AND #34 1628	(
	#36	#33 NOT #35 773	
	#37	"Comparative Study"[p	rl 1761255
	#38	"Cohort Studies"[Mesh	-
	#39	"Propensity Score"[Mes	
	#40	"Registries"[Mesh]	71305
	#41	cohort*[tiab] 40174	
	#42	observational[ti] 18306	
	#43	registr*[tiab] 16250	
	#44	nationwide[tiab] 34597	
	#45	administrative[tiab]	35085
	#46	claims[tiab] 37903	
	#47	propensity[tiab] 40617	
	#48		#40 OR #41 OR #42 OR #43 OR #44 OR #45 OR

	WAS OR WAT 2402074
	#46 OR #47 3483871
	#49 #36 AND #48 178
	#50 real world[tiab] 21984
	#51 #50 AND #35 84
	#52 #49 OR #51 262
	#53 #23 OR #35 OR #52 2292
EMBASE	1 exp dabigatran/ (8519)
Ovid EMBASE 1974 to	2 exp rivaroxaban/ (9537)
2017 May 04	3 exp dabigatran/ (8519)
05/05/2017	4 exp edoxaban/ (2024)
	5 oral anticoagula*.ti. (6962)
	6 NOAC*.ti,ab. (2373)
	7 DOAC*.ti,ab. (756)
	8 dabigatran.ti,ab. (6042)
	9 apixaban.ti,ab. (3240)
	10 rivaroxaban.ti,ab. (5569)
	11 edoxaban.ti,ab. (1028)
	12 1 or 2 or 3 or 4 or 5 or 6 or 7 or 8 or 9 or 10 or 11 (20002)
	13 exp warfarin/ (77810)
	14 warfarin.ti,ab. (31108)
	15 vitamin K antagonist*.ti,ab. (6367)
	16 VKA.ti,ab. (2366)
	17 13 or 14 or 15 or 16 (84711)
	18 exp atrial fibrillation/ (23981)
	19 atrial fibrillation.ti,ab. (87233)
	20 18 or 19 (93552)
	21 exp cerebrovascular accident/ (144845)
	22 stroke.ti,ab. (281422)
	23 21 or 22 (327274)
	24 20 or 23 (395950)
	25 12 and 17 and 24 (6634)
	26 conference.so. (334589)
	27 25 not 26 (6350)
	28 exp comparative effectiveness/ (30861)
	29 Controlled Study/ (5355982)
	30 Cohort Studies/ (168942)
	31 exp propensity score/ (12496)
	32 exp cohort analysis/ (284218)
	33 exp propensity score/ (12496)
	34 exp register/ (96627)
	35 cohort*.ti,ab. (642060)
	36 registr*.ti,ab. (222027)
	37 nationwide.ti,ab. (48510)
	38 administrative.ti,ab. (45967)
	39 claims.ti,ab. (52149)
	40 propensity.ti,ab. (53788)
	41 observational.ti. (25334)
	42 real world.ti,ab. (33695)
	43 28 or 29 or 30 or 31 or 32 or 33 or 34 or 35 or 36 or 37 or 38 or 39 or 40 or
	41 or 42 (6171570)
	44 27 and 43 (1868)

# Table 2 of the supplementary material

Risk of Bias Assessment for the Included Studies

Study ID	Arihiro et al. <sup>59</sup>	Avgil-Tsadok et al. <sup>60</sup>	Bengtson et al. <sup>54</sup>	Bouillon et al. <sup>61</sup>	Chan et al. <sup>62</sup>
Cohort design	Prospective	Retrospective	Retrospective	Nationwide	Nationwide
Data source	Clinical registry	Administrative data	Administrative data	Administrative data	Administrative data
Primary outcome	Stroke or embolism and bleeding (major)	Stroke or TIA, bleeding (any), and AMI	Stroke, bleeding, and AMI	Bleeding (any)	Stroke, bleeding, AMI, and mortality
Confounding (baseline)	Low risk	Low risk	Low risk	Moderate risk	Low risk
Researchers implemented appropriate methods to control for	Propensity score	Propensity score	Propensity score (high	Cox conditional	Inverse probability
prognostic confounders	(unclear analysis)	(matching)	dimensional)	model (matched adjustment)	weighting
Confounding (of intervention)	No information	No information	No information	No information	No information
Researchers implemented appropriate methods to avoid an impact of prognostic factors on the choice of drug prescribed					
Selection bias	Serious risk	Low risk	Low risk	Low risk	Low risk
Researchers selected a sample of newly diagnosed patients or new	AF diagnosed after			Study of switchers	
drug users and measured outcomes from the start of treatment	a first stroke and			but index date for	
	patients had			NOACs	
	recently received their prescription			appropriately defined	
Selection bias	Low risk	Moderate risk	Low risk	Low risk	Low risk
Researchers described any exclusion during eligibility		Reduced			
		dabigatran doses			
		excluded			
Bias in measurement of interventions	Low risk	Low risk	Low risk	Low risk	Low risk
Researchers avoided the definition and categorization of interventions					
without knowledge of outcomes					

Bias due to deviations from intended interventions	Low risk	Low risk	Low risk	Low risk	Low risk
Researchers measured and controlled differences in co-interventions					
between groups					
Missing data	Moderate risk	Low risk	Low risk	Low risk	Low risk
Researchers measured and controlled differences in the extent of and	Missing data for				
reasons for missing data between groups	drop outs				
Bias in outcome measurement	Low risk	Low risk	Low risk	Low risk	Low risk
Researchers avoided different measures of outcomes depending on					
the drug					
Bias in the selection of reported findings	Serious risk	Moderate risk	Low risk	Moderate risk	Low risk
Researchers reported complete findings for the outcomes of interest	Main outcome	Main outcome		Findings for	
	findings reported	effect estimates		composite	
	only as composite	reported only as		outcome not	
		composite		described in	
				Methods	
OVERALL RISK OF BIAS	SERIOUS	MODERATE	MODERATE	MODERATE	MODERATE

AMI, acute myocardial infarction; NOACs, nonvitamin K antagonist oral anticoagulants; TIA, transient ischemic attack.

Study ID	Chan et al. <sup>63</sup>	Coleman et al.65	Forslund et al. <sup>66</sup>	Gieling et al. <sup>67</sup>	Graham et al. <sup>68</sup>	Graham et al. <sup>69</sup>
Cohort design	Nationwide	Retrospective	Nationwide	Retrospective	Retrospective	Retrospective
Data source	Administrative	Administrative	Population registry	Primary care	Administrative	Administrative
	data	data		database	data	data
Primary outcome	Stroke, bleeding,	Stroke or bleeding	Bleeding (major)	Bleeding (major)	Stroke and major	Stroke, major
	AMI, and mortality	(intracranial)			bleeding	bleeding, and
						mortality
Confounding (baseline)	Low risk	Low risk	Low risk	Moderate risk	Low risk	Low risk
Researchers implemented an appropriate method to	Inverse probability	Propensity score	Inverse probability	Cox proportional	Propensity score	Inverse probability
control for prognostic confounders	weighting	(matching)	weighting	hazards regression	(matching)	weighting
Confounding (of intervention)	No information	No information	No information	No information	No information	No information
Researchers implemented appropriate methods to avoid						
an impact of prognostic factors on the choice of drug						
prescribed						
Selection bias	Low risk	Low risk	Low risk	Low risk	Low risk	Low risk

OVERALL RISK OF BIAS	MODERATE	MODERATE	MODERATE	MODERATE	MODERATE	MODERATE
			Methods			
			described in the			
			in findings not			
of interest			outcome reported			
Researchers reported complete findings for the outcomes			Composite			
Bias in the selection of reported findings	Low risk	Low risk	Moderate risk	Low risk	Low risk	Low risk
depending on the drug						
Researchers avoided different measures of outcomes						
Bias in outcome measurement	Low risk	Low risk	Low risk	Low risk	Low risk	Low risk
				at baseline		
extent of and reasons for missing data between groups				with the outcome		
Researchers measured and controlled differences in the				Excluded patients		
Missing data	Low risk	Low risk	Low risk	Moderate risk	Low risk	Low risk
interventions between groups						
Researchers measured and controlled differences in co-						
Bias due to deviations from intended interventions	Low risk	Low risk	Low risk	Low risk	Low risk	Low risk
interventions without knowledge of outcomes						
Researchers avoided the definition and categorization of						
Bias in measurement of interventions	Low risk	Low risk	Low risk	Low risk	Low risk	Low risk
Researchers described any exclusion during eligibility						
Selection bias	Low risk	Low risk	Low risk	Low risk	Low risk	Low risk
measured outcomes from the start of treatment						
Researchers selected a sample of new drug users and						

Study ID	Halvorsen et al. <sup>71</sup>	Hernandez et al. <sup>72</sup>	Hernandez et al. <sup>73</sup>	Hohnloser et al. <sup>74</sup>	Laliberté et al. <sup>76</sup>	Lai et al. <sup>75</sup>
Cohort design	Nationwide	Retrospective	Retrospective	Retrospective	Retrospective	Nationwide
Data source	Population registry	Administrative	Administrative	Administrative	Administrative	Administrative
		data	data	data	data	data
Primary outcome	Bleeding (major or	Bleeding (any)	Stroke, other	Bleeding (major)	Stroke or embolism	Mortality
	clinically relevant)		thromboembolism		and bleeding (any)	
Confounding (baseline)	Moderate risk	Low risk	Low risk	Low risk	Low risk	Low risk
Researchers implemented an appropriate	Cox proportional	Inverse probability	Inverse probability	Propensity score	Propensity score	Propensity score
method to control for prognostic confounders	hazards regression	weighting	weighting	(matching)	(matching)	(matching)

Confounding (of intervention)	No information					
Researchers implemented appropriate methods						
to avoid an impact of prognostic factors on the						
choice of drug prescribed						
Selection bias	Low risk					
Researchers selected a sample of new drug						
users and measured outcomes from the start of						
treatment						
Selection bias	Low risk					
Researchers described any exclusion during						
eligibility						
Bias in measurement of interventions	Low risk					
Researchers avoided the definition and						
categorization of interventions without						
knowledge of outcomes						
Bias due to deviations from intended	Low risk					
interventions						
Researchers measured and controlled						
differences in co-interventions between groups						
Missing data	Low risk					
Researchers measured and controlled						
differences in the extent of and reasons for						
missing data between groups						
Bias in outcome measurement	Low risk					
Researchers avoided different measures of						
outcomes depending on the drug						
Bias in the selection of reported findings	Low risk					
Researchers reported complete findings for the						
outcomes of interest						
OVERALL RISK OF BIAS	MODERATE	MODERATE	MODERATE	MODERATE	MODERATE	MODERATE

Study ID	Larsen et al. <sup>76,77</sup> (*)	Larsen et al. <sup>52,53</sup> (*)	Li et al. <sup>79</sup>	Lip et al. <sup>80</sup>	Nishtala et al.81
Cohort design	Nationwide	Nationwide	Retrospective	Retrospective	Nationwide
Data source	Population registry	Population registry	Administrative	Administrative	Population registry

			data	data	
Primary outcome	Bleeding (any)	Stroke or embolism, mortality, and bleeding (any)	Stroke or embolism and bleeding (major)	Bleeding (major)	Bleeding (any)
Confounding (baseline)	Moderate risk	Low risk	Low risk	Moderate risk	Low risk
Researchers implemented an appropriate method to control for prognostic confounders	Cox conditional model (matched adjustment)	Inverse probability weighting	Propensity score (matching)	Cox proportional hazards regression	Propensity score (matching)
Confounding (of intervention) Researchers implemented appropriate methods to avoid an impact of prognostic factors on the choice of drug prescribed	No information	No information	No information	No information	No information
Selection bias Researchers selected a sample of new drug users and measured outcomes from the start of treatment	Low risk	Low risk	Low risk	Low risk	Low risk
Selection bias Researchers described any exclusion during eligibility	Low risk	Low risk	Low risk	Low risk	Low risk
Bias in measurement of interventions Researchers avoided the definition and categorization of interventions without knowledge of outcomes	Low risk	Low risk	Low risk	Low risk	Low risk
Bias due to deviations from intended interventions Researchers measured and controlled differences in co-interventions between groups	Low risk	Low risk	Low risk	Low risk	Low risk
Missing data Researchers measured and controlled differences in the extent of and reasons for missing data between groups	Low risk	Low risk	Low risk	Low risk	Low risk
Bias in outcome measurement Researchers avoided different measures of	Low risk	Low risk	Low risk	Low risk	Low risk

outcomes depending on the drug					
Bias in the selection of reported findings	Low risk				
Researchers reported complete findings for the					
outcomes of interest					
OVERALL RISK OF BIAS	MODERATE	MODERATE	MODERATE	MODERATE	MODERATE

<sup>\*</sup>Larsen 2014 risk of bias assessment applies to Larsen 2014a and Larsen 2014b; Larsen 2016 risk of bias assessment also applies to Nielsen 2017

# Table 3 of the supplementary material

# Characteristics of the Included Studies

Study ID	Arihiro et al. <sup>59</sup>				
Reference	Arihiro S, Todo K, Koga M, profile of anticoagulation aft	er stroke with a	trial fibrillation:	The SAMURAI-	Nonvalvular Atrial
	Fibrillation (NVAF) study. Int				
Objective	To determine the risk-benef stroke/TIA	it profile within	3 months of wa	arfarin or NOA	C receipt in acute
Country	Japan				
Design	Prospective cohort study				
Data source	Web-based registration syste	em, covering 18	Japanese stroke	centers	
Time period	September 2011 to March 20	014			
NOAC	Dabigatran 300 mg or 220 m				
(all dosages are	Rivaroxaban 15 mg or 10 mg	-			
recommended for	Apixaban 10 mg or 5 mg dail	У			
Japan)					
Control	Warfarin				
	Target INR				
	2.0-3.0 for those < 70 years of	_			
0	1.6-2.6 for those ≥70 years o	r age			
Outcomes	Effectiveness				
(all assessed within 3	Stroke or systemic embolism			TIA	
months of OAC	Any ischemic event (including	_			
initiation)	coronary syndrome, aortic requiring hospitalization, ve				•
	endarterectomy, carotid arte				
	Ischemic stroke or TIA	ery steriting, and	percutarieous c	oronary interv	ention
	Safety				
	Major bleeding				
	Intracranial hemorrhage				
	All-cause mortality				
Outcome definitions	Major bleeding was defined	d as fatal bleed	ing, symptomat	ic bleeding in	a critical area or
	organ, or bleeding causing a transfusion of 2 or more unit	fall in the hemo	globin level of 2.	0 g/dL or mor	
Population (eligibility)	Patients with nonvalvular A	AF who were h	ospitalized withi	n 7 days of	onset of ischemic
	stroke/TIA		•	,	
	Excluded: rheumatic mitral v	alve disease, a l	history of prosth	etic valve repl	acement or mitral
	valve surgical repair, active in	nfectious endoca	arditis, or lack of	written inforn	ned consent
Population	Study population				
(study sample)	N = 1137				
	Warfarin, n = 662 (58.2%)				
	Dabigatran, n = 205 (18.0%)				
	Dabigatran, n = 205 (18.0%) Rivaroxaban, n = 245 (21.5%)	)			
	Rivaroxaban, n = 245 (21.5%) Apixaban, n = 25 (2.2%)	)			
	Rivaroxaban, n = 245 (21.5%) Apixaban, n = 25 (2.2%) Target population				
	Rivaroxaban, n = 245 (21.5%) Apixaban, n = 25 (2.2%) Target population 1192 patients; 55 patients n	not taking oral a		ter the index :	stroke/TIA, mainly
	Rivaroxaban, n = 245 (21.5%) Apixaban, n = 25 (2.2%) Target population 1192 patients; 55 patients n due to severe neurological de	not taking oral a eficits, were exc	luded		
Population (baseline par	Rivaroxaban, n = 245 (21.5%) Apixaban, n = 25 (2.2%) Target population 1192 patients; 55 patients n	not taking oral a eficits, were exc	luded		
Population (baseline par	Rivaroxaban, n = 245 (21.5%) Apixaban, n = 25 (2.2%) Target population 1192 patients; 55 patients n due to severe neurological de	not taking oral a eficits, were exc	luded		ated)
	Rivaroxaban, n = 245 (21.5%) Apixaban, n = 25 (2.2%) Target population 1192 patients; 55 patients n due to severe neurological de ticipant characteristics) (values  Apixaban	not taking oral a eficits, were exc expressed as pe Dabigatran	luded ercentages unles: Rivaroxaban	s otherwise sta	ated)  All  participants
Women	Rivaroxaban, n = 245 (21.5%) Apixaban, n = 25 (2.2%) Target population 1192 patients; 55 patients n due to severe neurological de ticipant characteristics) (values  Apixaban  32.0	not taking oral a eficits, were exc expressed as pe  Dabigatran  33.0	luded ercentages unless Rivaroxaban 38.7	Warfarin 48.8	All participants 43.3
Women Age, mean (SD)	Rivaroxaban, n = 245 (21.5%) Apixaban, n = 25 (2.2%) Target population 1192 patients; 55 patients n due to severe neurological de ticipant characteristics) (values  Apixaban	not taking oral a eficits, were exc expressed as pe Dabigatran	luded ercentages unles: Rivaroxaban	s otherwise sta	ated)  All  participants
Women	Rivaroxaban, n = 245 (21.5%) Apixaban, n = 25 (2.2%) Target population 1192 patients; 55 patients n due to severe neurological de ticipant characteristics) (values  Apixaban  32.0	not taking oral a eficits, were exc expressed as pe  Dabigatran  33.0	luded ercentages unless Rivaroxaban 38.7	Warfarin 48.8	All participants 43.3

CHA2DS2VASc, median (IQR)	5 (4-6)	5 (4-6)	5 (4-6)	6 (5-6)	5 (4-6)
CHA <sub>2</sub> DS <sub>2</sub> , median (IQR)	4 (3-4)	3 (3-4)	4 (3-4)	4 (3-5)	4 (3-4)
CHA <sub>2</sub> DS <sub>2</sub> ≥ 4	4 (3-4)	3 (3-4)	4 (3-4)	70.7	62.3
	- 2 (2 4)	- 2 (2 4)	- 2 (2 4)		
HAS-BLED, median (IQR)	3 (3-4)	3 (3-4)	3 (2-4)	3 (3-4)	3 (3-4)
Standard dose	-	26.3	54.3	-	-
Reduced dose	-	73.7	45.7	-	<u>-</u>
Comorbidities					
Ischemic stroke, or systemic embolism,	100	100	100	100	100
or TIA					
Heart failure	-	-	-	-	-
Myocardial infarction	-	-	-	-	-
Vascular disease	-	-	-	-	-
Renal dysfunction	-	-	-	-	-
Previous bleeding	-	-	-	-	-
Hypertension	-	-	-	-	-
Diabetes	-	-	-	-	-
Cancer	-	-	-	-	
Concomitant medication					_
Aspirin	-	-	-	15.3	14.5
Beta-blocker	-	-	-	-	-
NSAID	-	-	-	-	-
Calcium channel blocker	-	-	-	-	-
Renin angiotensin system inhibitor	-	-	-	-	

### Measure of the risk of an end point

Cumulative rates of primary and secondary events

### Comparison of the risk of an end point between groups

Chi-square test

Cox proportional hazards model

## Confounding

Cox proportional hazards model adjusted by potential confounding factors (sex, age, CHADS<sub>2</sub> score, admission National Institutes of Health Stroke Scale score, creatinine clearance)

# Sensitivity analysis

Not reported

## **Supplementary analyses**

Complementary analyses using propensity scores as an adjustment covariate

### Software for statistical analysis

JMP 11.0.2 statistical software (SAS Institute, Inc, Cary, North Carolina)

# Statistical significance reference

P < .05

INR, International Normalized Ratio; IQR, interquartile range; NOACs, nonvitamin K antagonist oral anticoagulants; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Avgil-Tsadok et al. <sup>60</sup>
Reference	Avgil-Tsadok M, Jackevicius CA, Essebag V, Eisenberg MJ, Rahme E, Behlouli H, Pilote L.
	Dabigatran use in elderly patients with atrial fibrillation. Thromb Haemost. 2016;115:152-
	160. doi:10.1160/TH15-03-0247
Objective	To assess dabigatran effectiveness and safety in elderly patients in real-world practice
Country	Canada
Design	Nationwide cohort study
Data source	Administrative databases in Quebec:
	The provincial hospital discharge database (Maintenance et Exploitation des Données pour
	l'Étude de la Clientèle Hospitalière-Med-Echo) was linked to the provincial physician and
	prescription claims database (la Régie de l'assurance maladie du Quebec [RAMQ]) using
	patients' encrypted health insurance numbers. Linkage using unique identifiers, such as
	health insurance numbers, is considered preferable to deterministic or probabilistic linkages
	using patient characteristics, such as age and sex. The Quebec prescription claims database
	has previously been determined to be a reliable source of filled medication prescriptions
	The hospital discharge database was used to obtain information on patient characteristics
	such as comorbidities and to calculate the CHA <sub>2</sub> DS <sub>2</sub> -VASc and HAS-BLED scores
Time period	1999-2013
NOAC	Dabigatran 110 mg
	Dabigatran 150 mg
Control	Warfarin
Outcomes	Effectiveness
	Stroke/TIA
	Safety
	Bleeding events
Outcome definitions	Outcomes were defined using the International Classification of Diseases-9th/10th (ICD-
	9/10) revision, codes 427.3, 427.31, or 427.32/I48. Stroke was defined as ischemic
	cerebrovascular disease, with the inclusion of TIA and retinal infarct. Bleeding events
	included intracranial hemorrhage (ICH), gastrointestinal (GI) bleeding, and other
Danielata a /altathitte A	hemorrhages. The outcomes of ICH and GI bleeding were also separately analyzed
Population (eligibility)	Participants were Quebec residents discharged alive from hospitalization with a primary
	diagnosis of AF or a major comorbid diagnosis (secondary diagnosis) of AF during the study period
Population	Study population
(study sample)	< 75 years, N = 20 632
(Study Sumple)	Warfarin, n = 14 262
	Dabigatran 110 mg twice daily, n = 1277
	Dabigatran 150 mg twice daily, n = 5093
	≥ 75 years, N = 42 478
	Warfarin, n = 32 930
	Dabigatran 110 mg twice daily, n = 7649
	Dabigatran 150 mg twice daily, n = 1899
Population (baseline part	icipant characteristics) (values expressed as percentages unless otherwise stated)

# Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated)

	< 75 (N = 20 632)			≥ 75 (N = 42 478)			
	Warfarin	Dabigatran (110 mg)	Dabigatran (150 mg)	Warfarin	Dabigatran (110 mg)	Dabigatran (150 mg)	
Women	38.5	41.5	35.3	56.9	57.2	45.6	
Age	-	-	-	-	-	-	
>65 years	-	-	-	-	-	-	
>75 years	-	-	-	-	-	-	
>85 years	-	-	-	-	-	-	
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD)	2.3 (1.3)	2.4 (1.3)	2.0 (1.3)	3.8 (1.2)	3.7 (1.2)	3.2 (1.2)	
Modified HAS-BLED, mean (SD)	2.4 (1.2)	2.4 (1.1)	2.0 (1.0)	2.7 (1.0)	2.5 (1.0)	2.4 (1.0) 26	

Standard dose						
Reduced dose						
Comorbidities						
Ischemic stroke, or	-	-	-	-	-	-
systemic embolism,						
or TIA (see below)	10.0	0.0		40.0	44.6	44.6
History of stroke	10.2	8.8	9.1	12.2	11.6	11.6
Heart failure (see	-	-	-	-	-	-
below)						
Valvular heart disease	31.8	17.3	15.6	30.8	22.8	21.0
Myocardial	21.8	21.0	14.7	20.5	18.0	16.5
infarction				_0.0	20.0	20.0
Vascular disease	15.9	13.9	9.1	16.3	13.8	13.6
Renal dysfunction	23.6	22.0	10.3	35.0	25.1	15.1
(acute or chronic						
renal disease)						
Previous bleeding	10.1	10.1	5.4	11.5	9.5	8.7
Hypertension	70.5	73.8	67.8	79.9	78.1	75.2
Diabetes	35.2	34.2	28.5	28.4	24.9	24.1
Cancer (any	8.7	11.0	7.7	11.5	9.5	8.7
malignancy)						
Concomitant						
medication						
Aspirin	-	-	-	-	-	-
Beta-blocker (other	42.1	35.5	38.2	46.8	39.9	41.0
than sotalol)						
NSAID	0.6	0.9	1.0	0.3	0.5	0.6
Calcium channel	-	-	-	-	-	-
blocker						
Renin angiotensin	-	-	-	-	-	-
system inhibitor						
ACE inhibitor	21.8	18.3	19.8	22.1	19.4	19.1
Statin	21.6	19.2	23.4	20.7	20.2	24.9
Aspirin	20.5	21.4	19.5	17.4	17.0	17.3
Digoxin	15.9	14.1	13.6	19.0	17.1	16.0
Angiotensin receptor	11.3	12.2	14.1	13.7	13.8	16.5
blocker						
Diltiazem	10.1	10.2	11.0	12.6	11.8	13.4
Amiodarone	9.8	13.3	8.5	8.1	7.1	6.7
Clopidogrel	2.5	4.2	1.9	1.7	2.3	1.9
Other	2.5	1.3	4.7	1.5	1.9	2.8
antiarrhythmic						
Sotalol	2.2	2.5	3.6	1.6	1.9	3.2
Verapamil	1.2	1.3	1.5	1.3	1.4	1.8

### Measure of the risk of an end point

Crude Kaplan-Meier analysis was conducted to compare time to stroke and bleeding events in the 2 age groups for the 2 dabigatran doses and warfarin. The rate estimates were compared by the log-rank test

To account for differences in baseline characteristics, 3 sets of propensity scores were calculated (ie, the predicted probability that a patient would be a user of dabigatran or warfarin, given baseline covariates) for (1) any dabigatran dose; (2) the 110 mg twice daily dose; and (3) the 150 mg twice daily dose. The propensity scores were calculated separately for the different age groups

# Comparison of the risk of an end point between groups

Cox proportional hazards models: in the multivariable Cox proportional hazards models, dabigatran use was considered a time-fixed binary variable, where it was assumed that patients who were prescribed dabigatran remained on the same prescription throughout the follow-up period. This approach is akin to intention-to-treat analyses in RCTs

Sensitivity analysis
The analyses were repeated by defining elderly patients as 80 years and older rather than 75 years and older
Software for statistical analysis
SAS (version 9.2) statistical software package (SAS Institute Inc, Cary, North Carolina)
Statistical significance reference
All statistical tests were 2-sided. P-value

ACE, angiotensin-converting enzyme; NSAID, nonsteroidal anti-inflammatory drugs; RCT, randomized clinical trial; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Bengtson et al. <sup>54</sup>							
Reference	Bengtson LGS, Lutse	y PL, Chen LY,	, MacLehose Ri	, Alonso A. C	omparative effe	ectiveness of		
	dabigatran and riva	roxaban vers	us warfarin fo	or the treatm	nent of non-va	lvular atrial		
	fibrillation. <i>J Cardiol</i> .	2017;69:868-8	376. doi:10.1016	5/j.jjcc.2016.08	3.010			
Objective	To evaluate if the e	effectiveness of	of dabigatran a	nd rivaroxaba	n (vs warfarin)	in ischemic		
	stroke prevention di	iffers between	switchers fro	m warfarin to	NOACs and ar	nticoagulant-		
	naïve patients and to	assess the over	erall safety prof	ile of oral antio	coagulants			
Country	United States							
Design	Retrospective cohort	study						
Data source	US MarketScan datak	oases:						
	Truven Health Marke	etScan Comme	ercial Claims an	d Encounters	Database and tl	he Medicare		
	Supplemental and Co	ordination of	Benefits Datab	ase (enrollmer	nt data and heal	th insurance		
	claims for inpatient a	nd outpatient	services as well	as outpatient	pharmacy service	ces)		
Time period	January 1, 2009 throu	ugh December	31, 2012					
NOAC	• Dabigatran 75 m	g twice daily						
	Dabigatran 150 r	ng twice daily						
	Rivaroxaban 10 r	ng once daily						
	Rivaroxaban 15 r	ng once daily						
	Rivaroxaban 20 r	ng once daily						
Control	Warfarin	,						
Outcomes	Effectiveness							
	Ischemic stroke							
	Myocardial infare	ction						
	<ul> <li>Hip/pelvic fractu</li> </ul>							
	Safety							
	Intracranial bleed	d						
	Gastrointestinal							
Outcome definitions	Outcomes were defi		International	Classification (	of Diseases Nin	nth Revision		
	Clinical Modification				-	-		
Population (eligibility)	Individuals with med							
( 5 )	enrollment prior to	-						
	inpatient claim or 2 of	_		_				
	of the NOACs (dabiga							
	Patients with ICD-9-C				_	for valvular		
	repair or replacemen	_		-				
	FDA approval for non							
Population	Study population		-					
(study sample)	N = 61 648 anticoagu	lant initiators						
	Dabigatran, n = 18 981							
	Rivaroxaban, n = 2100							
	Warfarin, n = 40 567							
	N = 84 018 switchers							
	Dabigatran, n = 13 937							
	Rivaroxaban, n = 120	2						
	Warfarin, n = 68 880							
Population (baseline partic	cipant characteristics)	(values expres	ssed as percent	ages unless oth	nerwise stated)			
			Switchers		Pooled (new	users and		
	New users							
					switchers)			
	Dabigatran	Warfarin	Dabigatran	Warfarin	Rivaroxaban	Warfarin		
Women	Dabigatran 36.2	38.8	37.9	38.0	Rivaroxaban 39.8	Warfarin 41.2		
Women Age, mean (SD)	Dabigatran				Rivaroxaban	<b>Warfarin</b> 41.2 72.5		
Age, mean (SD)	Dabigatran 36.2	38.8	37.9	38.0	Rivaroxaban 39.8	Warfarin 41.2		
Age, mean (SD) >65 years	Dabigatran 36.2	38.8	37.9	38.0	Rivaroxaban 39.8	<b>Warfarin</b> 41.2 72.5		
Age, mean (SD) >65 years >75 years	Dabigatran 36.2	38.8	37.9	38.0	Rivaroxaban 39.8	<b>Warfarin</b> 41.2 72.5		
Age, mean (SD) >65 years	Dabigatran 36.2 68.5 (12.3)	38.8	37.9	38.0	Rivaroxaban 39.8	<b>Warfarin</b> 41.2 72.5		

Standard dose	91.7	-	93.4	-	-	-
Reduced dose	8.3	-	6.6	-	-	-
Comorbidities						
Ischemic stroke, or systemic	20.6	22.3	25.4	24.0	26.3	30.9
embolism, or TIA						
Heart failure	24.3	30.4	35.2	36.6	31.5	39.3
Myocardial infarction	7.6	9.5	7.6	9.2	10.5	11.7
Vascular disease (see below)	-	-	-	-	-	-
Peripheral arterial disease	15.5	18.0	19.8	20.3	21.4	25.6
Renal dysfunction	7.6	12.9	10.0	13.0	11.2	16.0
Previous bleeding (see below)	-	-	-	-	-	-
GI bleed	7.6	8.3	10.4	11.4	13.2	14.5
Other bleed	3.6	5.0	7.9	8.4	7.6	9.5
Hypertension	75.2	72.9	82.0	80.2	85.6	84.7
Diabetes	28.6	32.1	32.2	33.8	30.7	35.4
Metastatic cancer	1.6	2.3	1.4	2.2	1.9	2.5
Concomitant medication						
Aspirin (see below)	-	-	-	-	-	-
Antiplatelet	2.1	2.0	1.5	1.6	2.9	2.3
Beta-blocker	71.1	64.8	79.4	76.2	77.6	76.4
NSAID	-	-	-	-		
Calcium channel blocker	41.7	39.4	48.9	44.4	48.3	46.5
Renin angiotensin system	-	-	-	-	-	-
inhibitor						
Digoxin	14.9	16.2	28.9	27.6	21.9	25.3
Clopidogrel	14.0	12.0	10.8	10.1	15.7	13.0
Angiotensin-converting enzyme inhibitor	36.0	37.6	42.5	43.3	40.3	43.9
Angiotensin receptor blocker	23.5	20.5	28.1	23.9	29.3	25.7
Antiarrhythmic medication	29.4	20.4	39.3	29.1	41.5	29.4
Statin	54.3	51.7	64.2	61.5	61.3	62.5
Diabetes medication	21.5	23.7	24.0	24.8	21.2	24.8
Analysis	C 41	ick of an and n	-14			-

# Measure of the risk of an end point

Cox proportional hazards models were used to assess the association between anticoagulant type (separately for dabigatran and rivaroxaban vs warfarin) and the time to each outcome Propensity score-adjusted Cox regression was used to calculate hazard ratios and 95% confidence intervals for relevant end points in NOACs vs warfarin users

## Comparison of the risk of an end point between groups

Separate analyses were conducted to compare anticoagulant-naïve users of NOACs and those switching from warfarin

High-dimensional propensity scores were calculated for each of the main comparisons. The methodology included the following dimensions: age, sex, inpatient diagnostic codes, inpatient procedure codes, outpatient diagnostic codes, outpatient procedure codes, and outpatient pharmacy claims. High-dimensional propensity scores were calculated with Rassen's SAS macros and included both empirical variables and the covariates described above. For each outcome, Cox proportional hazards models were adjusted for the high-dimensional propensity score decile as well as the age, sex, and CHADS2 score, to allow stratification of the results by these 3 covariates

### Sensitivity analysis

A sensitivity analysis was performed among high-dimensional propensity score-matched dabigatran and warfarin users

A greedy matching technique, which is an efficient approximation of a nearest neighbor matching approach, where the comparator with the closest propensity score is selected, was implemented with a published SAS macro for the matched analysis. Kaplan-Meier survival curves were used to calculate the survival-free probability of each outcome of interest separately for dabigatran and warfarin new users and switchers. Effect measure modification by sex, age ( $\leq 75$  and > 75), and CHADS<sub>2</sub> score (0-1 classified as low risk and  $\geq$  2 classified as moderate/high risk) was explored via stratified analysis. Due to the small number of rivaroxaban users and correspondingly few events, new users and switchers were pooled for

	analysis			
	Software for statistical analysis			
	SAS 9.3			
	Statistical significance reference			
	P < .05 was considered statistically significant			
NOACs, nonvitamin K antagonist oral anticoagulants; SD, standard deviation.				

Study ID	Bouillon et al. <sup>61</sup>
Reference	Bouillon K, Bertrand M, Maura G, Blotière PO, Ricordeau P, Zureik M. Risk of bleeding and
	arterial thromboembolism in patients with non-valvular atrial fibrillation either maintained
	on a vitamin K antagonist or switched to a non-vitamin K-antagonist oral anticoagulant: a
	retrospective, matched-cohort study. <i>Lancet Haematol</i> . 2015;2:e150-59. doi:10.1016/S2352-
	3026(15)00027-7
Objective	To compare the risk of bleeding between individuals who switched and those who remained
o wjetu ve	on a vitamin K antagonist (nonswitchers) in real-world conditions
Country	France
Design	Nationwide cohort study
Data source	The French national health insurance database (Système National d'Information Inter-
2444 3541 56	Régimes de l'Assurance Maladie [SNIIRAM]) contains anonymized individual data on all
	reimbursements for patient health expenditure, including drugs and outpatient medical and
	nursing care, that have been prescribed or done by health care professionals. The SNIIRAM
	database does not provide any direct information on the medical indication for each
	reimbursement but does contain the patient's status with respect to full reimbursement of
	care related to severe and costly long-term conditions listed in the International
	Classification of Diseases, 10th edition (ICD-10). The SNIIRAM also includes important status
	information but not cause of death. Information from the SNIIRAM database was also cross-
	referenced to the French hospital discharge data base ( <i>Programme de Medicalisation des</i>
	Systemes d'Information [PMSI]), which provides medical information on all patients admitted
	to hospital in France, including discharge diagnoses coded in the ICD-10, medical procedures,
	and French diagnosis-related groups
Time period	January 1, 2011, and November 30, 2012
NOAC	Dabigatran
NOAC	Rivaroxaban
Control	
Control	Vitamin K antagonists (acenocoumarol, fluindione, warfarin)  Effectiveness
Outcomes	
	Ischemic stroke     Sustantia and allows
	Systemic embolism
	First or recurrent myocardial infarction
	• Death
	• Composite outcomes
	Safety
0	Bleeding events
Outcome definitions	Outcomes were defined based on the ICD-10
Population (eligibility)	Patients who were aged 18 years or older; had their first prescription of a vitamin K
	antagonist between January 1, 2011, and November 30, 2012, without having had a vitamin
	K antagonist reimbursed in the 12 months before January 1, 2011; and were starting vitamin
	K antagonists for nonvalvular atrial fibrillation. In France, 3 vitamin K antagonists are
	available—fluindione, warfarin, and acenocoumarol. Patients who had switched from one
	type of vitamin K antagonist to another and those who had dementia were excluded.
	Because all individuals on a vitamin K antagonist could theoretically have been switched to a
	NOAC, patients with contraindications for NOACs were also excluded—ie, those with surgery
	for valvular heart disease, recent cancer, dialysis for kidney failure, current or recent gastroduodenal ulceration, hepatic impairment or liver disease, and any lesion or condition
Population	with a substantial risk of severe bleeding such as anemia
Population	Study population N = 17.410 (10.705 populations 6705 switchers)
(study sample)	N = 17 410 (10 705 nonswitchers, 6705 switchers)
	Target population  N = 445 735 eligible individuals identified in the SNIIRAM registry
	Excluded:
	N = 106 914
	• Age < 18 years, n = 1506
	Switched from 1 type of VKA to another, n = 16513  Und a prescription of 3 different and anticognition in CROUND beautiful to the control of the contro
	Had a prescription of 2 different oral anticoagulants, n = 680Had heart valve disease     The support for this condition, n = 22,000
	or surgery for this condition, n = 33 090
	Had cancer, 23 918

- Were receiving kidney dialysis, n = 1926
- Had anemia or another blood disorder, n = 38 308
- Had cirrhosis, fibrosis, or liver failure, n = 5704
- Had a gastroduodenal ulcer, n = 793
- Had undergone lower limb surgery, n = 9740

### N = 199578

- Unswitched and unmatched individuals, n = 141 206
- Switched but unmatched individuals, n = 1777
- Unswitched, matched individuals with a duration of VKA treatment shorter than that of switched individuals, n = 56 595

### N = 43624

- Used a VKA or DOA ≤ 0 day after the index date, n = 10596
- Died before the index date, n = 145
- Had an index date ≥ 1 December 1, 2012, n = 1980
- Admitted to hospital 45 days before the index date, n = 11 951
- Had dementia, n = 4007
- Were switched or unswitched individuals without their matching pair, n = 14 945

 $N = 57\,868$  unswitched individuals excluded because of different INR numbers between switched and unswitched individuals

#### N = 20341

- Unswitched individuals not randomly selected, n = 8670
- Switched individuals without their matching pair, n = 4261
- Unswitched and switched individuals with an oral anticoagulation indication for DVT/PE or a nondetermined indication, n = 7410 unswitched and 2815 switched

Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated)

		6 % 1
	Nonswitchers	Switchers
Women	48	48
Age		
>65 years	-	-
67-82 years	75	75
>85 years	-	-
Modified CHA <sub>2</sub> DS <sub>2</sub> VASc, median (IQR)	4 (3-4)	3 (2-4)
Modified HAS-BLED, median (IQR)	2 (2-3)	2 (2-3)
Standard dose		
Reduced dose		
Comorbidities		
Ischemic stroke, or systemic embolism,	1	1
or TIA		
Heart failure	47	46
Myocardial infarction		
Vascular disease (see below)	-	-
Peripheral arterial disease	3	2
Renal dysfunction (see below)	-	-
Chronic renal impairment	3	2
Previous bleeding		
Intracranial	<1	<1
Gastrointestinal	<1	<1
Other	<1	<1
Hypertension	86	84
Diabetes	20	17
Cancer	-	-

Concomitant medication			
Aspirin (antiplatelet agents)	22	24	
Beta-blocker	-	-	
NSAID	6	8	
Calcium channel blocker	-	-	
Renin angiotensin system inhibitor	-	-	

### Measure of the risk of an end point

Chi-square tests and t tests were used to assess the similarity of switchers and nonswitchers according to the matching variables. Additionally, the standardized difference between these groups was calculated as the difference in means or proportions divided by the pooled SD. An imbalance between the groups was defined as an absolute value greater than 0.10. Univariate associations between exposure and covariates were analyzed with chi-square and Fisher's exact tests for classified variables, as well as a Cochran-Mantel-Haenszel trend test for ordered variables and a t test and analysis of variance for continuous variables

### Comparison of the risk of an end point between groups

A log-rank test was used to examine differences between switchers and nonswitchers in the occurrence of events. For the multivariate analysis, a conditional Cox model was used to estimate hazard ratios and their 95% confidence intervals of bleeding, ischemic stroke or systemic embolism, myocardial infarction, and of composite events, at a median follow-up of 10 months (interquartile range, 9.8-10.0)

### Software for statistical analysis

SAS software, version 9.3

CI, confidence interval; DOACs, direct oral anticoagulants; DVT, deep venous thrombosis; PE, pulmonary embolism; VKAs, vitamin K antagonists.

Study ID	Chan et al. <sup>62</sup>							
Reference	Chan YH, Kuo CT, Yeh YH	H. Chang SH. Wu IS	S. Lee HF. et al.	Thromboemb	olic, bleeding, and			
	mortality risks of rivarox	-			_			
	Am Coll Cardiol. 2016;68:	_						
Objective	To compare the risk for				tv associated with			
,	rivaroxaban and dabigatr				•			
Country	Taiwan				, ,			
Design	Nationwide retrospective	cohort study						
Data source	Taiwan National Health		h Database, cov	vering > 99%	of the Taiwanese			
2 434 554155	population in 2014			3375				
Time period	February 2013 to Decemb	ner 2013						
NOAC	Dabigatran 300 mg or 220							
	Rivaroxaban 20 mg or 15							
Control	Warfarin	mg or 10 mg damy						
Outcomes	Effectiveness							
Outcomes	Ischemic stroke							
	Systemic embolism							
	Myocardial infarction							
	Safety							
	Intracranial hemorrhage							
	Gastrointestinal bleeding							
	All hospitalizations for ble							
	All-cause mortality	eeuing						
Outcome definitions	All outcomes were requir	and to be discharge	diagnosos using	the respective	o ICD codos			
Population (eligibility)	Patients with NVAF treate				e ICD Codes			
ropulation (engionity)		eu with hvaroxaban	i, uabigati aii, Oi	waiiaiiii				
	Exclusion criteria: Pulmonary embolism or deep vein thrombosis within 6 months before AF diagnosis							
	Joint replacement or valv							
	End-stage renal disease	alai saigery within	o months before	. Al alagilosis				
	< 30 years of age							
	Rivaroxaban or dabigatra	n users switched to	warfarin					
Population	Study population	ii ascis switchea to	wanam					
(study sample)	N = 15 088							
(study sample)	Warfarin, n = 5251 (34.89	<b>6</b> 1						
	Dabigatran 300 mg daily,	·						
	Dabigatran 220 mg daily,							
	Rivaroxaban 20 mg daily,							
	Rivaroxaban 15 mg daily,	·						
	Rivaroxaban 10 mg daily, n = 416 (2.7%)							
	Target population 80 365 dabigatran, rivaroxaban, or warfarin users; 65 227 met the above exclusion criteria							
	and were excluded		. 0.00.0, 00		o choración cincona			
Population (baseline partic		ues expressed as pe	ercentages unles	s otherwise st	ated)			
					,			
	Apixaban	Dabigatran	Rivaroxaban	Warfarin	All			
	Г				participants			
Women	-	42	46	44	44			
Age, mean (SD)	-	75 (9)	76 (9)	71 (12)				
>65 years	-	87	89	69	81			
>75 years	-	58	60	43	53			
>85 years	-	16	17	13	15			
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD)	-	4.1 (1.6)	4.1 (1.6)	3.3 (1.8)	_			
HAS-BLED, mean (SD)	-	3.1 (1.1)	3.1 (1.1)	2.7 (1.3)	-			
Standard dose (for rivaro	xaban, 20 or -	10	13	-				
15 mg daily, depending or								
clearance; for dabigatran,	150 to 300							

90

87

mg daily)
Reduced dose

Comorbidities						
Ischemic stroke, or systemic embolism,	-	37	34	22	31	
or TIA						
Heart failure	-	16	16	16	16	
Myocardial infarction	-	3	4	3	3	
Vascular disease	-	0	0	0	0	
Renal dysfunction	-	22	22	21	22	
Previous bleeding	-	2	2	2	2	
Hypertension	-	86	87	75	82	
Diabetes	-	41	41	36	39	
Cancer	-	-	-	-	-	
Concomitant medication						
Aspirin	-	45	41	54	47	
Beta-blocker	-	-	-	-	-	
NSAID	-	25	23	26	25	
Calcium channel blocker	-	-	-	-	-	
Renin angiotensin system inhibitor	-	-	-	-	-	

#### Measure of the risk of an end point

Incidence rates, estimated using the total number of study outcomes during the follow-up period divided by person-years at risk

### Comparison of the risk of an end point between groups

Kaplan-Meier method and log-rank test for univariate analysis and Cox proportional hazards regression for multivariate analysis

### Confounding

The inverse probability of treatment weights of propensity scores was used to balance covariates across the 3 study groups regarding time-to-event analyses (incidence rate, logrank test, and Cox proportional hazards model)

The balance of covariates at baseline among study groups was assessed using the absolute standardized mean difference

# Sensitivity analysis

Not reported

### Supplementary analyses

Subgroup analysis to determine whether the NOACs had protective effects for 4 outcomes vs warfarin

Subgroup analysis on the basis of age, presence of chronic kidney disease, and  $CHA_2DS_2$ -VASc and HAS-BLED scores

### Software for statistical analysis

SAS 9.4 (SAS Institute, Cary, North Carolina)

## Statistical significance reference

P < .05 was considered statistically significant

NOACs, nonvitamin K antagonist oral anticoagulants; NVAF, nonvalvular atrial fibrillation; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Chan et al. <sup>63</sup>
Reference	Chan YH, Yen KC, See LC, Chang SH, Wu LS, Lee HF, et al. Cardiovascular, bleeding, and
	mortality risks of dabigatran in Asians with nonvalvular atrial fibrillation. Stroke.
	2016;47:441-449. doi:10.1161/STROKEAHA
Objective	To investigate the ischemic and bleeding outcomes associated with dabigatran in Asian
	patients with nonvalvular atrial fibrillation (AF) vs warfarin
Country	Taiwan
Design	Nationwide cohort study
Data source	The Taiwan National Health Insurance Research, which is a national billing administrative
	database of health care services with >23 million enrollees, covering >99% of the population
	of Taiwan in 2014
Time period	June 2012 to December 2013
NOAC	Dabigatran
Control	Warfarin
Outcomes	Effectiveness
	Ischemic stroke
	Myocardial infarction
	Safety
	Intracranial hemorrhage
	Major gastrointestinal bleeding
	All major bleeding events
	All-cause mortality
Outcome definitions	All outcomes had to be a discharge diagnosis
	Major gastrointestinal bleeding was defined as a hospitalized gastrointestinal bleeding event
	requiring transfusion
	Major hospitalized bleeding events were defined as the total events of intracranial
	hemorrhage plus major gastrointestinal bleeding
Population (eligibility)	Patients with NVAF treated with dabigatran or warfarin
	Exclusion criteria:
	Pulmonary embolism or deep vein thrombosis within 6 months before AF was diagnosed
	Joint replacement or valvular surgery within 6 months before AF was diagnosed
	End-stage renal disease
	< 30 years of age
	Dabigatran users switched to warfarin
Domilotion	Use of warfarin before June 2012
Population	Study population
(study sample)	N = 19 853 Warfarin, n = 9913 (50%)
	Dabigatran, n = 9940 (50%)
	300 mg daily, n = 1168 (12%)
	220 mg daily, n = 8772 (88%)
	Target population
	89 705 patients diagnosed with AF and prescribed dabigatran or warfarin, of whom 69 852
	met the above exclusion criteria and were excluded
Population (baseline parti	icipant characteristics) (values expressed as percentages unless otherwise stated)

Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated)

	Apixaban	Dabigatran	Rivaroxaban	Warfarin	All participants
Women	-	42	-	44	43
Age, mean (SD)	-	75 (10)	-	71 (12)	-
>65 years	-	87	-	71	79
>75 years	-	58	-	44	51
>85 years	-	15	-	13	14
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD)	-	3.1 (1.6)	-	3.4 (1.8)	-
HAS-BLED, mean (SD)	-	2.6 (1.0)	-	2.1 (1.2)	-
Standard dose	-	100	-	-	-
Reduced dose	-	0	-	-	-
Comorbidities					

Ischemic stroke, or systemic embolism,	, -	39	-	24	32	
or TIA						
Heart failure	-	16	-	15	16	
Myocardial infarction	-	3	-	3	3	
Vascular disease	-	-	-	-	-	
Renal dysfunction	-	23	-	21	22	
Previous bleeding	-	1	-	1	1	
Hypertension	-	87	-	77	82	
Diabetes	-	41	-	35	38	
Cancer	-	-	-	-	-	
Concomitant medication						
Aspirin	-	44	-	55	50	
Beta-blocker	-	-	-	-	-	
NSAID	-	25	-	27	26	
Calcium channel blocker	-	-	-	-	-	
Renin angiotensin system inhibitor	-	-	-	-	-	

#### Measure of the risk of an end point

Incidence rates were estimated using the total number of study outcomes during the follow-up period divided by person-years at risk

## Comparison of the risk of an end point between groups

The risk of study outcomes over time for dabigatran vs warfarin (reference) was obtained using survival analysis (Kaplan-Meier method and log-rank test for univariate analysis and Cox proportional hazards regression for multivariate analysis)

### Confounding

The inverse probability of treatment weights of propensity scores was used to balance covariates across the 2 study groups

The balance of potential confounders at baseline (index date) between the 2 study groups was assessed using the absolute standardized mean difference

### Sensitivity analysis

Not reported

## **Supplementary analyses**

Analysis stratified by age

Subgroup analysis by dabigatran dose (ie, 300 mg and 220 mg daily)

#### Software for statistical analysis

SAS 9.2 (SAS Institute Inc, Cary, North Carolina)

## Statistical significance reference

P < .05

NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; NVAF, nonvalvular atrial fibrillation; SD, standard deviation; TIA, transient ischemic attack.

Г									
Study ID	Chang et al. <sup>62</sup>								
Reference	Chang HY, Zhou M, Tang W, Alexander GC, Singh S. Risk of gastrointestinal bleeding associated with oral anticoagulants: population based retrospective cohort study. <i>BMJ</i> . 2015;350:h1585. doi:10.1136/bmj.h1585								
Objective		the real-world	-	gatran or rivar	oxaban vs warfa	arin in terms of			
Country	United States	ar breeding							
Design		Retrospective cohort study							
Data source		IMS Health LifeLink Health Plan Claims Database. This database contains commercial health							
Data source	plan informat		aged care plan			Medicare and			
Time period	October 1, 201	LO and March 3	1, 2012						
NOAC	Dabigatran 15 Rivaroxaban	0 mg twice dail	У						
Control	Warfarin								
Outcomes	Safety								
	-	intestinal bleed	ding						
Outcome definitions	Outcome defin	ned according t	o ICD-9 codes ar	nd CPT codes va	lidated in a recei	nt study			
Population (eligibility)	Enrollees with 2010 and Mar no oral antico	a prescription ch 31, 2012, w agulant use du	n of warfarin, d ho were aged 18 Iring the 6 mont	abigatran, or ri Byears or older, ths before the o	ivaroxaban betw , had continuous	veen October 1, enrollment and known age and			
Population	Study populat		0			, , , , , , , , , , , , , , , , , , , ,			
(study sample)  Population (baseline parti	N = 46 163 Dabigatran, n Rivaroxaban, r Warfarin, n = 3 Target popula N = 244 872 Excluded:  • Age < 18 y • Without of 74 289 • Without of 87 722 • Not new of First preso • Missing se • Had previous period)	= 4907 n = 1649 3906 tion  years, n = 1057 ontinuous med continuous drug user, n = 11902 cription of oral ex information, ous bleeding, ristics) (values Dabigatran	g enrollment ov 6 anticoagulant af n = 395 n = 12979 (106	er 6 months be ter March 31, 2 i93 in prebaseli rcentages unles Warfarin	efore the cohort  012, n = 7880  ine period and 3  s otherwise state  All	t entry date, n = entry date, n = 8533 in baseline			
		(n = 4907)	. ,	(n = 39 607)	participants (n = 46 163)				
Women		30.9	51.5	46.9	45.3				
Age, mean (SD)		62.0 (12.0)	57.6 (9.8)	57.4 (13.5)	57.6 (13.3)				
≥ 65 years		32.8	17.5	22.4	23.3				
>75 years		-	-	-	-				
>85 years				-	-				
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD	)								
HAS-BLED, mean (SD)		100							
Standard dose		100							
Reduced dose		-							
Comorbidities									
Ischemic stroke, or syster or TIA	nic embolism,	-	-	-	-				
Heart failure		-	-	-	-				
Myocardial infarction		-	-	-	-				

Vascular disease	-	-	-	-
Renal dysfunction	-	-	-	-
Renal failure	4.2	2.1	5.1	4.9
Previous bleeding	-	-	-	-
Hypertension	-	-	-	-
Diabetes	-	-	-	-
Cancer	-	-	-	-
Concomitant medication				
Aspirin	-	-	-	-
Beta-blocker	-	-	-	-
NSAID	15.6	43.7	23.9	23.7
Calcium channel blocker	-	-	-	-
Renin angiotensin system inhibitor	-	-	-	-

## Measure of the risk of an end point

Rate of gastrointestinal bleeding (per 100 person-years)

#### Comparison of the risk of an end point between groups

Hazard ratios were derived from Cox proportional hazard models with propensity score weighting and robust estimates of errors

#### Confounding

Propensity score weighting

#### Sensitivity analysis

Two additional models were evaluated: 1 including all variables as regression covariates and another including all variables as stratification factors. Secondly, the length of the washout period was varied from 7 to 30 to 45 days to check the robustness of the results. Thirdly, all inpatient records were censored due to the lack of prescription information during hospital admission in order to examine whether such an exclusion would affect the findings. Finally, the HAS-BLED bleeding risk score was additionally included in the model to control for a patient's risk of bleeding and examine whether the results would change. Due to the lack of laboratory data, the labile International Normalized Ratio was excluded from the construction of this risk score

## Software for statistical analysis

SAS 9.2

# Statistical significance reference

Statistical significance was determined with 95% confidence intervals and 2-tailed P values ( $P \le .05$ )

 $NSAIDs, nonsteroidal\ anti-inflammatory\ drugs; SD,\ standard\ deviation;\ TIA,\ transient\ is chemic\ attack.$ 

Study ID	Coleman et al. <sup>65</sup>
Reference	Coleman CI, Antz M, Bowrin K, Evers T, Simard EP, Bonnemeier H, Cappato R. Real-World
	Evidence of Stroke Prevention in Patients with Nonvalvular Atrial Fibrillation in the United States: the REVISIT-US Study. <i>Curr Med Res Opin</i> . doi:10.1080/03007995.2016.1237937
Objective	To assess the effectiveness and safety of rivaroxaban or apixaban vs warfarin in nonvalvular atrial fibrillation (NVAF) patients treated outside of clinical trials
Country	United States
Design	Retrospective cohort study
Data source	MarketScan covers all age groups and contains claims from about 100 employers, health plans, and government and public organizations representing about 170 million covered lives in the US (health plan enrollment records, limited participant demographics, International Classification of Diseases, Ninth Revision, Clinical Modification [ICD-9-CM] diagnosis and procedure codes, admission and discharge dates, inpatient mortality data, and outpatient
	medical services and prescription drug dispensing records). It combines 2 separate databases:  Commercial  Medicare supplemental database
Time period	January 2012 to October 2014
NOAC	Rivaroxaban 15 mg once daily
	Rivaroxaban 20 mg once daily Apixaban 2.5 mg twice daily Apixaban 5 mg twice daily
Control	Warfarin
Outcomes	Effectiveness Ischemic stroke Intracranial hemorrhage (ICH) ICH and ischemic stroke combined Safety ICH
Outcome definitions	ICD-9-CM
Population (eligibility)	Patients had to be oral anticoagulant (OAC) treatment-naïve in the 180 days prior to the day of the first qualifying OAC dispensing, newly initiated on rivaroxaban, apixaban, or warfarin, ≥ 18 years of age on the day of the first qualifying OAC dispensing (index date), with a baseline CHA₂DS₂-VASc score ≥ 2, ≥ 2 ICD-9-CM diagnosis codes for NVAF (427.31), and ≥ 180 days of continuous medical and prescription coverage prior to OAC initiation Patients with valvular heart disease, a transient cause of NVAF, venous thromboembolism, hip or knee replacement surgery, malignant cancer, or pregnancy, and patients receiving OAC before the index date, or prescribed > 1 OAC agent on the index date or during follow-up were excluded. In addition, patients with a prior history of stroke, systemic embolism, or ICH were excluded from the analysis to prevent misclassification of past events as new events
Population	Study population
(study sample)	N = 38 831 NVAF patients newly initiated on rivaroxaban Rivaroxaban, n = 12 748 Warfarin, n = 26 083 N = 18 591 NVAF patients newly initiated on apixaban Apixaban, n = 4332 Warfarin, n = 14 259 Target population From the 38 831 patients with rivaroxaban, 10.5% could not be adequately matched and were therefore excluded from the analyses. Following propensity-scoring, 11 411 rivaroxaban (17.3% received the reduced 15 mg once daily) and 11 411 warfarin users were matched From the 18 591 apixaban, 5.7% patients could not be adequately matched and were therefore excluded from the analyses. Following propensity-scoring, 4083 apixaban and 4083 warfarin users were included
Population (baseline part	icipant characteristics) (values expressed as percentages unless otherwise stated)
i opulation (baseline part	· · · · · · · · · · · · · · · · · · ·

	Rivaroxaban	Warfarin	Apixaban	Warfarin
Women	46.4	46.1	46.8	46.4
Age, mean (SD)	70.66 (10.99)	70.72 (11.35)	71.00 (11.25)	71.15 (11.32)
>65 years	-	-	-	-
>75 years	-	-	-	-
>85 years	-	-	-	
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD)	3.46 (1.37)	3.48 (1.35)	3.47 (1.38)	3.47 (1.35)
HAS-BLED, mean (SD)	1.62 (0.69)	1.62 (0.71)	1.65 (0.69)	1.66 (0.72)
Standard dose	82.7	-	84.5	-
Reduced dose	17.3	-	15.5	-
Comorbidities				
Ischemic stroke, or systemic embolism, or	-	-	-	-
TIA				
Heart failure	19.8	20.0	19.1	19.0
Myocardial infarction	-	-	-	-
Vascular disease	-	-	-	-
Renal failure	1.2	1.2	1.8	1.8
Previous bleeding				
Hypertension	93.4	93.7	94.9	94.6
Diabetes mellitus	34.3	34.9	34.1	33.8
Cancer	-	-	-	-
Concomitant medication				
Aspirin (see below)	-	-	-	-
Antiplatelet medication	11.0	10.9	10.8	10.8
Beta-blocker	51.1	51.4	56.0	55.3
NSAID	16.3	16.0	16.7	16.7
Calcium channel blocker	34.4	34.6	37.1	35.8
Renin angiotensin system inhibitor	-	-	-	-
	risk of an end poi	int		

## Measure of the risk of an end point

Incidence rates of end points (number of events per 100 person-years or %/year)

# Comparison of the risk of an end point between groups

Cox proportional hazards regression analysis was performed to estimate hazard ratios with 95% confidence intervals for the development of each end point

## Software for statistical analysis

Aetion Evidence Generation Platform - Effectiveness Evaluation Application version R2.0.20160113\_2214-0 g6871884

## Statistical significance reference

P < .05 was considered statistically significant

NSAIDs, nonsteroidal anti-inflammatory drugs; NVAF, nonvalvular atrial fibrillation; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Ellis et al. <sup>10</sup>				
Reference	Ellis MH, Neuman T, Bitter	rman H, Dotan S	SG, Hammerman	A, Battat E,	et al. Bleeding in
	patients with atrial fibril	lation treated v	with dabigatran	, rivaroxabar	n or warfarin: A
	retrospective population-l	based cohort	study. <i>Eur J</i>	Intern Mea	<i>l.</i> 2016;33:55-59.
	doi:10.1016/j.ejim.2016.05.	023			
Objective	To determine the incidence	ce of bleeding ir	n patients with	atrial fibrillat	ion (AF) receiving
	dabigatran, rivaroxaban, or	warfarin			
Country	Israel				
Design	Retrospective population-ba	ased cohort study	У		
Data source	Nationwide computerized d	latabase, covering	g 4.3 million subj	ects	
Time period	January 2011 to December 2				
NOAC	Rivaroxaban 20 mg once da	ily			
	Dabigatran 300 mg daily or	220 mg daily			
Control	Warfarin (2.5 mg dose table	ets)			
	Target INR 2.0-3.0				
Outcomes	Effectiveness				
	None				
	Safety				
	Any bleeding				
	Intracranial hemorrhage				
	Gastrointestinal bleeding				
	Mortality within 30 days of	hemorrhage			
Outcome definitions	Not provided				
Population (eligibility)	Patients with AF, prescribe	_			
	the first time and for a m	ninimum of 3 co	onsecutive mont	hs between .	January 2011 and
	December 2013				
Population	Study population 18 249				
(study sample)	Warfarin, n = 9564 (52.4%)	<b>(</b> )			
	Dabigatran, n = 5976 (32.7%		- (200		
	1806 (9.9%) received the re-				
	4170 (22.8%) received the r	· · · · · · · · · · · · · · · · · · ·	o mg dany)		
	Rivaroxaban, n = 2709 (14.8 Target population	70)			
	18 249 patients with AF,	admitted to bo	snital with hom	orrhage rece	oiving dahigatran
	rivaroxaban, or warfarin	admitted to no	spital with hen	ioiiiiage, ieci	civilig dabigatiali,
Population (baseline part	icipant characteristics) (value	s expressed as ne	ercentages unless	s otherwise st	ated)
Topulation (baseline part	Apixaban	Dabigatran	Rivaroxaban	Warfarin	All
	Apixubuli	Dabigatian	Mivaroxaban	wanann	participants
Women	-	46.4	38.6	43.8	43.9
Age, median	-	-	82	79	-
_			~ <b>-</b>	. •	
>b5 years	-	_	_	-	-
>65 years >75 years	-	-	-	-	-
>75 years	- - -	- -	-	- -	-
>75 years >85 years	- - -	- - -	- - - 4		- - -
>75 years	- - - -	- - -	- - - 4 -	- - - 3	- - - -

Wollich		40.4	30.0	75.0	43.5
Age, median	-	-	82	79	-
>65 years	-	-	-	-	-
>75 years	-	-	-	-	-
>85 years	-	-	-	-	-
CHA <sub>2</sub> DS <sub>2</sub> VASc, median	-	-	4	3	-
HAS-BLED, mean (SD)	-	-	-	-	-
Standard dose	-	30.2	100	100	77.1
Reduced dose	-	69.8	0	0	22.9
Comorbidities	-	-	-	-	-
Ischemic stroke, or systemic embolism,	-	-	-	-	-
or TIA					
Heart failure	-	-	-	-	-
Myocardial infarction	-	-	-	-	-
Vascular disease	-	-	-	-	-
Renal dysfunction	-	-	-	-	-
Previous bleeding	-	-	-	-	-
Hypertension	-	-	-	-	-
Diabetes	-	-	-	-	-
Cancer	-	-	-	-	-

Concomitant medication						· ·
Aspirin (reported as antiplatelet drug	-	39.5	55	52	48.3	
use)						
Beta-blocker	-	-	-	-	-	
NSAID	-	-	-	-	-	
Calcium channel blocker	-	-	-	-	-	
Renin angiotensin system inhibitor	-	-	-	-	-	

## Measure of the risk of an end point

Rates of bleeding per 100 patient-years and associated 95% confidence intervals

## Comparison of the risk of an end point between groups

Assessment of whether the 95% confidence intervals for bleeding rates in the groups overlap Cox regression analysis of time to bleeding or censoring (warfarin as reference)

### Confounding

Cox regression analysis adjusted for age, sex, serum creatinine,  $CHADS_2$  score, and aspirin use

## Sensitivity analysis

Not reported

## **Supplementary analyses**

Not reported

## Software for statistical analysis

SPSS version 21

## Statistical significance reference

P < .05

AF, atrial fibrillation; NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Fontaine et al. <sup>11</sup>
Reference	Fontaine GV, Mathews KD, Woller SC, Stevens SM, Lloyd JF, Evans RS. Major bleeding with
	dabigatran and rivaroxaban in patients with atrial fibrillation: A real-world setting. Clin Appl
	Thromb Hemost. 2014;20:665-672. doi:10.1177/1076029614536606
Objective	To assess risk of bleeding among "real-world" patients with atrial fibrillation (AF) taking novel
	oral anticoagulants
Country	United States
Design	Nationwide cohort study (retrospective electronic medical record and chart review)
Data source	Enterprise Data Warehouse (EDW) at Intermountain Healthcare: the EDW is a central data
	repository that houses all medical record data for patient encounters at Intermountain
	Healthcare hospitals, clinics, and pharmacies
Time period	October 2010 and November 2012
NOAC	Dabigatran
	Rivaroxaban
Control	Warfarin
Outcomes	Safety
	Major bleeding
Outcome definitions	Major bleeding was defined as fatal bleeding, bleeding into a critical organ or organ space
	including intracranial, intraspinal, intraocular, intraarticular, peritoneal, and pericardial, or
	other bleeding in the setting of the transfusion of $\geq 2$ units of packed red blood cells. This
	included bleeding into the gastrointestinal or genitourinary tracts. Omitted from the
	definition of major bleeding was a solitary drop in hemoglobin of ≥ 2 mg/dL in the absence of
	clinically overt bleeding due to the lack of specificity (eg, hemoglobin changes can occur for
	reasons other than bleeding, such as hydration)
Population (eligibility)	Patients were included if they had a diagnosis of AF and were receiving either dabigatran or
	rivaroxaban
	To ensure that the included patients were actively receiving a novel oral anticoagulant and
	had not been initially provided a prescription for a novel oral anticoagulant and then were
	switched back to warfarin, patients with an International Normalized Ratio (INR) of ≥ 1.8 in
	the 90 days following initiation of either dabigatran or rivaroxaban were excluded from the
	final analysis
Population	Study population
(study sample)	N = 2579 patients
	Target population
	N = 6910
	Excluded:
	Encounters were removed because of patient duplication, n = 1951 Without atrial fibrillation, n = 1884
	Not experiencing major bleeds, n = 487
	Major bleeding while not taking a novel oral anticoagulant within the previous 7 days, n = 2
	Major bleeding after transitioning back to warfarin therapy, n = 5
	No evidence of major bleeding on manual chart review, n = 2
	ind evidence of major bleeding off manual chart review, if - 2

Study ID	Forslund et al. <sup>66</sup>				
Reference	Forslund T, Wettermark B, A	ndersen M, Hje	mdahl P. Stroke	and bleeding wit	th non-vitamin K
	antagonist oral anticoagular	-		_	
	fibrillation: a population	on-based col	nort study.	Europace. 2	017;20:420-428.
	doi:10.1093/europace/euw4	16	•	•	•
Objective	To evaluate both effectiven		outcomes with	NOAC vs warfa	rin treatment in
•	OAC-naïve patients with NVA				
	decentralized OAC treatment			•	
Country	Sweden				
Design	Nationwide cohort study				
Data source	The Stockholm administration	ve health data	register (VAL),	which contains	pseudonymized
	data on diagnoses, age, se	x, prescription	claims, hospita	lizations and ot	her health care
	consultations, migration, and	d death for all	individuals in th	e region. The V	AL also contains
	individual level data on all p	rescription drug	gs dispensed any	where in Swede	n to inhabitants
	in the region since July 2010	D: amounts, ex	penditures and r	eimbursement,	patient age and
	sex, copayments, and prescri	ber category			
Time period	January 2012 until December	2015			
NOAC	Dabigatran				
	Rivaroxaban				
	Apixaban				
Control	Warfarin				
Outcomes	Effectiveness				
	TIA/ischemic or unspecified s	troke/death			
	Safety				
	Severe bleeds				
Outcome definitions	Severe bleeds were defined a		-		
	from varicose veins, hemoth	orax, hemoper	icardium, intraod	cular bleeding, o	r anemia due to
	an acute major bleed				
Population (eligibility)	All individuals with nonvalvu			either a NOAC	or warfarin from
	January 2012 until December				
	Patients were excluded if the				
	drug of inclusion or if they ha	-	•		
	mitral stenosis. Each individ	iuai was oniy	included once, 1	that is, at the c	late of the first
Daniel d'au	treatment claimed				
Population	Study population		randanin (n. 120	110) NOAC (-	0270) :- 046
(study sample)	Initiation of anticoagulant tr	eatment with v	varrarın (n = 129	or NOAC (n	= 9279) IN OAC-
	naïve patients with NVAF Dabigatran, n = 3322				
	Rivaroxaban, n = 2370				
	Apixaban, n = 3587				
	Target population				
	N = 20 588				
	Excluded:				
	No previous diagnosis of atria	al fibrillation: w	arfarin n = 7786	· NOAC n = 7113	₹
	Diagnosis of or procedure of		· · · · · · · · · · · · · · · · · · ·	•	
	NOAC, n = 134	cae ioi illection		J.C.110313. W	
	Prior anticoagulant treatmen	t: warfarin. n =	633: NOAC. n = 4	1062	
Population (baseline part	icipant characteristics) (values				ed)
Paranen (wasenine but	Warfarin	NOAC	Dabigatran	Rivaroxaban	Apixaban
Women	44.6	43.5	40.0	45.4	45.4
	טידד	73.3	70.0	13.7	

	Warfarin	NOAC	Dabigatran	Rivaroxaban	Apixaban
Women	44.6	43.5	40.0	45.4	45.4
Age, median (SD)	74.1 (11.0)	72.9 (11.1)	69.9 (11.3)	74.0 (10.3)	75.0 (10.8)
65-74 years	32.1	36.3	39.5	35.8	33.7
75-79 years	16.8	15.4	13.6	17.3	15.7
>80 years	34.4	29.2	20.1	31.5	36.1
CHA2DS2VASc, mean (SD)	3.68 (1.91)	3.42 (1.91)	3.01 (1.89)	3.59 (1.88)	3.69 (1.90)
HAS-BLED, mean (SD)					
Comorbidities					

Ischemic stroke, or systemic embolism,					
-	-	-	-	-	-
or TIA					
Ischemic stroke/TIA or peripheral	21.1	20.4	18.2	20.4	22.4
embolus					
Heart failure	26.3	23.0	19.4	25.0	25.0
Myocardial infarction	-	-	-	-	-
Vascular disease	30.2	24.5	20.1	27.8	26.3
Renal dysfunction	7.9	5.0	2.1	5.5	7.4
Previous bleeding (see below)	-	-	-	-	-
Gastric/duodenal bleeding	1.0	0.9	0.7	1.0	1.1
Intracranial bleed	1.8	2.9	2.6	3.0	3.3
Any severe bleed	7.6	9.4	7.5	10.0	10.8
Hypertension	70.1	67.8	63.1	68.4	71.7
Diabetes	20.1	17.1	15.0	18.1	18.4
Cancer	22.2	22.1	18.6	22.3	25.2
Concomitant medication					
Aspirin (see below)	-	-	-	-	-
Prior low-dose aspirin	47.8	44.9	42.6	51.1	42.8
Beta-blocker	-	-	-	-	-
NSAID	-	-	-	-	-
Calcium channel blocker	-	-	-	-	-
Renin angiotensin system inhibitor	-	-	-	-	-

#### Measure of the risk of an end point

Crude estimates with data presented as proportions or mean values with 95% confidence intervals, as appropriate

#### Comparison of the risk of an end point between groups

Cox regression analyses were performed for crude and adjusted estimates evaluating 2 coprimary end points: the composite end point—TIA/ischemic or unspecified stroke/death (adjusted for individual CHA2DS2-VASc criteria with age as a continuous variable)—and severe bleeds, adjusted for sex and adapted HAS-BLED criteria (anemia, severe bleed, TIA/stroke, liver disease, renal disease, alcoholism, and prior antiplatelet therapy) with age as a continuous variable

## Software for statistical analysis

SAS Enterprise Guide 6.1 (SAS Institute Inc, Cary, North Carolina)

### Statistical significance reference

A 5% level of significance was considered

NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; NVAF, nonvalvular atrial fibrillation; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Gieling et al. <sup>67</sup>
Reference	Gieling EM, van den Ham HA, van Onzenoort H, Bos J, Kramers C, de Boer A. Risk of major
	bleeding and stroke associated with the use of vitamin K antagonists, nonvitamin K
	antagonist oral anticoagulants and aspirin in patients with atrial fibrillation: A cohort study.
	Br J Clin Pharmacol. 2017;83:1844-1859. doi:10.1111/bcp.13265
Objective	To evaluate the risk of major bleeding and stroke in AF patients using NOACs, VKAs, or
	aspirin
Country	United Kingdom
Design	Retrospective cohort study
Data source	The Clinical Practice Research Datalink Database (includes demographic information,
	laboratory tests, specialist referrals, hospital admissions, prescription details, and lifestyle
	variables such as body mass index, smoking, and alcohol consumption)
Time period	March 2008 to October 2014
NOAC	NOACs
	VKAs
	Aspirin
Control	Warfarin
Outcomes	Effectiveness
	Ischemic stroke
	Hemorrhagic stroke
	Safety
	Major bleeding, gastrointestinal bleeding, intracranial bleeding, stroke
Outcome definitions	The UK Read code system was used to define outcomes. Major bleeding was defined as
	bleeding at a critical site or organ and the selected read-codes were reviewed by a clinician
	for relevancy
Population (eligibility)	All patients aged ≥ 18 with a first-ever recorded diagnosis of AF during a patient's period of
	valid data collection. Only patients with a follow-up time between 18 March 2008 (the date
	of market introduction of the NOACs) and 1 October 2014 were included. Within this cohort
	of AF patients, new users of antithrombotic drugs were identified: VKAs, NOACs, and low-
	dose (≤ 325 mg) aspirin. New users were defined as patients who had never been exposed to
	any of the drugs of interest
Population	Study population
(study sample)	Cohort: stroke, N = 29 446 NOAC users, n = 1128
	VKA users, n = 1128
	Aspirin users, n = 15 471
	Mixed users, n = 402
	Cohort: major bleeding, N = 30 418
	NOAC users, n = 1247
	VKA users, n = 13 177
	Aspirin users, n = 15 551
	Mixed users, n = 443
	Target population
	N = 211 126
	Excluded:
	<ul> <li>Under 18 years at AF diagnosis, n = 142</li> </ul>
	<ul> <li>AF diagnosis outside valid data collection or study period, n = 131 478</li> </ul>
	<ul> <li>Patient's year of birth was after the left censoring date, n = 24</li> </ul>
	<ul> <li>Patients with AF but without prescription of interest before or after AF diagnosis, n =</li> </ul>
	83 473
	<ul> <li>Patients with prior use of eligible study drug, n = 38 531</li> </ul>
	<ul> <li>Patients with prior use of engine study drug, it = 38 351</li> <li>Patients with previous stroke, n = 2051</li> </ul>
	<ul> <li>Patients with previous stroke, n = 2051</li> <li>Patients with previous major bleed, n = 1079</li> </ul>
Population (baseline part	icipant characteristics) (values expressed as percentages unless otherwise stated)

Population (basel	ine participa	ant characteristics	(va	lues ex	pressed	as	percentages	unless	otherwis	e state	d)
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	Cohort o	Cohort outcome bleed Cohort outcome stroke						
	NOAC	VKA	Aspirin	Mixed	NOAC	VKA	Aspirin	Mixed
Women	45.4	46.1	49.9	35.9	44.4	45.7	49.5	35.3
Age, mean (SD)	72.4	71.9	73.5	72.2	72.0	71.7	73.4	71.8

	(12.6)	(11.9)	(12.7)	(10.6)	(12.8)	(12.0)	(12.7)	(10.5)
60-69 years	20.2	22.3	23.1	26.4	21.0	22.4	23.2	27.4
70-79 years	32.2	34.1	27.4	36.1	31.0	33.9	27.4	35.6
≥80 years	30.5	28.9	36.2	26.2	30.1	28.6	35.9	25.1
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean	2.6 (1.5)	2.6 (1.5)	2.5 (1.5)	2.6	2.4 (1.5)	2.5 (1.5)	2.5	2.5
(SD)	2.0 (1.5)	2.0 (1.5)	2.3 (1.3)	(1.4)	2.4 (1.5)	2.5 (1.5)	(1.4)	(1.4)
HAS-BLED, mean (SD)	_	_	-	-	-	_	-	-
Comorbidities								
Ischemic stroke, or								
systemic embolism, or								
TIA								
Congestive heart	7.2	10.1	5.8	14.9	7.5	10.4	5.8	15.7
failure								
Myocardial infarction	-	-	-	-	-	-	-	-
(see below)								
Ischemic heart disease	8.3	10.2	9.0	25.1	7.7	10.1	8.9	26.1
Vascular disease (see	-	-	-	-	-	-	-	-
below)								
Peripheral artery	5.1	5.0	3.9	5.9	5.4	5.0	4.0	6.0
disease								
Renal dysfunction (see	-	-	-	-	-	_	-	-
below)								
Chronic renal failure	0.5	1.1	1.0	<5	0.5	1.0	1.0	<5
Acute renal failure	0.6	0.5	0.7	<5	0.4	0.5	0.7	<5
Previous bleeding (see	-	-	-	-	-	-	-	-
below)								
GI bleed	<5	<5	<5	<5	2.8	2.6	2.5	1.5
Hypertension	54.1	53.3	49.6	5.2	53.6	53.0	49.4	51.0
Diabetes	-	-	-	-	-	-	-	-
Cancer	0.9	0.9	0.7	0.9	1.3	1.0	0.8	1.0
Concomitant								
medication								
Aspirin (see below)	-	-	-	-	-	-	-	-
Antiplatelet drug	0.7	1.4	0.6	<5	0.4	1.0	0.4	<5
Beta-blocker	-	-	-	-	-	-	-	-
NSAID	11/2	11.8	13.3	13.5	10.9	12.1	13.4	13.7
Calcium channel	-	-	-	-	-	-		-
blocker								
Renin angiotensin	-	-	-	-	-	-	-	-
system inhibitor								
Analysis			f an end poin					
	Crude inc	idence rates	of outcomes	within 1 ye	ear per 1000	person-years	were calc	ulated
	1							

Crude incidence rates of outcomes within 1 year per 1000 person-years were calculated **Comparison of the risk of an end point between groups** 

Cox proportional hazards regression analysis estimated the adjusted hazard ratios **Confounding** 

Potential confounders were included in the final model if they independently changed the beta-coefficient for current use with the outcome of interest by at least 5% or when a consensus about inclusion existed within the team of researchers, supported by clinical evidence from the literature

#### Software for statistical analysis

SAS 9.2 PHREG procedure

AF, atrial fibrillation; GI, gastrointestinal; NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; TIA, transient ischemic attack; SD, standard deviation, VKAs, vitamin K antagonists.

Study ID	Gorst-Rasmussen et al. <sup>12</sup>	
Reference	Gorst-Rasmussen A, Lip GY, Bjerregaard	Larsen T. Rivaroxaban versus warfarin a
	dabigatran in atrial fibrillation: comparative	effectiveness and safety in Danish routine can
	Pharmacoepidemiol Drug Saf. 2016;25:1236	-1244. doi:10.1002/pds.4034
Objective		rivaroxaban vs warfarin or dabigatran etexilate
	nonvalvular atrial fibrillation (AF) patients	
Country	Denmark	
Design	Nationwide cohort study	
Data source	Three nationwide Danish registries:	
		ry (with information on all prescription purchas
	_	Anatomical Therapeutic Chemical classification
	codes)	
		r (containing > 99% of all hospital dischar
	_	ed according to the International Classification
	Diseases [ICD])	
		ontaining information on date of birth, sex, a
	residency)	
Time period	February 2012 to August 2014	
NOAC	Rivaroxaban 15 mg	
	Rivaroxaban 20 mg	
	Dabigatran 110 mg	
	Dabigatran 150 mg	
Control	Warfarin (any dose)	
Outcomes	Effectiveness	
	<ul> <li>Ischemic stroke/systemic embolism (SE)</li> </ul>	/transient ischemic attack (TIA)
	All-cause death	
	Myocardial infarction	
	<ul> <li>Venous thromboembolism</li> </ul>	
	Safety	
	Any bleeding	
	Intracranial bleeding	
	<ul> <li>Gastrointestinal bleeding</li> </ul>	
	<ul> <li>Major bleeding events</li> </ul>	
Outcome definitions	End points were ascertained according to	the International Classification of Disease, 10
	revision (ICD-10)	
Population (eligibility)		ibrillation with a first-time purchase of the NO
	of interest or warfarin during the study time	•
		inticoagulants (warfarin, rivaroxaban, dabigatra
	or apixaban) within 2 years of baseline	
		llowing applied: immigrated within 1 year befo
		diagnosis; knee or hip surgery within 30 da
5 1.:	before baseline; prior valvular surgery; and p	orior diagnosis of mitral stenosis
Population	Study population	
(study sample)	N = 22 358	og n = 1630\
	Rivaroxaban, n = 2405 (15 mg, n = 776; 20 m Dabigatran, n = 8908 (110 mg, n = 3588; 150	
	Warfarin, n = 11045	) filg, fi = 5320)
	Target population	
	N = 33 243	
	Excluded:	
	<ul> <li>Prior valvular surgery/mitral stenosis, n</li> </ul>	= 526
	<ul> <li>Knee or hip surgery &lt; 6 weeks before, n</li> </ul>	
	<ul> <li>Rriee or riip surgery &lt; 6 weeks before, ri</li> <li>Prior venous thromboembolism, n = 159</li> </ul>	
	Anticoagulant purchase < 2 years before	
	<ul> <li>Immigrated &lt; 1 year before, n = 37</li> </ul>	5, II - 0943
Donulation /hazalina		orcontagos unloss othorwica statad\
ropulation (baseline par	rticipant characteristics) (values expressed as pe	ercentages uniess otherwise statea)
	Rivaroxaban	Dabigatran Warfarin
_	Vinannangij	Dabigatran Warfarin

	15 mg	20 mg	110 mg	150 mg	
Women	59.7	48.9	56.8	36.5	43.0
Age, mean (SD)	82.8 (8.7)	72.8 (9.9)	80.8 (8.0)	66.0 (8.5)	72.6 (11.3)
≥65 years	96.1 (746)	82.0 (1336)	95.5 (3427)	62.4 (3319)	78.3 (8649)
≥75 years	82.6 (641)	39.2 (639)	81.4 (2921)	12.4 (659)	45.1 (4984)
>85 years	-	-	-	-	-
CHA₂DS₂VASc, mean (SD)	2.3 (1.2)	1.5 (1.3)	2.0 (1.2)	1.0 (1.0)	1.6 (1.3)
HAS-BLED, mean (SD)	2.8 (1.1)	2.3 (1.1)	2.6 (1.1)	1.9 (1.2)	2.4 (1.2)
Standard dose	-	68	-	60	100
Reduced dose	32	-	40	-	
Comorbidities					_
Ischemic stroke, or systemic embolism,	-	-	-	-	-
or TIA					
Prior stroke	20.9	18.2	16.9	9.4	12.2
Heart failure	17.4	5.3	8.6	3.7	9.9
Myocardial infarction	-	-	-	-	-
Vascular disease	22.2	12.2	18.1	9.9	20.5
Renal dysfunction	-	-	-	-	-
Renal disease	10.1	1.5	2.5	1.1	6.5
Previous bleeding	17.0	14.3	16.8	10.1	14.3
Hypertension	38.4	35.2	36.5	27.7	35.3
Diabetes	17.4	13.8	14.0	12.9	16.8
Cancer	-	-	-	-	-
Concomitant medication					
Aspirin	55.8	44.0	48.9	36.1	48.1
Beta-blocker	-	-	-	-	-
NSAID	21.5	21.2	22.4	24.7	23.1
Calcium channel blocker	-	-	-	-	-
Renin angiotensin system inhibitor	-	-	-	-	-
Clopidogrel	11.5	10.2	10.8	6.1	8.9

### Measure of the risk of an end point

Crude event rates for all end point and treatment combinations

#### Comparison of the risk of an end point between groups

Restricted attention to contrasts between clinically meaningful treatment alternatives: R15 vs warfarin, R15 vs D110, R20 vs warfarin, and R20 vs D150

#### Confounding

Propensity score (PS) methods were subsequently used to control for baseline differences. Each of the 4 contrasts defined a subcohort of patients receiving either rivaroxaban or a comparison treatment. Within each subcohort, we derived a PS for the probability of rivaroxaban therapy using boosted logistic regression models. Standardized mean differences were used to check the balance of treatment groups

Cox proportional hazards models stratified by deciles of the trimmed PS were then used to compare event rates within each subcohort

#### Sensitivity analysis

First, the trimmed PS was entered in "standardized mortality reweighted" Cox models estimating the average treatment effect on the treated patients. Secondly, an alternative PS was obtained using the high-dimensional propensity score technique. Cox models were then stratified for the primary end points by deciles of this PS after performing asymmetric trimming, as previously described

Finally, the primary analysis was repeated after truncation of follow-up when there was evidence of discontinuation; additionally, patients were censored if they were deemed to have been off treatment for more than 30 days or if they switched treatment

#### Software for statistical analysis

R version 3.0.2 with the "twang" add-on

## Statistical significance reference

A 2-sided P value less than .05 was considered statistically significant

AF, atrial fibrillation; NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; SD, standard deviation; TIA, transient ischemic attack.

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Study ID	Graham et al. <sup>68</sup>
Reference	Graham DJ, Reichman ME, Wernecke M, Zhang R, Southworth MR, Levenson M.
	Cardiovascular, bleeding, and mortality risks in elderly Medicare patients treated with
	dabigatran or warfarin for nonvalvular atrial fibrillation. <i>Circulation</i> . 2015;131:157-164.
	doi:10.1161/CIRCULATIONAHA.114.012061
Objective	To evaluate the safety of dabigatran vs warfarin for treatment of nonvalvular atrial
	fibrillation
Country	United States
Design	Retrospective cohort study
Data source	Medicare health insurance databases:
	Medicare Part A (hospitalization)
	Medicare Part B (office-based medical care)
	Medicare Part D (prescription drugs)
Time period	October 2010 and December 2012
NOAC	Dabigatran 75 mg twice daily
	Dabigatran 150 mg twice daily
Control	Warfarin
Outcomes	Effectiveness
	Ischemic stroke
	Acute myocardial infarction
	• Death
	Intracranial hemorrhage
	Safety
	Major bleeding
0	Gastrointestinal bleeding
Outcome definitions	International Classification of Diseases, Ninth Revision, Clinical Modification codes were used
	to define these outcomes  Major blooding was defined as a fatal blooding event, a bespitalized blooding event requiring
	Major bleeding was defined as a fatal bleeding event, a hospitalized bleeding event requiring transfusion, or hospitalization with hemorrhage into a critical site (ie, intracranial,
	intraspinal, intraarticular, intraocular, pericardial, retroperitoneal, or intramuscular with
	compartment syndrome)
	Intracranial hemorrhage was defined with the use of codes for a traumatic hemorrhage, with
	a positive predictive value of 89% to 97%, and codes for hemorrhage with closed head
	trauma, which have not been validated
Population (eligibility)	All patients with any inpatient or outpatient diagnoses of AF or atrial flutter based on
	International Classification of Diseases, Ninth Revision coding who also filled at least 1
	prescription for either drug during the study period. Patients discharged from the hospital on
	the same day as their index dispension were included
	Patients were excluded if they had < 6 months of enrollment in Medicare before their index
	dispensing, were aged < 65 years, received prior treatment with a study medication or
	rivaroxaban or apixaban (anticoagulants approved during the study), were in a skilled nursing
	facility or nursing home, or were receiving hospice care on the date of their cohort-qualifying
	prescription. Patients were also excluded if they had a hospitalization that extended beyond
	the index dispensing date. Patients undergoing dialysis and kidney transplant recipients were
	also excluded. Additionally, because warfarin is approved for indications other than AF,
	patients with diagnoses indicating the presence of mitral valve disease, heart valve repair or
	replacement, deep vein thrombosis, pulmonary embolism, or joint replacement surgery in
Population	the preceding 6 months were also excluded  Study population
Population	Study population Dabigatran, N = 67.207
(study sample)	Dabigatran, N = 67 207 Warfarin, N = 67 207
	Target population
	N = 341 414
	Dabigatran-treated, n = 67 494
	Warfarin-treated, n = 273 920
Population (baseline par	ticipant characteristics) (values expressed as percentages unless otherwise stated)
h	, and the state of
	Dabigatran Warfarin

Women	51	52
Age, median (IQR)		
≥65-74 years	42	41
≥75-84 years	43	43
≥85 years	16	16
CHA₂DS₂VASc (scores greater than 2)	-	-
HAS-BLED (scores greater than 2)	91	91
Standard dose	85	100
Reduced dose	15	-
Comorbidities		
Ischemic stroke or systemic embolism	-	-
Stroke in past 1-30 d	2	2
Stroke in past 31-183 d	1	2
TIA	7	7
Heart failure (hospitalized)	4	4
Heart failure (not hospitalized)	14	14
Acute myocardial infarction in past 1-30 d	1	1
Acute myocardial infarction in past 31-183 d	1	1
Vascular disease	-	-
Coronary revascularization	16	16
Other cerebrovascular disease	13	13
Renal dysfunction	-	-
Kidney failure (acute)	5	5
Kidney failure (chronic)	13	13
Previous bleeding (hospitalized)	1	1
Previous bleeding (not hospitalized)	3	3
Hypertension	87	87
Diabetes mellitus	33	34
Cancer	-	-
Concomitant medication		
Aspirin	-	-
Beta-blocker	70	71
NSAID	15	15
Calcium channel blocker	42	42
Renin angiotensin system inhibitor	-	-

## Measure of the risk of an end point

Incidence rates were estimated with the use of event counts and exposure follow-up time

Comparison of the risk of an end point between groups

Cox proportional hazards regression was used to compare time-to-event in dabigatran vs warfarin (reference) cohorts

#### Confounding

Propensity score matching

## Sensitivity analysis

- (1) Restriction of the analysis to patients with initial prescriptions of  $\leq$  30 days duration
- (2) Restriction of the analysis to patients with at least 2 prescription fills of a study drug
- (3) An increased gap allowance between anticoagulant prescriptions from 3 to 14 days

### Software for statistical analysis

R version 3.0.2 (R Foundation for Statistical Computing, Vienna, Austria) and SAS 9.2 (SAS Institute Inc, Cary, North Carolina)

# Statistical significance reference

Statistical significance was determined with 95% confidence intervals and 2-tailed P values (P ≤ .05)

IQR, interquartile range; NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; TIA, transient ischemic attack.

Study ID	Graham et al. <sup>69</sup>
Reference	Graham DJ, Reichman ME, Wernecke M, Hsueh YH, Izem R, Southworth MR. Stroke,
	bleeding, and mortality risks in elderly Medicare beneficiaries treated with dabigatran or
	rivaroxaban for nonvalvular atrial fibrillation. <i>JAMA Intern Med.</i> 2016;176:1662-1671.
	doi:10.1001/jamainternmed.2016.5954
Objective	To compare the risks of thromboembolic stroke, intracranial hemorrhage (ICH), major
	extracranial bleeding including major gastrointestinal bleeding, and mortality in patients with
	nonvalvular AF who initiated dabigatran or rivaroxaban treatment for stroke prevention
Country	United States
Design	Nationwide cohort study
Data source	Medicare:
	Part A (hospitalization)
	Part B (outpatient medical care)
	Part D (prescription drugs)
Time period	November 4, 2011 to June 30, 2014
NOAC	Dabigatran 150 mg, twice daily
	Rivaroxaban 20 mg, once daily
Control	No control with VKAs
Outcomes	Effectiveness
	Thromboembolic stroke
	• ICH
	Mortality
	Acute myocardial infarction
	Safety
	Major extracranial bleeding events
	Major gastrointestinal bleeding
	Hospitalized extracranial bleeding events
Outcome definitions	Outcomes were defined using previously validated algorithms based on ICD-9 diagnosis
5 1 ··· / !: ·! ·!· \	codes. These algorithms have reported positive predictive values ranging from 86% to 97%
Population (eligibility)	New users with nonvalvular AF who were 65 years or older, enrolled in fee-for-service
	Medicare, and who initiated treatment with dabigatran or rivaroxaban during the study
	period Patients enrolled in Medicare Advantage (Part C), which provides care through private
	insurance companies, were not included because claims for medical encounters and
	hospitalizations were not reliably captured by Medicare during the study period
	Patients were excluded if they had less than 6 months of enrollment in Medicare Parts A, B,
	and D, were younger than 65 years, had received prior treatment with warfarin or any NOAC,
	resided in a skilled nursing facility or nursing home, or were receiving hospice care on the
	date of their cohort-qualifying prescription (index date). Patients with a hospitalization
	extending beyond the index date were also excluded, as were kidney transplant recipients
	and patients undergoing dialysis. Additionally, patients with diagnoses indicating a potential
	alternative indication for anticoagulation in the 6 months preceding study entry (mitral valve
	disease, heart valve repair or replacement, deep vein thrombosis, pulmonary embolism, or
	joint replacement) were also excluded
Population	Study population
(study sample)	15 524 and 20 199 person-years of on-treatment follow-up
	Dabigatran, n = 52 240
	Rivaroxaban, n = 66 651
Population (baseline par	ticipant characteristics) (values expressed as percentages unless otherwise stated)
	Unweighted cohorts Weighted cohorts
	Debigatran Biyayayahan Debigatran Biyayayahan

Dabigatran

Rivaroxaban

Rivaroxaban

≥85 years

CHA₂DS₂VASc

HAS-BLED

65-74 years

75-84 years

Women

Age

Dabigatran

100	100	100
		100
<u>-</u>	-	-
-	-	-
C	6	C
Ь	б	6
2	2	2
		2
1	1	1
2	2	2
		3 12
1	1	1
1	1	1
1	1	1
15	15	15
13	10	13
2	2	2
		9
9	9	9
2	2	3
		9
		<1
		86
		33
		-
_	_	_
15	14	14
		71
		14
		42
· <u>-</u>		· <u>-</u>
-	-	-
2	2	2
		5
-	-	-
27	27	27
		13
58	59	58
25	25	25
		8
-	-	-
12	13	13
22	23	23
	100	

sparing				
Thiazide	30	30	30	30
Nitrate	9	9	9	9
Statin	58	57	57	57
Fibrate	5	4	4	4
Diabetes related				
Insulin	6	6	6	6
Metformin	15	15	15	15
Sulfonylurea	9	8	9	9
Other	6	6	6	6
Metabolic inhibitor				
Amiodarone	9	10	9	9
Dronedarone	4	4	4	4
Azole	<1	<1	<1	<1
antifungal				
Prescriber speciality				
Cardiology	54	60	57	57
Family	12	8	10	10
medicine				
Internal	21	19	20	20
medicine				
Other	13	13	13	13

#### Measure of the risk of an end point

Adjusted hazard ratios (HRs) for the primary outcomes. Adjusted incidence rate differences (AIRDs) were also estimated. All analyses were based on IPTW-adjusted cohorts and therefore accounted for potential confounding by baseline factors

Weighted Kaplan-Meier cumulative incidence plots were generated to characterize risk over time

#### Comparison of the risk of an end point between groups

Weighted Cox proportional hazards regression with robust estimation was used to estimate the time-to-event in rivaroxaban vs dabigatran (reference) cohorts. Adjusted incidence rate differences were estimated using weighted event counts and follow-up time within cohorts

#### Confounding

To adjust for potential confounding, inverse probability of treatment weighting (IPTW) based on the propensity score was used. The propensity score (predicted probability of initiating dabigatran treatment given baseline characteristics) was used to generate patient-specific stabilized weights that control for covariate imbalances. Covariate balance between the weighted cohorts was assessed using standardized mean differences. A standardized difference of 0.1 or less indicates a negligible difference between groups. The distributions of propensity scores and stabilized weights were inspected for outliers

#### Sensitivity analysis

A number of sensitivity analyses were performed. To assess whether the main analyses were affected by a misclassification of exposure time, analyses were restricted to patients with at least 2 prescription fills of a study drug and the gap allowance between anticoagulant prescriptions was increased from 3 to 14 days. The main analysis was repeated using multivariable Cox regression, which included all covariates used in the weighted analysis. In post hoc sensitivity analyses, the CHA<sub>2</sub>DS<sub>2</sub>-VASc was substituted for the CHADS<sub>2</sub> score; censoring was no longer performed for initiation of dialysis or kidney transplantation, or admission to a nursing home, skilled nursing facility, or hospice; and the competing risks of death were adjusted for using the subdistribution of hazards approach

#### Software for statistical analysis

R version 3.2.0 (R Foundation for Statistical Computing, Vienna, Austria) and SAS 9.4 (SAS Institute Inc)

#### Statistical significance reference

Statistical significance was determined using 95% confidence intervals and 2-tailed P values ( $P \le .05$ )

NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; TIA, transient ischemic attack; VKAs, vitamin K antagonists.

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Study ID Halvorsen et al. <sup>70</sup>	
*	de Tvete I, Hoxmark C, Falck P, Solli O, Jonasson C. A nationwide
	eeding rates in patients with atrial fibrillation being prescribed
oral anticoagulants. <i>Eu</i>	
doi:10.1093/ehjcvp/pvw031	,
	clinical practice in patients with atrial fibrillation (AF) being
	xaban, or apixaban vs warfarin
Country Norway	/ 1
Design Nationwide cohort study	
Data source Two nationwide registries:	
	Registry (NPR), which includes emergency visits, hospitalizations,
	, length of stay, and surgical and medical procedures
	tion Database (NorPD), which covers all prescriptions dispensed
at pharmacies nationwic	de, information on date of dispensation, quantity, and strength
dispensed and the time of	of all-cause death
Time period January 1, 2013 to June 30, 2	015
NOAC • Apixaban twice daily	
Dabigatran twice daily	
Rivaroxaban once daily	
Control Warfarin	
Outcomes Safety	
Major bleeding	
Clinically relevant nonma	ajor (CRNM) bleeding
Gastrointestinal bleeding	
Intracranial bleeding (ICI	
Other site bleeding	
Outcome definitions Bleeding was defined as all b	leeding events recorded in the NPR between the index date and
30 days after the calculated 6	end of OAC supply
Major bleeding was defined	as any bleeding event that occurred in a critical area or organ or
any bleeding event that was	accompanied by blood transfusion ≤ 10 days after the hospital
admission date	
	d in accordance with the ISTH classification as any bleeding
	on by a health care professional, leading to hospitalization or
	ompting a face-to-face evaluation, that did not fit the criteria for
major bleeding	
_	so categorized by organ system into GI, ICH, or bleeding from
	oints took into account all bleeds with the prespecified ICD-10
	d to admissions with bleeding as the primary (first) code
	nts ≥ 18 years diagnosed with nonvalvular AF with at least 1
•	on in the study period but who were anticoagulant-naïve before
the start of the study	oembolism during the last 180 days and those who had knee or
	ng the last 35 days before OAC initiation were excluded
Population Study population	ing the last 33 days before One illitiation were excluded
(study sample) Study population  N = 32 675 patients starting t	reatment with an OAC
Dabigatran, n = 7925	realment with an one
Rivaroxaban, n = 6817	
Apixaban, n = 6506	
Warfarin, n = 11 427	
Target population	
N = 68 215	
Excluded:	
<ul><li>Patients &lt; 18 years, n = 4</li></ul>	ı
• Patients < 18 years, n = 4	I spensation in the 180 days prior to the index date, n = 34066
<ul> <li>Patients &lt; 18 years, n = 4</li> <li>Patients with any OAC di</li> </ul>	
<ul> <li>Patients &lt; 18 years, n = 4</li> <li>Patients with any OAC di</li> <li>Patients with VTE in the</li> </ul>	spensation in the 180 days prior to the index date, n = 34 066
<ul> <li>Patients &lt; 18 years, n = 4</li> <li>Patients with any OAC di</li> <li>Patients with VTE in the</li> <li>Patients with knee/hip s</li> </ul>	spensation in the 180 days prior to the index date, $n = 34066$ 180 days prior to the index date, $n = 912$

Population (baseline participant characte	eristics) (values	expressed as pe	rcentages unless	otherwise state
	Warfarin	Dabigatran	Rivaroxaban	Apixaban
Women	41	38	45.6	45
Age, mean (SD)	74.6 (11.9)	70.8 (11.3)	74.7 (10.7)	74.5 (11.1)
>65 years	-	-	-	-
≥75 years	6248 (54.7)	2967 (37.4)	3524 (51.7)	3295 (50.6)
>85 years	-	-	-	-
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD)				
HAS-BLED, mean (SD)	42.8	37.0	47.0	46.6
Standard dose				
Reduced dose				
Comorbidities				
Ischemic stroke, or systemic embolism,	-	-	-	-
or TIA (see below)				
Stroke, TIA, and thromboembolism	11.6	9.4	16.1	13.9
Chronic heart failure	29.0	15.8	20.4	20.6
Myocardial infarction (see below)	-	-	-	-
Ischemic heart disease	35.9	21.4	25.5	27.6
Vascular disease (see below)	-	-	-	-
Anemia (last year)	4.8	2.0	3.0	3.1
Renal dysfunction (see below)	-	-	-	-
Chronic kidney disease	5.0	0.73	2.0	2.5
Previous bleeding (see below)	-	-	-	-
Previous bleeding hospitalization	16.8	11.2	14.8	15.1
Hypertension	67.0	59.0	66.0	65.4
Diabetes	14.7	10.4	11.7	12.3
Active cancer (last year)	10.0	7.4	9.2	8.6
Concomitant medication				
Aspirin (see below)	-	-	-	-
Low-dose aspirin (last year)	47.4	46.5	53.1	50.8
Beta-blocker				
NSAID (last year)	19.8	24.4	23.2	23.0
Calcium channel blocker	-	-	-	-
Renin angiotensin system inhibitor	-	-	-	-
Nonaspirin antiplatelet inhibitor (last	2.4	2.3	3.4	2.9
year)				

## Measure of the risk of an end point

Crude incidence rates were also calculated as the first bleeding episode per 100 person-years. Relative risks were given as hazard ratios with 95% confidence intervals. Post hoc subgroup analyses for the primary end point of major or CRNM bleeding were performed for elderly patients ( $\geq$  75 years old) as well as for OAC dose levels at the index date (standard and reduced dose) vs warfarin

## Comparison of the risk of an end point between groups

Cox proportional hazards regression analyses were conducted to determine the risk of bleeding for the different NOACs vs. warfarin, both unadjusted and adjusted for known patient characteristics: age, sex, previous bleeding, previous OAC use, comorbidities, and concomitant medications at baseline

Each bleeding end point was compared with the entire cohort and not in contrast to nonbleeders only, that is, for the major bleeding end point, the comparison was with all nonmajor bleedings

### Software for statistical analysis

R (version 3.1.1, R Development Core Team)

### Statistical significance reference

All statistical tests were 2-tailed and P values < .05 were considered significant

NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; SD, standard deviation; TIA, transient ischemic attack.

Study ID				
Study ID	Hernández et al. <sup>71</sup>			
Reference	Hernández I, Baik SH, Piñera A, Zl JAMA Intern Med. 2015;175:18-24	_	-	orillation.
Objective	To compare the risk of bleeding data	associated with dab	igatran and warfarin using N	Medicare
Country	United States			
Design	Retrospective cohort study			
Data source	Centers for Medicare & Medicaid	Services (CMS)		
Time period	October 1, 2010 to October 31, 20			
NOAC	Dabigatran at any dose. The repo		scribe the dose of interest	
Control	Warfarin	· · · · · ·		
Outcomes	Safety			
	Major bleeding events:			
	<ul> <li>Intracranial hemorrhage</li> </ul>			
	<ul> <li>Hemoperitoneum</li> </ul>			
	Inpatient or emergency depa	rtment stays for gastro	ointestinal	
	Hematuria			
	Not otherwise specified (NOS)	) hemorrhage		
	Minor bleeding events:			
	• Epistaxis			
	<ul> <li>Hemoptysis</li> </ul>			
	Vaginal hemorrhage			
	<ul> <li>Hemarthrosis</li> </ul>			
	Any outpatient claim for hem	aturia		
	Gastrointestinal	aturia		
	<ul><li>Gastrointestinal</li><li>NOS hemorrhage</li></ul>			
Out on the finite on	<ul><li>Gastrointestinal</li><li>NOS hemorrhage</li><li>Any bleeding (including major and</li></ul>	I minor bleeding event		
Outcome definitions	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classification)</li> </ul>	I minor bleeding event	n Revision (ICD-9)	or cithor
Outcome definitions Population (eligibility)	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagram</li> </ul>	I minor bleeding event tion of Diseases, Ninth nosed as having AF	n Revision (ICD-9) who filled a prescription fo	or either
	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagradabigatran or warfarin within 2 m</li> </ul>	I minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagr	n Revision (ICD-9) who filled a prescription fo nosis	
	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagrable dabigatran or warfarin within 2 m</li> <li>Those who filled prescriptions for</li> </ul>	I minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagr	n Revision (ICD-9) who filled a prescription fo nosis	
Population (eligibility)	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagrable dabigatran or warfarin within 2 m.</li> <li>Those who filled prescriptions for diagnosis were excluded</li> </ul>	I minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagr	n Revision (ICD-9) who filled a prescription fo nosis	
Population (eligibility)  Population	Gastrointestinal     NOS hemorrhage     Any bleeding (including major and Secondary International Classifical Patients who were newly diagrable dabigatran or warfarin within 2 magnetic materials. Those who filled prescriptions for diagnosis were excluded.  Study population	I minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagr	n Revision (ICD-9) who filled a prescription fo nosis	
Population (eligibility)	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagrable dabigatran or warfarin within 2 m.</li> <li>Those who filled prescriptions for diagnosis were excluded</li> </ul>	I minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagr	n Revision (ICD-9) who filled a prescription fo nosis	
Population (eligibility)  Population (study sample)	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagrabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded</li> <li>Study population</li> <li>Dabigatran, n = 1302</li> </ul>	I minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagon or dabigatran and war	n Revision (ICD-9) who filled a prescription fo nosis farin during the first 2 mon	
Population (eligibility)  Population (study sample)	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagrical dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded</li> <li>Study population         Dabigatran, n = 1302         Warfarin, n = 8102     </li> </ul>	I minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagon or dabigatran and war	n Revision (ICD-9) who filled a prescription fo nosis farin during the first 2 mon	
Population (eligibility)  Population (study sample)	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagrical dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded</li> <li>Study population         Dabigatran, n = 1302         Warfarin, n = 8102     </li> </ul>	d minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagr or dabigatran and war	n Revision (ICD-9)  who filled a prescription fonosis  rfarin during the first 2 mon  unless otherwise stated)	
Population (eligibility)  Population (study sample)  Population (baseline part	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagrical dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded</li> <li>Study population         Dabigatran, n = 1302         Warfarin, n = 8102     </li> </ul>	d minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagon or dabigatran and war essed as percentages of Dabigatran	n Revision (ICD-9) who filled a prescription for nosis farin during the first 2 mon unless otherwise stated) Warfarin 59.1	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagrical dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded</li> <li>Study population         Dabigatran, n = 1302         Warfarin, n = 8102     </li> </ul>	d minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagon or dabigatran and war essed as percentages	n Revision (ICD-9)  who filled a prescription for nosis  farin during the first 2 mon  unless otherwise stated)  Warfarin	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagrical dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded</li> <li>Study population         Dabigatran, n = 1302         Warfarin, n = 8102     </li> </ul>	d minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagon or dabigatran and war essed as percentages of Dabigatran	n Revision (ICD-9) who filled a prescription for nosis farin during the first 2 mon unless otherwise stated) Warfarin 59.1	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagrical dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded</li> <li>Study population         Dabigatran, n = 1302         Warfarin, n = 8102     </li> </ul>	d minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagon or dabigatran and war essed as percentages of Dabigatran	n Revision (ICD-9) who filled a prescription for nosis farin during the first 2 mon unless otherwise stated) Warfarin 59.1	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years  >85 years	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagred dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded</li> <li>Study population</li> <li>Dabigatran, n = 1302</li> <li>Warfarin, n = 8102</li> <li>icipant characteristics) (values express</li> </ul>	d minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagon or dabigatran and war essed as percentages of Dabigatran	n Revision (ICD-9) who filled a prescription for nosis farin during the first 2 mon unless otherwise stated) Warfarin 59.1	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years  >85 years  CHA2DS2VASc, mean (SI	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagred dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded</li> <li>Study population</li> <li>Dabigatran, n = 1302</li> <li>Warfarin, n = 8102</li> <li>icipant characteristics) (values express</li> </ul>	d minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diag or dabigatran and war essed as percentages of Dabigatran	n Revision (ICD-9) who filled a prescription for nosis farin during the first 2 mon unless otherwise stated) Warfarin 59.1	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years  >85 years  CHA2DS2VASc, mean (SI HAS-BLED, mean (SD)	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagred dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded</li> <li>Study population</li> <li>Dabigatran, n = 1302</li> <li>Warfarin, n = 8102</li> <li>icipant characteristics) (values express</li> </ul>	d minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diag or dabigatran and war essed as percentages of Dabigatran	n Revision (ICD-9) who filled a prescription for nosis farin during the first 2 mon unless otherwise stated) Warfarin 59.1	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years  >85 years  CHA2DS2VASc, mean (SI HAS-BLED, mean (SD)  Standard dose	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagred dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded</li> <li>Study population</li> <li>Dabigatran, n = 1302</li> <li>Warfarin, n = 8102</li> <li>icipant characteristics) (values express</li> </ul>	d minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diag or dabigatran and war essed as percentages of Dabigatran	n Revision (ICD-9) who filled a prescription for nosis farin during the first 2 mon unless otherwise stated) Warfarin 59.1	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years  >85 years  CHA2DS2VASc, mean (SI  HAS-BLED, mean (SD)  Standard dose  Reduced dose	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagred dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded</li> <li>Study population</li> <li>Dabigatran, n = 1302</li> <li>Warfarin, n = 8102</li> <li>icipant characteristics) (values express</li> </ul>	d minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diag or dabigatran and war essed as percentages of Dabigatran	n Revision (ICD-9) who filled a prescription for nosis farin during the first 2 mon unless otherwise stated) Warfarin 59.1	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years  >85 years  CHA2DS2VASc, mean (SI  HAS-BLED, mean (SD)  Standard dose Reduced dose  Comorbidities	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage         Any bleeding (including major and Secondary International Classifical Patients who were newly diagred abigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded     </li> <li>Study population         Dabigatran, n = 1302         Warfarin, n = 8102     </li> <li>icipant characteristics) (values express)</li> </ul>	d minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagran or dabigatran and war essed as percentages Dabigatran 57.7 75.7 (8.5)	n Revision (ICD-9) who filled a prescription for nosis farin during the first 2 mon  unless otherwise stated) Warfarin 59.1 75.0 (10.4)	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years  >85 years  CHA2DS2VASc, mean (SI  HAS-BLED, mean (SD)  Standard dose  Reduced dose  Comorbidities  Ischemic stroke, or systems	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage</li> <li>Any bleeding (including major and Secondary International Classifical Patients who were newly diagred dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded</li> <li>Study population</li> <li>Dabigatran, n = 1302</li> <li>Warfarin, n = 8102</li> <li>icipant characteristics) (values express</li> </ul>	d minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diag or dabigatran and war essed as percentages of Dabigatran	n Revision (ICD-9) who filled a prescription for nosis farin during the first 2 mon unless otherwise stated) Warfarin 59.1	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years  >85 years  CHA2DS2VASc, mean (SI  HAS-BLED, mean (SD)  Standard dose Reduced dose  Comorbidities Ischemic stroke, or systes stroke or TIA)	<ul> <li>Gastrointestinal</li> <li>NOS hemorrhage         Any bleeding (including major and Secondary International Classifical Patients who were newly diagred abigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded     </li> <li>Study population         Dabigatran, n = 1302         Warfarin, n = 8102     </li> <li>icipant characteristics) (values express)</li> </ul>	d minor bleeding evention of Diseases, Ninthosed as having AF on the first diagram and war dabigatran and war essed as percentages Dabigatran  57.7  75.7 (8.5)	n Revision (ICD-9) who filled a prescription for nosis farin during the first 2 mon  unless otherwise stated) Warfarin 59.1 75.0 (10.4)	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years  >85 years  CHA2DS2VASc, mean (SI HAS-BLED, mean (SD)  Standard dose Reduced dose  Comorbidities Ischemic stroke, or systes stroke or TIA)  Congestive heart failure	Gastrointestinal NOS hemorrhage Any bleeding (including major and Secondary International Classifical Patients who were newly diagred dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded Study population Dabigatran, n = 1302 Warfarin, n = 8102 icipant characteristics) (values expressional control of the cont	d minor bleeding event tion of Diseases, Ninth nosed as having AF onths of the first diagran or dabigatran and war essed as percentages Dabigatran 57.7 75.7 (8.5)	n Revision (ICD-9) who filled a prescription for nosis farin during the first 2 mon  unless otherwise stated) Warfarin 59.1 75.0 (10.4)	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years  >85 years  CHA2DS2VASc, mean (SI  HAS-BLED, mean (SD)  Standard dose Reduced dose  Comorbidities Ischemic stroke, or systes stroke or TIA)	Gastrointestinal NOS hemorrhage Any bleeding (including major and Secondary International Classifical Patients who were newly diagred dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded Study population Dabigatran, n = 1302 Warfarin, n = 8102 icipant characteristics) (values expressional control of the cont	d minor bleeding evention of Diseases, Ninthosed as having AF on the first diagram and war dabigatran and war essed as percentages Dabigatran  57.7  75.7 (8.5)	n Revision (ICD-9) who filled a prescription for nosis farin during the first 2 mon  unless otherwise stated)  Warfarin  59.1  75.0 (10.4)	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years  >85 years  CHA2DS2VASc, mean (SI HAS-BLED, mean (SD)  Standard dose Reduced dose  Comorbidities Ischemic stroke, or systes stroke or TIA)  Congestive heart failure	Gastrointestinal NOS hemorrhage Any bleeding (including major and Secondary International Classifical Patients who were newly diagred dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded Study population Dabigatran, n = 1302 Warfarin, n = 8102 icipant characteristics) (values expressional control of the cont	d minor bleeding eventation of Diseases, Ninth losed as having AF on the first diagram and war dabigatran and war bessed as percentages and babigatran and babigatran 57.7 75.7 (8.5)	n Revision (ICD-9) who filled a prescription for nosis refarin during the first 2 mon  unless otherwise stated) Warfarin 59.1 75.0 (10.4) 23.0 52.4	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years  >85 years  CHA2DS2VASc, mean (SI HAS-BLED, mean (SD)  Standard dose Reduced dose  Comorbidities Ischemic stroke, or systems  stroke or TIA)  Congestive heart failure Acute myocardial infarct	Gastrointestinal NOS hemorrhage Any bleeding (including major and Secondary International Classifical Patients who were newly diagred dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded Study population Dabigatran, n = 1302 Warfarin, n = 8102 icipant characteristics) (values expressional control of the cont	d minor bleeding eventation of Diseases, Ninth losed as having AF on the first diagram and war dabigatran and war bessed as percentages and babigatran and babigatran 57.7 75.7 (8.5)	n Revision (ICD-9) who filled a prescription for nosis refarin during the first 2 mon  unless otherwise stated) Warfarin 59.1 75.0 (10.4) 23.0 52.4	
Population (eligibility)  Population (study sample)  Population (baseline part  Women  Age, median (IQR)  >65 years  >75 years  >85 years  CHA2DS2VASc, mean (SI  HAS-BLED, mean (SD)  Standard dose  Reduced dose  Comorbidities  Ischemic stroke, or systes stroke or TIA)  Congestive heart failure Acute myocardial infarct Vascular disease	Gastrointestinal NOS hemorrhage Any bleeding (including major and Secondary International Classifical Patients who were newly diagred dabigatran or warfarin within 2 m. Those who filled prescriptions for diagnosis were excluded Study population Dabigatran, n = 1302 Warfarin, n = 8102 icipant characteristics) (values expressional control of the cont	d minor bleeding eventation of Diseases, Ninth losed as having AF on the first diagram and war dabigatran and war bessed as percentages and babigatran and babigatran 57.7 75.7 (8.5)	n Revision (ICD-9) who filled a prescription for nosis refarin during the first 2 mon  unless otherwise stated) Warfarin 59.1 75.0 (10.4) 23.0 52.4	

Hypertension	88.6	87.5	
Diabetes mellitus	36.1	45.0	
Cancer	-	-	
Concomitant medication			
Aspirin (included in the group below)	-	-	
Use of antiplatelet (aspirin, clopidogrel, prasugrel, dipyridamole, ticlopidine, and ticagrelor)	6.8	8.2	
Beta-blocker	-	-	
NSAID	8.9	8.7	
Calcium channel blocker	-	-	
Renin angiotensin system inhibitor	-	-	

### Measure of the risk of an end point

Incidence rates

#### Comparison of the risk of an end point between groups

Cox proportional hazards regression models to evaluate the risk of bleeding

### Confounding

Propensity score weighting conducted in 2 stages. A multivariate logistic regression was performed to predict the probability of an individual being a dabigatran or warfarin user, controlling for all of the listed covariates. In the second stage, Cox proportional hazards regression models were constructed to compare the hazard rates of bleeding between dabigatran and warfarin groups, using the inverse of the propensity score as a weight

#### Supplementary analyses

The incidence of bleeding was further examined in subgroups stratified by age (< 75 or ≥ 75 years) and among African Americans, users with renal impairment, and patients with at least 7 priority CMS conditions other than AF. Subgroup analyses were performed following the same methods and controlling for all covariates except for the one defining the subgroup

### Software for statistical analysis

The CMS-RxHCC score was calculated using the CMSP prescription Drug Hierarchical Condition Categories software

IQR, interquartile range; NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Hernandez et al. <sup>7</sup>	'2			
Reference			aring strake an	d blooding with	rivaroxaban and dabigatran in
Reference					
		-			data. Am J Cardiovasc Drugs.
01: "	2017;17:37-47. d				20 / 1 1 2 4 5 0 1
Objective	•		•		20 mg/dabigatran 150 mg and
		ig/dabigatrar	1 /5 mg among	patients with at	rial fibrillation (AF)
Country	United States				
Design	Prospective coho	-			
Data source	Pharmacy and m	edical data f	or a 5% random	sample of US	Medicare beneficiaries from the
	Centers for Medi	care and Me	dicaid Services (	CMS)	
Time period	November 2011 t	to December	2013		
NOAC	Dabigatran 300 n	ng daily			
	Rivaroxaban 20 n	ng daily			
Control	Dabigatran 150 n	ng daily			
	Rivaroxaban 15 n	ng daily			
Outcomes	Effectiveness				
	Ischemic stroke,	other thromb	oembolic event	s, and all-cause	mortality
	Safety			,	,
	Any bleeding eve	nt and major	bleeding		
		-	_	rhage and gastr	ointestinal bleeding
Outcome definitions					y room, or outpatient claim with
				_	es, Ninth Revision (ICD-9) codes
	433, 434, or 436	radity interine		ition of Discuss	is, runtil nevision (red s, codes
		mholic event	s included innat	ient emergenc	y room, or outpatient claims for
			-	_	$\kappa$ (ICD-9 = 435), and pulmonary
	embolism (ICD-9		+++), transient	ischemic attach	(leb 5 = 455), and paintonary
		•	ad intracranial h	emorrhage he	moperitoneum, and inpatient or
					or not otherwise specified
	hemorrhage	ii stays ioi	gastronitestii	iai, Heiliatulia,	of flot otherwise specified
Population (eligibility)		nd a procerin	tion for dahigat	ran or rivaroval	oan between November 4, 2011
Population (engionity)			_		Patients were required to have
					<del></del>
	Warehouse defin		erore the maex	uate according	g to the CMS Chronic Condition
	Exclusion criteria				
			dahigatran ar	rivaravahan in	the 3 months before the index
		u a Ciaiiii ioi	uabigati aii Oi	IIValOxabali III	the 5 months before the maex
	date		10		
<u> </u>	Patients receiving		1 10 mg		
Population	Study population	1			
(study sample)	N = 17 507		*222		
	Dabigatran 300 n				
	Dabigatran 150 n				
	Rivaroxaban 20 n				
	Rivaroxaban 15 n		2568		
	Target populatio	n			
	N = 44 621				
			_		oan between November 4, 2011
					2013. Of the 44 621 identified
B 1 1 1 11 11	patients, 27 116 r				
	ticipant characteri	istics after n	natching) (value	es expressed as	s percentages unless otherwise
stated)					
		abigatran	Rivaroxaban	Dabigatran	Rivaroxaban
		igh-dose	High-dose	Low-dose	Low-dose
Women	5	2.0	52.1	66.6	66.7
Age, mean (SD)	•			•	•
>65 years		4.5	94.4	98.1	98.1
>75 years	5	5.6	55.5	83.6	83.3
S85 years					

3.28 (1.96)

3.28 (1.75)

3.83 (1.99)

3.83 (1.68)

>85 years

CHA<sub>2</sub>DS<sub>2</sub>, mean (SD)

HAS-BLED, mean (SD)				
Standard dose	100	100	0	0
Reduced dose	0	0	100	100
Comorbidities				
Ischemic stroke, or systemic embolism,	22.9	23.0	34.3	34.1
or TIA				
Heart failure	51.3	51.3	69.3	69.1
Acute myocardial infarction	6.8	6.8	10.8	11.0
Vascular disease				
Renal dysfunction	27.2	27.2	51.9	51.8
Previous bleeding	19.6	19.5	24.8	24.9
Hypertension	92.9	92.9	96.9	96.8
Diabetes	43.8	43.9	50.1	50.0
Cancer		•	•	
Concomitant medication				
Antiplatelets	6.6	6.4	7.7	7.7
Beta-blocker				
NSAID	13.9	13.7	11.1	11.0
Calcium channel blocker				
Renin angiotensin system inhibitor				•

#### Measure of the risk of an end point

Number of events and cumulative incidence rates at 1-year follow-up

## Comparison of the risk of an end point between groups

To compare the unadjusted cumulative incidence of effectiveness and safety outcomes at 1-year follow-up, Kaplan-Meier time-to-event curves were constructed

Cox proportional hazards models to compare effectiveness and safety outcomes between groups, using the inverse of the propensity score for each individual as a weight. Cox models included 1 indicator variable for rivaroxaban initiation as well as all predefined covariates (below)

#### Confounding

Adjustment for demographic variables and clinical characteristics, all of which were measured at the index date. Demographic variables included age, race, and Medicaid eligibility. Clinical characteristics included CHADS<sub>2</sub> score, chronic kidney disease, hypertension, a history of stroke or TIA, prior acute myocardial infarction, diabetes, congestive heart failure, acquired hypothyroidism, number of other CMS priority comorbidities, a history of bleeding, concomitant use of nonsteroidal anti-inflammatory drugs, and concomitant use of antiplatelet drugs

Using the above covariates, propensity score weighting was done in 2 steps. First, a logistic regression controlling for all of the covariates listed above was constructed to calculate the probability of initiating rivaroxaban (propensity score). Standardized differences in covariate means between 2 treatment groups were calculated to evaluate whether covariates were balanced between treatment groups after propensity score weighting

#### Sensitivity analysis

By excluding subjects who filled a prescription for warfarin 6 months before the index date By including and excluding patients who had a history of stroke or TIA before the index date Analysis robustness was assessed after excluding patients who filled a prescription for NSAIDs or antiplatelet agents after the index date

## **Supplementary analyses**

Subgroup analysis of the effectiveness and safety of dabigatran and rivaroxaban among 3 subgroups of patients: those aged > 75 years, patients with chronic kidney disease, or those with at least 7 CMS priority conditions other than AF. For each subgroup identified, the propensity score was recalculated and Cox models were constructed to compare effectiveness and safety outcomes following the same methodology as the overall sample

## Software for statistical analysis

SAS 9.4 (Cary, North Carolina)

### Statistical significance reference

Not stated

AF, atrial fibrillation; NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; TIA, transient ischemic attack; SD, standard deviation.

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Study ID	Hohnloser et al. <sup>73</sup>
Reference	Hohnloser SH, Basic E, Nabauer M. Comparative risk of major bleeding with new oral
	anticoagulants (NOACs) and phenprocoumon in patients with atrial fibrillation: a post-marketing surveillance study. <i>Clin Res Cardiol</i> . 2017;106:618-628. doi:10.1007/s00392-017-
	1098-x
Objective	To assess the comparative risks of bleeding leading to hospitalization during therapy with
	NOACs and phenprocoumon in AF patients
Country	Germany
Design	Retrospective cohort study
Data source	Research database from the Health Risk Institute (HRI): comprises longitudinal information on medical and drug claims from an age- and sex-representative sample of about 4 million statutory health-insured subjects in Germany. Data available from each medical claim include date/quarter of service, place of service, diagnoses (International Statistical Classification of Diseases and Related Health Problems, 10th revision, German Modification [ICD-10-GM]), and procedures performed/services rendered. Data available for each drug claim include the agent dispensed (as set forth by the Anatomical Therapeutic Chemical System), dispensing/prescription date, and quantity dispensed. Selected demographic and eligibility information (including age/year of birth, sex, dates of enrollment) is also available
Ti	for subjects in the HRI database
Time period	January 1, 2013 to March 31, 2015
NOAC	Any NOAC Apixaban Dabigatran Rivaroxaban Phenprocoumon
Control	Warfarin
Outcomes	Major bleeding event Gastrointestinal bleeding events Any bleeding event A composite net clinical outcome consisting of ischemic stroke, systemic embolism, or major bleeding
Outcome definitions	Major bleeding consisted of an emergency hospital admission with an ICD-10-GM hospital
	discharge diagnosis Gastrointestinal bleeding was defined as bleeding at any time during exposure time with localization in the gastrointestinal tract and documented ICD-10-GM hospital discharge diagnosis Any bleeding was defined using prespecified primary or secondary ICD-10-GM hospital discharge diagnoses at any time
Population (eligibility)	Adult patients (≥ 18 years) with nonvalvular AF who were new users of apixaban, dabigatran, rivaroxaban, and phenprocoumon during the study period were identified. A new user was required to have no prior prescription for any of the above-listed substances in the 12 months before initiation of medication. All patients were required to have at least 1 primary or secondary hospital discharge diagnosis of AF in the previous or same quarter of the index date or, alternatively, at least 2 ambulatory verified diagnoses of AF in the period between January 1, 2010 and the index date  Patients were excluded if they were not continuously represented in the HRI database for at least 1 year prior to January 1, 2013, which was defined as the baseline period. Patients with valvular AF, deep vein thrombosis, hemodialysis, pregnancy, or anticoagulation therapy (ie, heparin, low-molecular-weight heparin, vitamin K antagonists, or NOACs) for any other indication during the 4 quarters prior to or on the index date were excluded
Population	Study population
(study sample)	N = 35013
	Dabigatran, n = 3138
	Apixaban, n = 3633
	Rivaroxaban, n = 12 063
	Phenprocoumon, n = 16 179
	Target population
	N = 154 603
	Excluded:

- Patients without AF or atrial flutter diagnosis in the same or preceding quarter of the index treatment, n = 50 401
- Restricted to age ≥ 18 years, n = 2
- Patients with dialysis/valvular disorder/thrombosis/gravidity in the 4 quarters before or at start date, n = 7230
- Patients with heparin at the start date, n = 2906
- Patients with NOAC or phenprocoumon prescription in the 4 quarters before the start date, n = 59 051

	Phenprocoumon	•	Apixaban (n	-	Rivaroxaban	
	(n = 16 179)	(n = 18834)	= 3633)	(n = 3138)	(n = 12 063)	
Women	49.9	48.8	50.8	48.1	48.3	
Age, mean (SD)	76.1 (9.1)	73.7 (11.2)	75.5 (10.8)	72.6 (11.2)	73.4 (11.3)	
>65 years	-	-	-	-	-	
>75 years	-	-	-	-	-	
>85 years	-	-	-	-	-	
CHA2DS2VASc, mean (SD)	4.1 (1.6)	3.8 (1.8)	4.1 (1.8)	3.8 (1.8)	3.7 (1.8)	
HAS-BLED, mean (SD)	2.7 (1.1)	2.7 (1.2)	2.9 (1.2)	2.6 (1.2)	2.6 (1.2)	
Standard dose						
Reduced dose						
Comorbidities						
Ischemic stroke, or systemic	12.2	16.1	22.4	21.9	12.7	
embolism, or TIA						
Congestive heart failure	40.4	34.6	37.1	31.7	34.6	
Myocardial infarction	7.5	5.0	5.6	5.1	4.8	
Vascular disease						
Coronary heart disease	46.9	37.6	39.7	36.7	37.2	
Renal insufficiency	23.9	17.3	21.4	13.3	17.1	
Previous bleeding (see below)						
Major bleeding	1.3	1.4	2.0	1.6	1.1	
GI bleeding	2.1	1.9	2.1	2.1	1.8	
Any bleeding event	8.6	8.3	9.7	7.5	8.0	
Hypertension	88.5	85.7	88.2	85.0	85.2	
Diabetes	36.8	32.6	34.2	29.9	32.8	
Cancer	19.7	18.4	19.2	17.9	18.3	
Concomitant medication						
Aspirin (see below)						
Antiplatelet drugs	22.7	24.7	27.0	25.5	23.7	
Aspirin	17.5	19.7	21.8	19.4	19.2	
Beta-blocker						
NSAID	34.8	36.9	37.4	36.0	36.9	
Calcium channel blocker		-	-	-	-	
Renin angiotensin system inhibitor	-	-	-	-	-	
Proton pump inhibitor	43.9	44.1	46.0	44.0	43.6	

## Measure of the risk of an end point

Unadjusted event rates were estimated for each treatment group and were expressed per 100 person-years

### Comparison of the risk of an end point between groups

Cox proportional hazard models were used to estimate the hazard ratios of major bleeding, gastrointestinal bleeding, any bleeding, and net clinical outcome adjusted for prespecified baseline demographics and clinical factors

## Confounding

A Cox proportional hazard model was used to compare end points in each of the propensity score-matched cohorts

# Sensitivity analysis

Propensity score matching was performed as a sensitivity analysis. To assess the impact of different dosages on the primary findings, the risk of major bleeding, gastrointestinal

bleeding, and any bleeding with phenprocoumon was compared only with that of those patients who received the highest approved dose of NOACs only ( $2 \times 5$  mg/day for apixaban,  $2 \times 150$  mg/day for dabigatran,  $1 \times 20$  mg/day for rivaroxaban)

The respective risks of different bleeding events for each treatment were compared when prescribed in the study period or until death or the end of the insurance status. Hence, the date of a switch or of discontinuation of the OAC treatment was not used as a censoring date. Instead, the exposure times of patients who switched from 1 substance to another were assessed based on their actual exposure time under each successive anticoagulant received during follow-up

NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; NVAF, nonvalvular atrial fibrillation; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Kodani et al. <sup>13</sup>				
Reference	Kodani E, Atarashi H	, Inoue H, Okumi	ıra K, Yamashita	T, Origasa H; J-	RHYTHM Registry
	Investigators. Benefic	al effect of non-	ritamin k antago	nist oral anticoag	ulants in patients
	with nonvalvular atria	l fibrillation - Resu	ılts of the J-RHYT	HM Registry 2. Ci	rc J. 2016;80:843-
	51. doi:10.1253/circj.0	J-16-0066			
Objective	To investigate the lon	g-term outcomes	of warfarin thera	py vs nonvitamin	K antagonist oral
	anticoagulants (NOAC	s) in Japanese pati	ents with nonvalv	ular atrial fibrillat	ion (AF)
Country	Japan				
Design	Prospective cohort stu	dy			
Data source	Multicentre registry (1	31 institutions)			
Time period	January 2010 to July 2	010			
NOAC (dosages not	Dabigatran				
specified)	Rivaroxaban				
	Apixaban				
Control	Warfarin				
	36.7% had baseline IN	R values of 1.6-1.9	9		
	29.0% had baseline IN	R values of 2.0-2.5	9		
	2.6% had baseline INR	≥ 3.0			
Outcomes	Effectiveness				
	Symptomatic stroke in	cluding transient i	schemic attack (T	TA)	
	Systemic thromboemk	_	•	•	
	All-cause mortality				
	Safety				
	Major bleeding includi	ng intracranial he	morrhage requirir	ng hospitalization	
	All-cause mortality	J	0 1		
Outcome definitions	Symptomatic stroke in	cluding TIA			
	Systemic thromboemb	_			
	Major bleeding includi		morrhage		
	All outcomes had to be	_	_	hy or magnetic re	esonance imaging
Population (eligibility)	Outpatients aged ≥ 2				
	electrocardiogram and	d who had maintai	ned sinus rhythm	for more than 1 y	vear ear
Population	Study population				
(study sample)	N = 6616				
	Warfarin, n = 3964 (59	.9%)			
	Dabigatran, n = 325 (4	.9%)			
	Rivaroxaban, n = 403 (	6.1%)			
	Apixaban, n = 184 (2.8	%)			
	Unknown NOAC, n = 1	1 (0.2%)			
	Unknown OAC, n = 97	5 (14.8%)			
	No OAC, n = 753 (11.4	%)			
	Target population				
	Of the 7937 patients i		• •	•	
	follow-up and were th		•		
	this extended study, 3			_	•
	NVAF, 47 (0.7%) were	lost to follow-up.	Therefore, 6616 p	patients with NVA	F were included in
	the analyses				
Population (baseline parti					
	Apixak	oan Dabigatr	an Rivaroxab	an Warfarin	All
					participants
Women	-	-	-	28.8	29.0
Age, mean (SD)	-	-	-	70.1 (9.4)	69.7 (9.9)
>65 years	-	-	-	-	-
>75 years	-	-	-	35.3	34.0
>85 years	<del>-</del>	-	-	-	
	1			1 7 /1 2\	4 7 (4 3)
CHA2DS2VASc, mean (SD	-	-	-	1.7 (1.2)	1.7 (1.2)
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD HAS-BLED, mean (SD) Standard dose	) - -	-	-	-	1./ (1.2) -

Reduced dose	-	-	-	-	-	
Comorbidities	-	-	-			
Ischemic stroke, or systemic embolism,	-	-	-	14.7	13.8	
or TIA						
Heart failure	-	-	-	30.1	27.2	
Myocardial infarction	-	-	-	-	-	
Vascular disease	-	-	-	-	-	
Renal dysfunction	-	-	-	-	-	
Previous bleeding	-	-	-	-	-	
Hypertension	-	-	-	61.1	60.1	
Diabetes	-	-	-	18.7	18.2	
Cancer	-	-	-	-	-	
Concomitant medication	-	-	-			
Aspirin	-	-	-	20.7	18.0	
Beta-blocker	-	-	-	-	-	
NSAID	-	-	-	-	-	
Calcium channel blocker	-	-	-	-	-	
Renin angiotensin system inhibitor	-	-	-	-	-	

#### Measure of the risk of an end point

Event rates in 3 groups according to the final status of anticoagulation therapy at the time of the event or at the end of follow-up: patients taking warfarin (Warfarin group), any NOAC (NOAC group), and no anticoagulant (No-OAC group)

## Comparison of the risk of an end point between groups

Frequencies of events were compared using chi-square or Fisher's exact test Kaplan-Meier curves for time to events were compared with log-rank tests

A Cox proportional hazard model

### Confounding

Odds ratios for each event in the Warfarin and NOAC groups were calculated by multivariate logistic regression analysis adjusted for the components of the CHA<sub>2</sub>DS<sub>2</sub>-VASc score (congestive heart failure, hypertension, age  $\geq$  75 years, diabetes mellitus, history of ischemic stroke or TIA, vascular disease [coronary artery disease], age 65-74 years, and female sex) and antiplatelet use, using the No-OAC group as a reference

## Sensitivity analysis

Not reported

#### Supplementary analyses

Multivariate Cox regression analysis of the effect of the INR subgroup on the risk of thromboembolic events and major hemorrhage

Multivariate logistic regression analysis of the effect of warfarin on all-cause and cardiovascular mortality

## Software for statistical analysis

IBM SPSS Statistics for Windows, version 23.0 (IBM Corp, Armonk, New York)

#### Statistical significance reference

A 2-sided P value < .05

NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; NVAF, nonvalvular atrial fibrillation; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Lai et al. <sup>74</sup>
Reference	Lai CL, Chen HM, Liao MT, Lin TT, Chan KA. Comparative effectiveness and safety of
	dabigatran and rivaroxaban in atrial fibrillation patients. <i>J Am Heart Assoc.</i> 2017;6:e005362.
	doi:10.1161/JAHA.116.005362
Objective	To examine the comparative effectiveness and safety between dabigatran and rivaroxaban in
	atrial fibrillation patients
Country	China
Design	Nationwide cohort study
Data source	National Health Insurance claims database
Time period	June 1, 2012 to May 31, 2014
NOAC	Dabigatran 110 mg
NOAC	Dabigatran 150 mg     Dabigatran 150 mg
	Rivaroxaban 10 mg
	Rivaroxaban 15 mg     Rivaroxaban 20 mg
	Rivaroxaban 20 mg  200/ of nationate in the delication group received 110 mg. 750/ of nationate in the discourse for the delication of the delication o
	86% of patients in the dabigatran group received 110 mg; 75% of patients in the rivaroxaban
	group received 15 mg, 21% received 20 mg, and 4% received 10 mg. Therefore, patients
	receiving different doses of the same study medication (110 and 150 mg for dabigatran; 10,
0	15, and 20 mg for rivaroxaban) were pooled into 1 study group for their respective drugs
Control	No control
Outcomes	Effectiveness
	• Death
	• Ischemic stroke
	Acute myocardial infarction
	Arterial embolism/thrombosis
	Safety
	Intracranial hemorrhage
	Gastrointestinal hemorrhage
Outcome definitions	International Classification of Diseases, 9th Revision (ICD-9-CM)
Population (eligibility)	All adult beneficiaries aged ≥ 20 years with a diagnosis of atrial fibrillation and flutter and
	prescriptions of study medications within the enrollment period were identified. The date of
	the first prescription of dabigatran or rivaroxaban was operationally defined as the index
	date. In addition, subjects having diagnoses of deep vein thrombosis, pulmonary embolism,
	mitral stenosis or procedures including valvular replacement, mitral commissurotomy, heart
	transplantation, or extracorporeal circulatory support within the 6-month period prior to the
	index date were excluded. Finally, patients receiving 2 study medications at the same time or
	having concomitant antiplatelet agents such as aspirin, clopidogrel, ticlopidine, or
Daniel dian	dipyridamole on the index date were excluded
Population	Study population
(study sample)	N = 15 234 subjects were included
	Dabigatran, n = 10 625 Rivaroxaban, n = 4609
	After applying a PS-matching procedure, 4600 dabigatran users were successfully matched to
	4600 rivaroxaban users
	Target population
	N = 18 278
	Excluded:
	• Sex missing, n = 31
	<ul> <li>Diagnosis of DVT or PE within 6 months prior to the index date, n = 162</li> </ul>
	<ul> <li>Diagnosis of MS within 6 months prior to the index date, n = 162</li> </ul>
	<ul> <li>Valve replacement, commissurotomy, heart transplantation, or extracorporeal</li> </ul>
	circulation within 6 months prior to the index date, n = 4
	·
	Two study medications prescribed on the index date, n = 48      Prescription of assisting classidages, tickeniding, or disputidamele on the index date n = 1.
	• Prescription of aspirin, clopidogrel, ticlopidine, or dipyridamole on the index date, n =
Danielskie / P	2681
ropulation (baseline part	cicipant characteristics) (values expressed as percentages unless otherwise stated)
	Overall population PS-matched population
1	

	Dabigatran	Rivaroxaban	Dabigatran	Rivaroxaban
Women	43.3	45.3	45.4	45.2
Age, median (IQR)	76 (69-82)	76 (70-82)	76 (70-82)	76 (70-82)
<65 years	12.9	12.0	11.6	12.0
65-74 years	29.8	30.5	30.1	30.5
≥75 years	57.3	57.6	58.4	57.5
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean	3.3 (1.5)	3.3 (1.5)	3.3 (1.5)	3.3 (1.5)
(SD)				
HAS-BLED, mean (SD)	-	-	-	-
Standard dose				
Reduced dose				
Comorbidities				
Ischemic stroke, or systemic embolism, or TIA	23.8	19.4	19.1	19.5
Heart failure (see below)				
Valvular heart disease	24.4	26.4	26.1	26.3
Myocardial infarction	1.1	1.3	1.4	1.3
, Vascular disease	3.5	3.4	3.3	3.4
Renal dysfunction	4.7	4.7	4.8	4.7
(failure) Previous bleeding (see below)				
Intracranial hemorrhage	1.1	1.2	1.1	1.2
Hypertension	49.0	49.7	49.4	49.7
Diabetes mellitus	20.2	20.2	20.4	20.2
Cancer (see below)				
Solid tumor without metastasis	5.7	5.7	5.3	5.7
Concomitant				
medication				
Aspirin	42.8	44.3	44.3	44.3
Beta-blocker	52.3	53.9	53.7	53.8
NSAID	55.5	58.0	57.6	57.9
Calcium channel blocker	-	-	-	-
Renin angiotensin system inhibitor	-	-	-	-
<i>,</i> Warfarin	51.0	46.3	46.2	46.3
Clopidogrel	8.1	9.5	9.2	9.5
Ticlopidine	2.6	2.7	2.6	2.7
Dipyridamole	8.2	9.0	8.6	9.0
Digoxin	26.3	25.0	24.8	25.0
Amiodarone	17.4	18.7	19.0	18.7
Dronedarone	2.4	4.2	4.0	4.2
Verapamil	3.5	4.0	3.5	3.9
Diltiazem	20.4	20.2	19.9	20.2
Dihydropyridine CCB	34.7	33.5	33.3	33.4
ACEI	14.4	13.6	13.8	13.5
ARB	53.1	52.2	51.4	52.2

Loop diuretic	30.1	33.9	33.3	33.8	
Thiazide	7.1	6.5	6.5	6.5	
Spironolactone	12.3	14.7	14.6	14.6	
Statin	28.1	28.2	27.7	28.2	
OAD	23.8	23.6	23.0	23.6	
Insulin	6.6	6.9	6.9	6.9	
PPI	11.0	12.3	12.1	12.3	
H2-blocker	29.0	30.6	30.5	30.6	

#### Measure of the risk of an end point

Incidence rates of various clinical outcomes are presented as cases per 100 person-years among the overall population and the PS-matched population

#### Comparison of the risk of an end point between groups

The marginal proportional hazards model was applied for estimation of the relative risks (hazard ratios) of various clinical outcomes between the dabigatran group and the rivaroxaban group among the PS-matched population as the primary analysis

Using a chi-square test for categorical variables and the 2-sample t test for normally distributed continuous variables, baseline characteristics were compared between the dabigatran group and the rivaroxaban group in the overall population. The standardized difference was also used to measure covariate balance, whereby an absolute standardized difference greater than 0.10 represented meaningful imbalance

#### Confounding

A PS was derived using logistic regression to model the probability of receipt of rivaroxaban (or dabigatran) as a function of all of the potential confounders

#### Software for statistical analysis

SAS software, version 9.4 (SAS Institute, Inc, Cary, North Carolina)

#### Statistical significance reference

All reported P values were 2-sided, and the significance level was set at < .05

ACEi, angiotensin-converting enzyme inhibitor; ARB, angiotensin receptor blocker; CCB, calcium channel blocker; DVT, deep venous thrombosis; IQR, interquartile range; MS, mitral stenosis; NSAIDs, nonsteroidal anti-inflammatory drugs; PE, pulmonary embolism; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Laliberté et al. <sup>75</sup>
Reference	Laliberté F, Cloutier M, Nelson WW, Coleman CI, Pilon D, Olson WH, et al. Real-world
	comparative effectiveness and safety of rivaroxaban and warfarin in nonvalvular atrial
	fibrillation patients. <i>Curr Med Res Opin.</i> 2014;30:1317-1325.
	doi:10.1185/03007995.2014.907140
Objective	To assess real-world safety, effectiveness, and persistence associated with rivaroxaban and
	warfarin in nonvalvular AF patients
Country	United States
Design	Retrospective cohort study
Data source	Symphony Health Solutions' (SHS) Patient Transactional Datasets
Time period	May 2011 to July 2012
NOAC	Rivaroxaban 20 mg
Control	Warfarin
Outcomes	Effectiveness
	Composite stroke and systemic embolism (ischemic stroke, hemorrhagic stroke,
	systemic embolism)
	Venous thromboembolism events (deep vein thrombosis and pulmonary embolism)
	Safety
	Major bleeding
	Intracranial hemorrhage
	Gastrointestinal bleeding
Outcome definitions	International Classification of Disease, Ninth Revision, Clinical Modification (ICD-9-CM):
	427.31
	Composite stroke and systemic embolism end points were required to be identified during a
	hospitalization or emergency department visit as a primary or secondary diagnosis
	VTE events were required to be identified during either (1) a hospitalization or emergency
	department visit or (2) during an outpatient visit with a 6-month washout (to ensure the
	identification of a new VTE event)
	Hemorrhagic stroke was defined as the occurrence of both a diagnosis of ICH and a diagnosis
	of late effects of cerebrovascular disease during the same hospitalization
Population (eligibility)	Patients newly initiated on rivaroxaban or warfarin after November 2011 (the time of
	rivaroxaban approval for nonvalvular AF in the US), were ≥ 18 years of age, had a CHADS <sub>2</sub>
	score ≥ 1 during the 180-day baseline period, and had ≥ 2 diagnoses of AF during the
	baseline or follow-up period. The study patients were required to have at least 6 months of
	clinical activity (a variable included in the SHS data) prior to the index date (baseline period).
	Patients with prior use of warfarin but who initiated rivaroxaban after its approval in
	November 2011 were classified in the rivaroxaban cohort, consistent with recent clinical
	trials studying the use of novel oral anticoagulants by AF patients that have combined VKA-
	experienced and -naïve patients
	Patients diagnosed at baseline with valvular involvement, pregnancy, malignant cancers, and
5 1.1	transient causes of AF were excluded from the study
Population	Study population
(study sample)	Rivaroxaban, n = 3654
	Warfarin, n = 26 825
	Target population
	N = 1083888 Excluded:
	<ul> <li>Less than 180 days of continuous activity: rivaroxaban, n = 4968; warfarin, n = 180 030</li> </ul>
	·
	Not newly initiated (180-day washout period): warfarin, n = 600 817  Loss than 2 AE diagnoses n = 0
	• Less than 2 AF diagnoses, n = 0
	• Less than 18 years of age, n = 0
	• Valvular involvement, pregnancy, malignant cancer, transient causes of AF: rivaroxaban,
Donulation /hazalina was and	n = 1378; warfarin, n = 12 397
ropulation (baseline part	icipant characteristics) (values expressed as percentages unless otherwise stated)
Warran	Rivaroxaban Warfarin
Women	51.0 51.5
Age, mean (SD)	73.3 (8.4) 73.7 (8.3)

>65 years

>75 years	-	-
>85 years	-	-
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD)	3.4 (1.4)	3.5 (1.4)
HAS-BLED, mean (SD)	1.9 (0.8)	1.9 (0.8)
Standard dose	100	100
Reduced dose	-	-
Comorbidities		
Ischemic stroke, or systemic embolism, or TIA	-	-
Cerebrovascular accident (stroke)	9.8	9.8
Heart failure	19.6	20.8
Myocardial infarction	-	-
Vascular disease	-	-
Renal dysfunction	-	-
Renal disease	12.2	13.0
Chronic kidney disease	7.5	8.2
Previous bleeding	7.8	8.0
Hypertension	71.9	71.3
Diabetes	25.2	26.4
Cancer	-	-
Concomitant medication	-	-
Aspirin	-	-
Beta-blocker	-	-
NSAID	12.7	11.9
Calcium channel blocker	-	-
Renin angiotensin system inhibitor	-	-

#### Measure of the risk of an end point

Hazards ratios

### Comparison of the risk of an end point between groups

Cox proportional hazard models were used to compare event and persistence rates

### Confounding

Propensity score matching was performed to minimize sample selection bias and the risk of confounding between rivaroxaban and warfarin users

Propensity scores were calculated using a multivariate logistic regression model, incorporating the following baseline characteristics: demographics, insurance type comorbidities, and risk factors for bleeding, stroke and VTE events

### Sensitivity analysis

Conducted for the analysis of persistence with therapy for rivaroxaban and warfarin users, where the use of other oral anticoagulants (ie, dabigatran) during follow-up was allowed (not considered a gap in therapy)

### Software for statistical analysis

SAS 9.3 (SAS Institute Inc, Cary, North Carolina)

# Statistical significance reference

Statistical significance was assessed with 2-sided tests at a significant level of .05

AF, atrial fibrillation; NSAIDs, nonsteroidal anti-inflammatory drugs; TIA, transient ischemic attack; SD, standard deviation; VTE, venous thromboembolism.

Study ID	Larsen et al. <sup>76</sup>							
Reference		rst-Rasmussen	A, Rasmussen	LH, Skjøth F.	Rosenzweig N	M, Lip GY. Bleeding		
				=	_	th warfarin in atrial		
	fibrillation. Am			_	•			
Objective						ratum of VKA-naïve		
•	and VKA-experienced patients with atrial fibrillation							
Country	Denmark							
Design	Nationwide cohort study							
Data source	Three Danish n	ationwide data	bases:					
		•				nerapeutic Chemical		
		on code, and p	ackage size fo	r every prescri	ption purchas	e in Denmark since		
	1994)							
				_		charge International		
		on of Disease	s diagnoses f	or > 99% of	somatic hosp	pital admissions in		
	Denmark)	il Danistustiau	Ca.k.a.u.a. /:kla :	f		المصم المقني المصم القسنط		
		_	System (with i	niormation on	sex, date of	birth, and vital and		
Time period	emigration August 1, 2011		arket entry) to	May 20, 2012				
Time period	August 1, 2009	, ,	• • •	Way 50, 2015				
NOAC	Dabigatrar		.s (warrarii)					
	Dabigatrar	_						
Control		rding to VKA ex	perience statu	s)				
Outcomes	Safety			-,				
	Major blee	eding						
	Intracranial bleeding							
	Fatal bleeding							
	<ul> <li>Gastrointe</li> </ul>	stinal bleeding						
	<ul> <li>Any bleedi</li> </ul>	ng						
Outcome definitions	-		_			on of Disease, 10th		
	·		_	_		tinal bleeding and		
			•	• ,	•	from any bleeding		
B 1 1 / 11 11 11 11 1		ntestinal bleedi						
Population (eligibility)		time purchases	or dabigatran	and warfarin	purchases au	ring the study time		
	period	hases made hy	nationts witho	out a prior hose	nital diagnosis	of atrial fibrillation:		
	Excluded: purchases made by patients without a prior hospital diagnosis of atrial fibrillation; or with a prior hospital diagnoses of mitral stenosis, venous thromboembolism, or valvular							
		h a previous pu						
Population	Study populati							
(study sample)	Patients with a	first-time dabig	gatran purchas	e, n = 11 315				
	VKA-naïve, n =	7063; VKA-exp	erienced, n = 4	252				
		2 630 (VKA-naï\						
Population (baseline part	•	, , , , , , , , , , , , , , , , , , ,	xpressed as pe			•		
	VKA-naïve st				nced stratum			
	Dabigatran	Dabigatran	Warfarin	Dabigatran	Dabigatran	Warfarin		
14/	110 mg	150 mg	44.2	110 mg	150 mg	30.4		
Women	55.1	36.6	41.3	54.4	35.2	38.4		
Age, median (IQR)	82 (77-86)	67 (62-72)	73 (66-80)	82 (77-86) 96.9	69 (64-73) 70.9	74 (67-81)		
≥65 years ≥75 years	95.3 80.1	63.6 13.7	76.8 42.5	96.9 80.3	70.9 18.3	81.8 46.2		
>85 years	-	-	+∠.J -	-	10.3			
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean	3.70 (1.47)	2.12 (1.41)	2.80 (1.67)	3.89 (1.47)	2.59 (1.54)	3.01 (1.59)		
(SD)	3.70 (1.47)	(1.71)	2.00 (1.07)	5.05 (1.47)	2.55 (1.54)	0.01 (1.00)		
	2.32 (1.04)	1.70 (1.11)	1.97 (1.18)	2.22 (1.01)	1.83 (1.08)	1.87 (1.03)		
HAS-BLED, mean (SD) Standard dose	2.32 (1.04) 100	1.70 (1.11) 100	1.97 (1.18) 100	2.22 (1.01) 100	1.83 (1.08) 100	1.87 (1.03) 100		

Reduced dose Comorbidities

Ischemic stroke, or

26.5

16.3

16.9

19.6

19.0

27.9

systemic embolism, or						
TIA						
Heart failure	-	-	-	-	-	-
Myocardial infarction	-	-	-	-	-	-
Vascular disease	-	-	-	-	-	-
Renal dysfunction	3.1	1.3	7.0	4.7	2.8	4.6
Previous bleeding	18.7	11.1	13.4	22.1	15.1	16.0
Hypertension	34.8	33.0	34.1	37.9	44.7	39.6
Diabetes	13.6	11.2	14.7	16	15.9	16.8
Cancer	-	-	-	-	-	-
Concomitant medication						
Aspirin	41.1	32.9	38.6	24.0	21.4	18.4
Beta-blocker	-	-	-	-	-	-
NSAID	5.9	6.0	5.3	4.9	4.5	4.5
Calcium channel blocker	-	-	-	-	-	-
Renin angiotensin system inhibitor	-	-	-	-	-	-
Clopidogrel	8.1	5.0	6.1	3.4	2.3	1.2

#### Measure of the risk of an end point

Crude cumulative incidences of bleeding were estimated with the Aalen-Johansen method under competing risks of death

### Comparison of the risk of an end point between groups

Risk time from the baseline date until the first occurrence of the relevant bleeding event, emigration, death, or July 31, 2013

Cox proportional hazards regression models to estimate hazard ratios of bleeding events for each of the 6 different combinations of treatment (D110, D150, and warfarin) and VKA experience status, with VKA-naïve warfarin users as a reference

#### Confounding

Regression models were adjusted for the following baseline characteristics: age (continuous; cubic spline); components of CHA<sub>2</sub>DS<sub>2</sub>VASc and HAS-BLED (binary); months since August 2011 (continuous; cubic spline). In the analyses restricted to the VKA-experienced stratum, time since initiation of VKA therapy (continuous; cubic spline) was also adjusted for

### Sensitivity analysis

Per-protocol-type sensitivity analysis was used to investigate the effect of continuous treatment, censoring individuals at the time of nonpersistence (time of treatment switching or > 30 days discontinuation, ascertained from previous package sizes and a standard daily dose)

#### Supplementary analyses

To assess the extent to which subjects followed the assumed treatment, 3-month persistence probabilities were also estimated with the Aalen-Johansen method under competing risks of death

#### Software for statistical analysis

Stata/MP version 12.1

# Statistical significance reference

A 2-sided *P* value < .05 was considered statistically significant

IQR, interquartile range; NSAIDs, nonsteroidal anti-inflammatory drugs; SD, standard deviation; TIA, transient ischemic attack; VKAs, vitamin K antagonists.

Study ID	Larsen et al. <sup>77</sup>
Reference	Larsen TB, Rasmussen LH, Gorst-Rasmussen A, Skjøth F, Lane DA, Lip GY. Dabigatran and
	warfarin for secondary prevention of stroke in atrial fibrillation patients: A nationwide cohort
	study. Am J Med. 2014;127:1172-1178. doi:10.1016/j.amjmed.2014.07.023
Objective	To evaluate the effectiveness of dabigatran relative to warfarin for secondary prevention of
	stroke/transient ischemic attack among "new starters" on anticoagulant therapy
Country	Denmark
Design	Nationwide cohort study
Data source	Three Danish nationwide databases:
	The Danish National Prescription Registry (with information on purchase date,
	Anatomical Therapeutic Chemical classification code, and package size for every
	prescription purchase in Denmark since 1994)
	• The Danish National Patient Register, established in 1977, which includes admission/discharge date and discharge International Classification of Diseases
	diagnoses for > 99% of somatic hospital admissions in Denmark
	The Danish Civil Registration System (with information on sex, date of birth, and vital)
	and emigration status)
Time period	August 1, 2011 (dabigatran market entry in Denmark) to May 30, 2013, alongside all
•	purchases of warfarin from August 1, 2009 to May 30, 2013
NOAC	Dabigatran 110 mg twice daily
	Dabigatran 150 mg twice daily
Control	Warfarin
Outcomes	Effectiveness
	• Stroke
	Transient ischemic attack
	Composite stroke/transient ischemic attack
	Fatal strokes/transient ischemic attacks
	Safety
	Bleeding risk
Outcome definitions	End points were ascertained according to the International Classification of Disease, 10th
	revision (ICD-10)  • Ischemic stroke (I63, I64.9)
	<ul> <li>Ischemic stroke (I63, I64.9)</li> <li>Transient ischemic attack (G45)</li> </ul>
	• Fatal stroke, not including hemorrhagic stroke (ischemic stroke or transient ischemic
	attack followed by death within 30 days)
Population (eligibility)	Patients with atrial fibrillation and a history of stroke/transient ischemic attack making a
· opulation (enginery)	first-time dabigatran purchase, alongside patients making a first-time warfarin purchase
	(controls) during the study period
	Excluded purchases not preceded by a hospital diagnosis of atrial fibrillation, or preceded by
	a hospital diagnosis of mitral stenosis, venous thromboembolism, or valvular surgery, or
	preceded by phenprocoumon use. In accordance with the focus on secondary prevention,
	purchases not preceded by a hospital diagnosis of stroke/transient ischemic attack were
<u> </u>	excluded
Population	Study population
(study sample)	VKA-naïve: Dabigatran, n = 1439; warfarin, n = 1825
	VKA-experienced:
	Dabigatran, n = 959; warfarin, n = 1918
	Target population
	N = 731 407 (naïve, n = 41 613; experienced, n = 689 794)
	Excluded:
	<ul> <li>No prior stroke, n = 598 285 (naïve, n = 35 633; experienced, n = 562 652)</li> </ul>
	<ul> <li>No prior AF, n = 32 143 (naïve, n = 2338; experienced, n = 29 805)</li> </ul>
	Other exclusion criteria: other hospital diagnosis of mitral stenosis, venous
	thromboembolism, valvular surgery, or prior phenprocoumon use, n = 20 203 (naïve, n =
	378; experienced, n = 19 825)
	, -

	Vitamin K antagonist-naïve			Vitamin K antagonist-experienced			
	Warfarin	Dabigatran	Dabigatran	Warfarin	_		
		110 mg	150 mg		110 mg	150 mg	
Women	41.4	54.7	36.7	37.9	54	34.4	
Age, median (IQR)	72 (65-79)	81 (76-86)	67 (62-72)	74 (67-80)	81 (76-85)	68 (64-73)	
>65 years	-	-	-	-	-	-	
>75 years	-	-	-	-	-	-	
>85 years	-	-	-	-	-	-	
CHA2DS2VASc, mean (SD)	1.73 (1.06)	2.01 (0.90)	1.50 (1.02)	1.66 (0.91)	1.94 (0.87)	1.63 (1.00)	
HAS-BLED, mean (SD)	0.96 (0.88)	1.38 (0.82)	0.61 (0.74)	1.16 (0.90)	1.54 (0.87)	0.91 (0.86)	
Standard dose	100	100	100	100	100	100	
Reduced dose	-	-	-	-	-	-	
Comorbidities							
Ischemic stroke, or systemic	-	-	-	-	-	-	
embolism, or TIA							
Prior ischemic stroke	75.3	81.2	74.9	75.7	82.1	76.5	
Prior transient ischemic attack	36.3	32.0	35.8	37.2	32.4	34.7	
Heart failure	-	-	-	-	-	-	
Myocardial infarction (see	-	-	-	-	-	-	
below)							
Prior myocardial infarction,	17.6	17.5	8.4	19.8	25.0	22.1	
unstable angina, or cardiac							
arrest							
Vascular disease	-	-	-	-	-	-	
Renal dysfunction	9.5	3.9	0.9	6.0	3.3	3.2	
Previous bleeding	16.2	20.9	13.0	19.2	24.5	19.7	
Hypertension	36.4	33.0	29.6	37.7	36.7	38.1	
Diabetes	16.1	15.4	13.0	18.0	14.1	20.6	
Cancer	-	-	-	-	-	-	
Concomitant medication							
Aspirin	43.0	42.7	34.8	23.0	25.6	21.8	
Beta-blocker							
NSAID	5.2	4.2	4.8	4.3	4.4	4.9	
Calcium channel blocker	-	-	-	-	-	-	
Renin angiotensin system	-	-	-	-	-	-	
inhibitor							
Clopidogrel	21.4	20.1	20.3	3.0	6.4	5.8	
Clopidogrel and aspirin/NSAID	7.7	6.2	5.0	0.4	2.0	1.5	

#### Measure of the risk of an end point

Crude cumulative incidences of stroke/transient ischemic attack were calculated with the Aalen-Johansen method under competing risk of death

## Comparison of the risk of an end point between groups

Time-to-event analysis was used to compare the risk of stroke/transient ischemic attack between treatment groups within the 2 VKA-experienced strata (naïve/experienced), measuring risk time from baseline and until the relevant event, emigration, death, or July 31, 2013, whichever came first

Cox regression was used to contrast event rates between dabigatran users and warfarin controls within each of the VKA-experienced strata

### Confounding

Regression analyses were adjusted for the baseline values of the following indications: age (continuous; cubic spline); components of the CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED (binary); and months since August 2011 (continuous; cubic spline). In the VKA-experienced stratum, time since initiation of VKA therapy (continuous; cubic spline) was also adjusted for

### Sensitivity analysis

Repeated regression analyses after individual censoring at the time of nonpersistence in order to quantify the effect of continuous treatment (implicitly assuming censoring to be noninformative conditionally on baseline covariates)

Regression analyses were also repeated when requiring end points to have been registered as the primary diagnosis in connection with hospitalization for at least 1 night

Repeated a subset of the main analyses in the primary prevention group, that is, the analogously defined 2 VKA-experienced strata based on the subset of the warfarin/dabigatran purchase data that excluded subjects with a prior diagnosis of stroke/transient ischemic attack

# Software for statistical analysis

Stata/MP version 12.1 (StataCorp LP, College Station, Texas)

### Statistical significance reference

A 2-sided *P* value < .05 was considered statistically significant

AF, atrial fibrillation; IQR, interquartile range; NSAIDs, nonsteroidal anti-inflammatory drugs; SD, standard deviation; TIA, transient ischemic attack; VKAs, vitamin K antagonists.

Study ID	Larsen et al. <sup>52</sup>						
Reference	Larsen TB, Skjøth F, Nielsen PB, Kjældgaard JN, Lip GY. Comparat	ive effectiveness and safety of					
	non-vitamin K antagonist oral anticoagulants and warfarin in p	•					
	Propensity weighted nationwide cohort study. BMJ. 2016;353:i3189						
Objective	To evaluate the effectiveness and safety of the novel oral anticoagu	ulants (dabigatran, rivaroxaban,					
	and apixaban) vs warfarin in anticoagulant-naïve patients with atrial	fibrillation					
Country	Denmark						
Design	Nationwide cohort study						
Data source	Three Danish nationwide databases						
	<ul> <li>Danish National Prescription Registry (with information</li> </ul>	on every drug prescriptions					
	claimed since 1994)						
	<ul> <li>Danish National Patient Register (admission and discharge</li> </ul>						
	diagnoses] for more than 99% of hospital admissions since	-					
	<ul> <li>Danish Civil Registration System (with information on se</li> </ul>						
	emigration status; all individuals in Denmark have a unique	identification number)					
Time period	August 2011 to October 2015						
NOAC	Apixaban 5 mg twice daily						
	Dabigatran 150 mg twice daily						
	Rivaroxaban 20 mg once daily						
Control	Warfarin (2.5 mg dose tablets)						
Outcomes	Effectiveness						
	Ischemic stroke						
	Composite of ischemic stroke or systemic embolism						
	Death  Composite of ischamic stroke, systemic embolism, or death						
	Composite of ischemic stroke, systemic embolism, or death						
	Safety Any blooding						
	Any bleeding Intracranial bleeding						
	Major bleeding						
Outcome definitions	Ischemic stroke: ICD-10 revision codes. This outcome has been valid	dated with a positive predictive					
Outcome definitions	value of more than 97%	ated, with a positive predictive					
	Systemic embolism: ICD-10 revision codes						
	Bleeding events: intracranial, major, gastrointestinal, and traumatic	intracranial					
		Major bleeding: extracranial, major, gastrointestinal, and traumatic intracranial Major bleeding: extracranial bleeding with anemia, hemothorax, hematuria, epistaxis, and bleeding					
	in the eye	, , ,					
Population (eligibility)	People diagnosed with atrial fibrillation with a first-time purchas	se of the NOAC of interest (to					
	standard doses) or a new warfarin prescription during the study time	e period					
	Restriction to standard doses because patients who receive reduce	ed dosage regimens have more					
	comorbidities and are of a more advanced age (> 80 years)						
	Restriction to naïve patients (exclusion of patients who had used a	any oral anticoagulant within 1					
	year before the study period)						
	Exclusion of patients with valvular atrial fibrillation (mitral stenosis	-					
	venous thromboembolism (pulmonary embolism or deep vein thron	nbosis)					
Population	Study population						
(study sample)	N = 61 678						
	Apixaban, n = 6349 (10%)						
	Dabigatran, n = 12 701 (21%)						
	Rivaroxaban, n = 7192 (12%)						
	Warfarin, n = 35 436 (57%)						
	Target population						
	N = 122 068 patients as new users of NOACS	gonist oral anticonsulants with					
	Exclusion of 35 035 patients receiving 1 of the nonvitamin K antagendured doses and 35 355 nations with an indication for valve						
	reduced doses and 25 355 patients with an indication for valvul thromboembolism	iai atriai fibrillation or venous					
Danulation (baseline newticine		4)					
ropulation (baseline participa	ant characteristics) (values expressed as percentages unless otherwise stated						
Maman	Apixaban Dabigatran Rivaroxaban Warfa	· · · · · · · · · · · · · · · · · · ·					
Women	39.7 33.9 43.1 41.2	39.8					

67.6 (62.0-72.4)

71.8 (65.7-78.9)

71.3 (65.8-77.2)

Age, median (IQR)

70.9 (64.3-77.7)

72.4 (64.7-79.8)

>65 years	78.2	64.4	77.7	74.2	73.0
>75 years	33.7	13.9	38.1	41.4	34.5
>85 years	-	-	-	-	-
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD)	2.8 (1.6)	2.2 (1.4)	2.8 (1.6)	2.8 (1.7)	2.7 (1.6)
HAS-BLED, mean (SD)	2.3 (1.2)	2.0 (1.1)	2.2 (1.2)	2.2 (1.2)	2.2 (1.2)
Standard dose	100	100	100	100	100
Reduced dose	-	-	-	-	-
Comorbidities					
Ischemic stroke, or systemic embolism,	21.1	13.2	16.8	14.8	15.3
or TIA					
Heart failure	15.9	9.3	12.6	10.4	11.0
Myocardial infarction	-	-	-	-	-
Vascular disease	13.9	10.4	12.2	18.1	15.4
Renal dysfunction	2.4	1.1	1.8	6.6	4.5
Previous bleeding	14.0	9.9	12.8	11.8	11.8
Hypertension	48.8	47.0	48.6	50.6	49.4
Diabetes	15.8	13.8	14.0	15.6	15.0
Cancer	16.1	11.8	16.1	16.5	15.5
Concomitant medication					
Aspirin	37.8	38.2	38.3	42.0	40.4
Beta-blocker	38.6	40.1	38.9	41.0	40.3
NSAID	22.4	24.5	22.1	24.3	23.9
Calcium channel blocker	-	-	-	-	-
Renin angiotensin system inhibitor	-	-	-	-	-

#### Measure of the risk of an end point

Crude incidence (number of events divided by person-time)

#### Comparison of the risk of an end point between groups

Time-to-event analysis (risk time from initial prescription until the relevant event, emigration, death, or end of follow-up)

Intention-to-treat analysis for all end points

Cox regression (warfarin as the primary reference)

#### Confounding

Inverse probability of treatment weighted analysis

Generalized boosted models (based on 10 000 regression trees to calculate weights for the optimal balance between the treatment populations and obtain estimates representing population average treatment effects)

Propensity model including treatment predictors of age (continuous); binary indicators for sex; ischemic stroke or systemic embolism or transient ischemic attack; vascular disease; hypertension; diabetes; cancer; recent prescription of aspirin, beta-blockers, nonsteroidal anti-inflammatory drugs, or statins; and CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED scores

Graphical inspection of the weight distributions to evaluate the balance between treatment populations by standardized differences of all baseline covariates, using a threshold of 0.1 to indicate imbalance. Ordinary logistic regression to evaluate the association of baseline characteristics on treatment choice vs any of the alternatives

### Sensitivity analysis

Analyses repeated by restriction to the cohort of patients with: *a)* a hospital discharge diagnosis of atrial fibrillation either before or within 30 days of the first prescription of a NOAC; *b)* dabigatran treatment postponed to February 2012; *c)* populations younger and older than 65; *d)* according to previous experience of stroke, systemic embolism, or transient ischemic attack

#### Supplementary analyses

Continuous treatment analysis (censoring follow-up if the patient was prescribed another treatment than that initiated)

#### Software for statistical analysis

Stata/MP version 14 and R version 3.1.1

## Statistical significance reference

A 2-sided P value of less than .05

IQR, interquartile range; NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Li et al. <sup>78</sup>
Reference	Li XS, Deitelzweig S, Keshishian A, Hamilton M, Horblyuk R, Gupta K, et al. Effectiveness and
	safety of apixaban versus warfarin in non-valvular atrial fibrillation patients in "real-world"
	clinical practice. A propensity-matched analysis of 76,940 patients. <i>Thromb Haemost</i> .
	2017;117:1072-1082. doi:10.1160/TH17-01-0068
Objective	To assess the effectiveness and safety of apixaban vs warfarin in nonvalvular atrial fibrillation
	patients in "real-world" clinical practice
Country	United States
Design	Retrospective cohort study
Data source	Four large, nationally-representative claims databases in the US:
24.4 554.55	Two containing information from employer-provided health plans, with reported potential
	duplicates of only 0.5% in a study using both datasets:
	Truven MarketScan® Commercial Claims Encounter and Medicare Supplemental and
	Coordination of Benefits Database ("MarketScan")
	IMS PharMetrics Plus™ Database ("PharMetrics")
	Two containing information on beneficiaries from unique insurance plans, which guarantees
	no duplicates on the health plan level when pooled with other datasets:
	Optum Clinformatics™ Data Mart ("Optum")
	Humana Research Database ("Humana")
	The 4 datasets include claims from over 163 million members of commercial and Medicare
	Advantage/supplemental plans. The datasets contain information on patient demographics
	and enrollment history as well as medical claims from inpatient hospitals, outpatient
	hospitals, the emergency room, physician offices, and surgery centers
Time period	January 1, 2013 to September 30, 2015
NOAC	Apixaban 5 mg
NOAC	Apixaban 3 mg     Apixaban 2.5 mg
Control	Warfarin
	Effectiveness
Outcomes	
	Stroke/systemic embolism (SE):  • Ischemic stroke,
	Hemorrhagic stroke
	• SE
	Safety
	Major bleeding events:
	Gastrointestinal (GI) bleeding
	Intracranial hemorrhage (ICH)
	Other major bleeding
Outcome definitions	Identified using the first-listed ICD-9-CM diagnosis of inpatient claims. The diagnosis codes
	used for stroke/SE and major bleeding were based on a validated administrative claim-based
	algorithm as well as the International Society on Thrombosis and Haemostasis definition of
5 1 / 1: 11 11: \	major bleeding, as used in the ARISTOTLE trial
Population (eligibility)	NVAF patients who were aged ≥ 18 years and had ≥ 1 pharmacy claim for apixaban or
	warfarin during the identification were included in the study. AF patients were identified
	using ICD-9-CM code 427.31, a validated code used to identify AF patients with a median
	positive predictive value of 89%. The date of the first apixaban or warfarin pharmacy claim
	during the identification period was designated as the index date. Patients were required to
	have the AF diagnosis before or on the index date and have continuous medical and
	pharmacy health plan enrollment for ≥ 12 months prior to the index date
	Patients with evidence of valvular heart disease, venous thromboembolism, transient AF
	(pericarditis, hyperthyroidism, thyrotoxicity), or heart valve replacement/transplant during the 12 months prior to or on the index date, or with pregnancy during the study period were
	excluded. Patients treated with any OACs within 12 months before the index date or with > 1
Donulation	OAC on the index date were also excluded
Population	Study population
(study sample)	N = 76 940
	Warfarin, n = 38 470
	Apixaban, n = 38 470
	Target population

	NVAF patients, N = 115 186
	Apixaban, n = 41 867
	Warfarin, n = 73 319
Population (baseline parti	cinant characteristics) (values expressed as percentages unless otherwise stated)

	Apixaban	Warfarin
Women	40.4	40.2
Age, mean (SD)	70.9 (12.0)	70.9 (11.9)
>65-74 years	27.7	27.7
≥75 years	40.7	40.5
>85 years	-	-
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD)		
HAS-BLED, mean (SD)		
Standard dose		
Reduced dose		
Comorbidities		
Ischemic stroke, or systemic embolism, or TIA	-	-
Stroke/SE	10.2	9.9
Transient ischemic attack (TIA)	6.2	6.1
Congestive heart failure	24.2	23.9
Myocardial infarction	8.9	8.8
Vascular disease (see below)	-	-
Nonstroke/SE peripheral vascular disease	45.1	44.9
Renal disease	19.8	19.9
Previous bleeding	-	-
Bleeding history	16.6	16.4
Hypertension	82.5	82.3
Diabetes mellitus	32.5	32.8
Cancer	-	-
Concomitant medication		
Aspirin (see below)	-	-
Antiplatelet	15.8	15.6
Beta-blocker	60.1	59.8
NSAID	23.5	23.3
Calcium channel blocker	-	-
Renin angiotensin system inhibitor	-	-

## Measure of the risk of an end point

Cumulative incidence and hazard ratios

### Comparison of the risk of an end point between groups

Propensity score matching was conducted between the warfarin and apixaban cohorts. Patients were matched 1:1 within each dataset on the propensity scores generated by logistic regressions based on age, sex, geographic region, Charlson Comorbidity Index score, baseline bleeding and stroke/SE history, comorbidities, and baseline comedications Cox proportional hazard models with robust sandwich estimates were performed to evaluate

the risk of stroke/SE and major bleeding between the 2 matched cohorts

### Sensitivity analysis

A sensitivity analysis was conducted without restricting the follow-up period to 1 year. In this analysis, patients were not censored at the 1 year postindex date

# Software for statistical analysis

STATinMED

# Statistical significance reference

P < .05 was considered statistically significant

NSAIDs, nonsteroidal anti-inflammatory drugs; NVAF, nonvalvular atrial fibrillation; SD, standard deviation, SE, systemic embolism; TIA, transient ischemic attack.

Lip GY, Keshishian A, Kamble S, Pan X, Mardekian J, Horblyuk R, Hamilton M. Real-word comparison of major bleeding risk among non-valular atrial fibrillation patients initiated o apixaban, dabigatran, rivaroxaban, or warfarin. A propensity score matched analysis. <i>Throm Hoemost</i> . 2016;116:975-986. doi:10.1160/TH16-05-0403  Objective To assess major bleeding risks among newly anticoagulated NVAF patients who initiat warfarin, apixaban, dabigatran, or rivaroxaban when used in the "real world" clinical practic Country United States  Design Retrospective cohort study Retrospective Retrospective Cohort study Ret	Study ID	Lip et al. <sup>79</sup>							
apixaban, dabigatran, rivaroxaban, or warfarin. A propensity score matched analysis. Throm Haemost. 2016;116:975-986. doi:10.1160/TH16-05-0403  Objective To assess major bleeding risks among newly anticoagulated NVAF patients who initiat warfarin, apixaban, dabigatran, or rivaroxaban when used in the "real world" clinical practic Country United States  Design Retrospective cohort study Data source Truven MarketScan* Commercial Claims and Encounter and Medicare Supplemental an Coordination of Benefits Databases (containing medical and drug data for several millio individuals annually, allowing for comprehensive longitudinal analysis)  Time period January 2012 to December 2014  NOAC Apixaban 5 mg twice daily Dabigatran 150 mg twice daily Rivaroxaban 20 mg once daily Outcomes  Safety Major bleeding Major bleeding was defined as bleeding requiring hospitalization during the period of dru use or within 30 days after the last day of supply of the treatment prescription The definitions of major bleeding was based on a published administrative claims-base algorithm as well as clinical trial definitions of major bleeding. This definition accounts for major bleeding at key sites including, but not limited to, intracranial, gastrointestinal, live splenic, and ocular hemorrhage requiring hospitalization with a diagnosis for bleeding the patients (100-9-CM codes: 427.31 or 427.32) ≥ 18 years who newly initiated OAC (warfarin, dabigatran, rivaroxaban, and apixaban) during the study period were included. In first OAC pharmacy claim date was designated as the index date. Patients with continuon health plan enrollment with medical and pharmacy benefits for at least 12 months before the index date (baseline period) were included in the study. Patients with a prescription claim for warfarin, rivaroxaban, dabigatran, or apixaban prior to the index date were excluded. Patients with evidence of transient AF (thyrotoxicosis, pericarditis), cardis surgery, venous thromboembolism (VTE), valvular heart disease, or pregnancy were exclude	Reference	-							
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Objective  To assess major bleeding risks among newly anticoagulated NVAF patients who initiat warfarin, apixaban, dabigatran, or rivaroxaban when used in the "real world" clinical practic Country  United States  Design  Retrospective cohort study  Time period  Data source  Truven MarketScan* Commercial Claims and Encounter and Medicare Supplemental an Coordination of Benefits Databases (containing medical and drug data for several millio individuals annually, allowing for comprehensive longitudinal analysis)  January 2012 to December 2014  NOAC  Apixaban 5 mg twice daily Dabigatran 150 mg twice daily Rivaroxaban 20 mg once daily  Outcomes  Safety  Major bleeding  Major bleeding was defined as bleeding requiring hospitalization during the period of dru use or within 30 days after the last day of supply of the treatment prescription  The definition of major bleeding was based on a published administrative claims-base algorithm as well as clinical trial definitions of major bleeding. This definition accounts for major bleeding at key sites including, but not limited to, intracranial, gastrointestinal, live splenic, and ocular hemorrhage requiring hospitalization with a diagnosis for bleeding  Population (eligibility)  AF patients (ICD-9-CM codes: 427.31 or 427.32) ≥ 18 years who newly initiated OAC (warfarin, dabigatran, rivaroxaban, and apixaban) during the study period were included. Neath plan enrollment with medical and pharmacy benefits for at least 12 months before the index date (baseline period) were included in the study. Patients with a prescriptic claim for warfarin, rivaroxaban, dabigatran, or apixaban prior to the index date were excluded. Patients with evidence of transient AF (thyrotoxicosis, pericarditis), cardis surgery, venous thromboembolism (VTE), valvular heart disease, or pregnancy were excluded.  Population  (study sample)  **Study population** Newly anticoagulated NVAF patients, N = 45 361 Warfarin, n = 15 461 (34.1%) Rivaroxaban, n = 71801 (39.2%) Dabigatran, n = 4661 (10.3%) Target popu		·	_			-	atched analysis. Thromb		
warfarin, apixaban, dabigatran, or rivaroxaban when used in the "real world" clinical practio Country United States  Retrospective cohort study Data source Truven MarketScan® Commercial Claims and Encounter and Medicare Supplemental an Coordination of Benefits Databases (containing medical and drug data for several millio individuals annually, allowing for comprehensive longitudinal analysis)  Time period January 2012 to December 2014 NOAC Apixaban 5 mg twice daily Dabigatran 150 mg twice daily Rivaroxaban 20 mg once daily Major bleeding Major bleeding Major bleeding Major bleeding was safer the last day of supply of the treatment prescription The definition of major bleeding was based on a published administrative claims-base algorithm as well as clinical trial definitions of major bleeding. This definition accounts for major bleeding at key sites including, but not limited to, intracranial, gastrointestinal, live splenic, and ocular hemorrhage requiring hospitalization with a diagnosis for bleeding Population (eligibility)  AF patients (ICD-9-CM codes: 427.31 or 427.32) ≥ 18 years who newly initiated OAf first OAC pharmacy claim date was designated as the index date. Patients with continuou health plan enrollment with medical and pharmacy benefits for at least 12 months before the index date (baseline period) were included in the study. Patients with a prescriptio claim for warfarin, rivaroxaban, dabigatran, or apixaban prior to the index date were excluded. Patients with evidence of transient AF (thyrotoxicosis, pericarditis), cardia surgery, venous thromboembolism (VTE), valvular heart disease, or pregnancy were excluded. Patients with evidence of transient AF (thyrotoxicosis, pericarditis), cardia surgery, venous thromboembolism (VTE), valvular heart disease, or pregnancy were excluded. Patients with valvular heart disease, n = 14214 • Restricted to age ≥ 18, n = 13 • Transient AF, n = 9962 • Patients with valvular heart disease, n = 22 255 • Pregnant patients, n = 54  Population (baseline participant cha									
Design Retrospective cohort study  Data source Truven MarketScan® Commercial Claims and Encounter and Medicare Supplemental an Coordination of Benefits Databases (containing medical and drug data for several millio individuals annually, allowing for comprehensive longitudinal analysis)  Time period January 2012 to December 2014  NOAC Apixaban 5 mg twice daily Dabigatran 150 mg twice daily Rivaroxaban 20 mg once daily  Control Warfarin  Outcomes Safety Major bleeding  Outcome definitions Mgor bleeding was defined as bleeding requiring hospitalization during the period of dru use or within 30 days after the last day of supply of the treatment prescription  The definition of major bleeding was based on a published administrative claims-base algorithm as well as clinical trial definitions of major bleeding. This definition accounts for major bleeding at key sites including, but not limited to, intracranial, gastrointestinal, live splenic, and ocular hemorrhage requiring hospitalization with a diagnosis for bleeding  Population (eligibility)  AF patients (ICD-9-CM codes: 427.31 or 427.32) ≥ 18 years who newly initiated OAC (warfarin, dabigatran, rivaroxaban, and apixaban) during the study period were included in first OAC pharmacy claim date was designated as the index date. Patients with continuou health plan enrollment with medical and pharmacy benefits for at least 12 months before the index date (baseline period) were included in the study. Patients with continuou health plan enrollment with medical and pharmacy benefits for at least 12 months before the index date (baseline period) were included in the study. Patients with continuou health plan enrollment with evidence of transient AF (thyrotoxicosis, pericarditis), cardis surgery, venus thromboembolism (VTE), valvular heart disease, or pregnancy were excluded.  Population  Study sample)  Study sample)  Study population  Newly anticoagulated NVAF patients, N = 45 361  Warfarin, n = 15 461 (34.1%)  Rivaroxaban, n = 74801 (39.2%)  Dabigatran, n = 4661 (10.3%)  T	Objective		-	-	-	_	•		
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Coordination of Benefits Databases (containing medical and drug data for several millio individuals annually, allowing for comprehensive longitudinal analysis)  Time period January 2012 to December 2014  NOAC Apixaban 5 mg twice daily Dabigatran 150 mg twice daily Rivaroxaban 20 mg once daily  Control Warfarin  Outcomes Safety Major bleeding Major bleeding Major bleeding was defined as bleeding requiring hospitalization during the period of dru use or within 30 days after the last day of supply of the treatment prescription The definition of major bleeding was based on a published administrative claims-base algorithm as well as clinical trial definitions of major bleeding. This definition accounts for major bleeding at key sites including, but not limited to, intracranial, gastrointestinal, live splenic, and ocular hemorrhage requiring hospitalization with a diagnosis for bleeding  Population (eligibility)  AF patients (ICD-9-CM codes: 427.31 or 427.32) ≥ 18 years who newly initiated OAC (warfarin, dabigatran, rivaroxaban, and apixaban) during the study period were included. Th first OAC pharmacy claim date was designated as the index date. Patients with continuon health plan enrollment with medical and pharmacy benefits for at least 12 months befor the index date (baseline period) were included in the study. Patients with a prescriptio claim for warfarin, rivaroxaban, dabigatran, or apixaban prior to the index date were excluded.  Population  Study population  Newly anticoagulated NVAF patients, N = 45 361 Warfarin, = 15 461 (34.1%) Apixaban, n = 7438 (16.4%) Rivaroxaban, n = 17801 (39.2%) Dabigatran, n = 4661 (10.3%) Target population  N = 101 138 Excluded:  Patients with heart surgery, n = 2259 Patients with VTE, n = 7002 Patients with VTE, n = 7002 Patients with tye, n = 54  Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated) Apixaban Warfarin Dabigatran Warfarin Rivaroxaban Warfarin									
individuals annually, allowing for comprehensive longitudinal analysis)  Time period  January 2012 to December 2014  NOAC  Apixaban 5 mg twice daily Dabigatran 150 mg twice daily Rivaroxaban 20 mg once daily  Control  Warfarin  Outcomes  Safety Major bleeding  Major bleeding was defined as bleeding requiring hospitalization during the period of dru use or within 30 days after the last day of supply of the treatment prescription The definition of major bleeding was based on a published administrative claims-base algorithm as well as clinical trial definitions of major bleeding. This definition accounts for major bleeding at key sites including, but not limited to, intracranial, gastrointestinal, live splenic, and ocular hemorrhage requiring hospitalization during the period of dru use or within 30 days after the last day of supply of the treatment prescription The definition of major bleeding was based on a published administrative claims-base algorithm as well as clinical trial definitions of major bleeding. This definition accounts for major bleeding at key sites including, but not limited to, intracranial, gastrointestinal, live splenic, and ocular hemorrhage requiring hospitalization with a diagnosis for bleeding splenic, and ocular hemorrhage requiring hospitalization with a diagnosis for bleeding.  Population (eligibility)  AF patients (ICD-9-CM codes: 427.31 or 427.32) ≥ 18 years who newly initiated OAC (warfarin, dabigatran, rivaroxaban, and apixaban) during the study period were included. The first OAC pharmacy claim date was designated as the index date. Patients with oac hard date was designated as the index date. Patients with medical and pharmacy benefits for at least 12 months before the index date (baseline period) were included in the study. Patients with a prescription claim for warfarin, rivaroxaban, dabigatran, or apixaban prior to the index date were excluded. Patients with without NF patients, N = 45 361  Warfarin, n = 15 461 (34.1%) Apixaban, n = 74381 (16.4%) Rivaroxaban, n = 17 801 (19.2	Data source								
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Dabigatran 150 mg twice daily Rivaroxaban 20 mg once daily  Warfarin  Outcomes  Safety Major bleeding  Outcome definitions  Major bleeding was defined as bleeding requiring hospitalization during the period of dru use or within 30 days after the last day of supply of the treatment prescription The definition of major bleeding was based on a published administrative claims-base algorithm as well as clinical trial definitions of major bleeding. This definition accounts for major bleeding at key sites including, but not limited to, intracranial, gastrointestinal, live splenic, and ocular hemorrhage requiring hospitalization with a diagnosis for bleeding AF patients (ICD-9-CM codes: 427.31 or 427.32) ≥ 18 years ho newly initiated OAC (warfarin, dabigatran, rivaroxaban, and apixaban) during the study period were included. Th first OAC pharmacy claim date was designated as the index date. Patients with continuou health plan enrollment with medical and pharmacy benefits for at least 12 months befor the index date (baseline period) were included in the study. Patients with a prescriptio claim for warfarin, rivaroxaban, dabigatran, or apixaban prior to the index date were excluded. Patients with evidence of transient AF (thyrotoxicosis, pericarditis), cardia surgery, venous thromboembolism (VTE), valvular heart disease, or pregnancy were excluded  Population  Study population Newly anticoagulated NVAF patients, N = 45 361 Warfarin, n = 15 461 (34.1%) Apixaban, n = 17 801 (39.2%) Dabigatran, n = 4661 (10.3%) Target population N = 101 138 Excluded:  Patients with valuular heart disease, n = 22 255 Patients with VTE, n = 7002 Patients with VTE, n = 7002 Patients with Valvular heart disease, n = 22 255 Pregnant patients, n = 54 Pregnant patients, n = 54 Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated) Apixaban Warfarin Dabigatran Warfarin Rivaroxaban Warfarin	•								
Rivaroxaban 20 mg once daily	NOAC	-	_	•					
Control       Warfarin         Outcomes       Safety Major bleeding         Major bleeding was defined as bleeding requiring hospitalization during the period of dru use or within 30 days after the last day of supply of the treatment prescription The definition of major bleeding was based on a published administrative claims-base algorithm as well as clinical trial definitions of major bleeding. This definition accounts for major bleeding at key sites including, but not limited to, intracranial, gastrointestinal, live splenic, and ocular hemorrhage requiring hospitalization with a diagnosis for bleeding         Population (eligibility)       AF patients (ICD-9-CM codes: 427.31 or 427.32) ≥ 18 years who newly initiated OAC (warfarin, dabigatran, rivaroxaban, and apixaban) during the study period were included. Th first OAC pharmacy claim date was designated as the index date. Patients with continuou health plan enrollment with medical and pharmacy benefits for at least 12 months before the index date (baseline period) were included in the study. Patients with a prescription claim for warfarin, rivaroxaban, dabigatran, or apixaban prior to the index date were excluded. Patients with evidence of transient AF (thyrotoxicosis, pericarditis), cardia surgery, venous thromboembolism (VTE), valvular heart disease, or pregnancy were excluded         Population (study sample)       Study population Newly anticoagulated NVAF patients, N = 45 361       Warfarin, n = 15 461 (34.1%)         Rivaroxaban, n = 77438 (16.4%)       Rivaroxaban, n = 17801 (39.2%)       Dabigatran, n = 4661 (10.3%)         Target population N = 101 138       Excluded:									
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<ul> <li>Patients without AF or atrial flutter diagnosis at baseline, n = 14214</li> <li>Restricted to age ≥ 18, n = 13</li> <li>Transient AF, n = 9962</li> <li>Patients with heart surgery, n = 2259</li> <li>Patients with VTE, n = 7002</li> <li>Patients with valvular heart disease, n = 22255</li> <li>Pregnant patients, n = 54</li> <li>Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated)</li> <li>Apixaban Warfarin Dabigatran Warfarin Rivaroxaban Warfarin</li> </ul>		N = 101 138							
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<ul> <li>Transient AF, n = 9962</li> <li>Patients with heart surgery, n = 2259</li> <li>Patients with VTE, n = 7002</li> <li>Patients with valvular heart disease, n = 22 255</li> <li>Pregnant patients, n = 54</li> <li>Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated)</li> <li>Apixaban Warfarin Dabigatran Warfarin Rivaroxaban Warfarin</li> </ul>		<ul> <li>Patients</li> </ul>	without AF	or atrial flutter	diagnosis at b	aseline, n = 142	14		
<ul> <li>Patients with heart surgery, n = 2259</li> <li>Patients with VTE, n = 7002</li> <li>Patients with valvular heart disease, n = 22 255</li> <li>Pregnant patients, n = 54</li> <li>Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated)</li> <li>Apixaban Warfarin Dabigatran Warfarin Rivaroxaban Warfarin</li> </ul>		Restricte	ed to age ≥ 1	8, n = 13					
<ul> <li>Patients with VTE, n = 7002</li> <li>Patients with valvular heart disease, n = 22 255</li> <li>Pregnant patients, n = 54</li> <li>Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated)</li> <li>Apixaban Warfarin Dabigatran Warfarin Rivaroxaban Warfarin</li> </ul>		<ul> <li>Transier</li> </ul>	nt AF, n = 996	52					
<ul> <li>Patients with valvular heart disease, n = 22 255</li> <li>Pregnant patients, n = 54</li> <li>Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated)</li> <li>Apixaban Warfarin Dabigatran Warfarin Rivaroxaban Warfarin</li> </ul>		<ul> <li>Patients</li> </ul>	with heart s	urgery, n = 225	9				
● Pregnant patients, n = 54  Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated)  Apixaban Warfarin Dabigatran Warfarin Rivaroxaban Warfarin		<ul> <li>Patients</li> </ul>	with VTE, n	= 7002					
Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated)  Apixaban Warfarin Dabigatran Warfarin Rivaroxaban Warfarin		<ul> <li>Patients</li> </ul>	with valvula	r heart disease,	n = 22 255				
Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated)  Apixaban Warfarin Dabigatran Warfarin Rivaroxaban Warfarin				-					
Apixaban Warfarin Dabigatran Warfarin Rivaroxaban Warfarin	Population (baseline part				as percentage	es unless otherw	ise stated)		
	<u> </u>								
	Women				36.1	39.1	38.9		

	Apixaban	vvartarın	Dabigatran	wartarin	Rivaroxaban	wartarin
Women	39.0	38.4	35.8	36.1	39.1	38.9
Age, mean (SD)	69.1 (12.3)	69.0 (12.3)	66.9 (12.2)	67.5 (12.3)	69.7 (11.9)	70.1 (12.0)
>65 years	-	-	-	-	-	-
>75 years	-	-	-	-	-	-

>85 years	-	-	-	-	-	-
CHA2DS2VASc, mean	2.9 (1.7)	2.8 (1.6)	2.6 (1.7)	2.6 (1.7)	2.9 (1.7)	3.0 (1.6)
(SD)						
Modified HAS-BLED,	2.2 (1.3)	2.2 (1.2)	2.0 (1.2)	2.0 (1.2)	2.2 (1.2)	2.2 (1.2)
mean (SD)	100	_	100		100	
Standard dose Reduced dose		-	100	-	100	-
	-	-	-	-	-	-
Comorbidities						
Ischemic stroke, or systemic embolism, or TIA	-	-	-	-	-	-
Transient ischemic attack	5.4	5.4	4.5	3.8	5.11	5.25
Ischemic stroke	8.4	7.8	7.0	6.6	8.9	9.3
Congestive heart failure	20.1	19.7	19.1	18.9	22.1	22.0
Myocardial infarction	6.5	6.7	5.6	5.9	7.4	7.3
Vascular disease (see below)	-	-	-	-	-	-
Coronary artery disease	32.6	31.6	28.0	26.8	32.0	32.1
Renal disease	9.0	9.4	7.4	7.7	10.2	10.6
Previous bleeding	14.1	13.8	11.9	11.6	15.7	16.0
Hypertension	74.3	73.8	69.8	69.7	72.1	72.3
Diabetes	28.8	28.5	27.6	26.4	30.2	29.9
Cancer	-	-	-	-	-	-
Concomitant medication						
Aspirin	-	-	-	-	-	-
Beta-blocker	-	-	-	-	-	-
NSAID	-	-	-	-	-	-
Calcium channel blocker	-	-	-	-	-	-
Renin angiotensin	_	-	-	-	-	-

# Measure of the risk of an end point

The incidence rate of major bleeding was calculated as the number of first major bleeding events divided by the total time at risk for major bleeding within the study period and described as the number of bleeding events per 100 person-years

### Comparison of the risk of an end point between groups

Propensity score matching pairwise comparisons were conducted between each cohort, matching NOACs to warfarin and also matching among NOACs. Propensity scores were estimated by unconditional logistic regression that incorporated potential predictors of treatment as independent variables in the regression, and group status (eg, apixaban initiators vs warfarin initiators) as the outcome

The cumulative incidence of major bleeding was compared and presented using Kaplan-Meier curves. Cox proportional hazard models for the propensity score-matched cohorts were used to estimate the relative risk of major bleeding with 95% confidence intervals

# Confounding

Propensity score matching was used to balance age, sex, region, baseline comorbidities, and comedications

#### Sensitivity analysis

Sensitivity analysis was conducted to test the robustness of the study results. Because a dose-based interaction effect may be observed with major bleeding, the treatment effect associated with risk of major bleeding was assessed among patients prescribed the standard dose for all OACs (warfarin, apixaban 5 mg twice daily, rivaroxaban 20 mg once daily, or dabigatran 150 mg twice daily)

Software for statistical analysis
SAS 9.3
Statistical significance reference
P < .05 was considered statistically significant

NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; NVAF, nonvalvular atrial fibrillation; SD, standard deviation; TIA, transient ischemic attack; VTE, venous thromboembolism.

Study ID	Nielsen et al. <sup>53</sup>
Reference	Nielsen PB, Skjøth F, Søgaard M, Kjældgaard JN, Lip GY, Larsen TB. Effectiveness and safety of
	reduced dose non-vitamin K antagonist oral anticoagulants and warfarin in patients with
	atrial fibrillation: propensity weighted nationwide cohort study. BMJ. 2017;356:j510.
	doi:10.1136/bmj.j510
Objective	To examine the clinical effectiveness and safety of apixaban 2.5 mg, dabigatran 110 mg, and
•	rivaroxaban 15 mg vs warfarin among patients with atrial fibrillation who had not previously
	taken an oral anticoagulant
Country	Denmark
Design	Nationwide cohort study
Data source	Three Danish nationwide administrative databases:
2444 504.40	The Danish National Prescription Registry (with information on purchase date,
	Anatomical Therapeutic Chemical classification code, and package size for every
	prescription claim since 1994)
	The Danish Civil Registration System (with information on sex, date of birth, and vital)
	and emigration status)
	International Classification of Diseases diagnosis codes for hospital admissions since
Time medical	1977)
Time period	August 2011 to February 2016
NOAC	Dabigatran 110 mg twice daily
	Rivaroxaban 15 mg once daily
	Apixaban 2.5 mg twice daily
Control	Warfarin
Outcomes	Effectiveness
	Combined ischemic stroke/systemic embolism
	Ischemic stroke
	All-cause mortality
	Safety
	Hemorrhagic stroke
	Major bleeding
	Gastrointestinal bleeding
	Composite of any bleeding events
Outcome definitions	End points were ascertained according to the International Classification of Disease, 10th
	revision (ICD-10)
	Major bleeding was defined as bleeding with anemia, hemothorax, hematuria, epistaxis, and
	bleeding in the eye
Population (eligibility)	Eligible patients were identified as those with a first-time prescription claim for an NOAC,
( 5	defined as apixaban (introduced December 10, 2012), dabigatran (introduced August 1,
	2011), or rivaroxaban (introduced February 1, 2012), as well as individuals who started
	warfarin treatment (since August 1, 2011) up to February 28, 2016. Patients who had taken
	any oral anticoagulant within the previous year were excluded to establish a naïve cohort. All
	NOACs were restricted to reduced doses approved for stroke prevention in atrial fibrillation
	(in Europe) as follows: apixaban 2.5 mg, dabigatran 110 mg, and rivaroxaban 15 mg. To focus
	on nonvalvular atrial fibrillation, patients with previous hospital diagnoses indicating valvular
	atrial fibrillation (mitral stenosis or mechanical heart valves) were excluded. All patients with
	an indication for oral anticoagulant treatment other than atrial fibrillation (history of
	pulmonary embolism, deep venous thrombosis, or recent hip/knee surgery) were excluded
Population	Study population
(study sample)	N = 55 644
(Journ Sample)	69.9% warfarin
	7.9% apixaban
	15.9% dabigatran
	6.3% rivaroxaban
	Target population
	N = 88141
	Excluded:
	Oral anticoagulant treatment other than atrial fibrillation, n = 31 852

Population (baseline participant chara	cteristics) (values	expressed as per	rcentages unless	otherwise stat	:ed)
	Apixaban	Dabigatran	Rivaroxaban	Warfarin	All
	2.5	110 mg	15 mg once/	(n = 38 893)	
	mg	twice/	day (n =		
	twice/day	day (n =	3476)		
	(n = 4400)	8875)			
Women	60.6	53.7	53.2	40.4	44.9
Age, mean (SD)	83.9	79.9	77.9	71.0	73.9
≥65 years	97.2	93.6	85.7	74.6	80.1
≥75 years	88.1	78.1	66.8	41.3	52.5
≥85 years	48.3	28.4)	35.2	11.1	18.3
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD)	4.3 (1.5)	3.8 (1.5)	3.6 (1.8)	3.0 (1.7)	3.3 (1.7)
HAS-BLED, mean (SD)	2.8 (1.1)	2.7 (1.0)	2.5 (1.2)	2.4 (1.2)	2.4 (1.2)
Standard dose	-	-	-	-	-
Reduced dose	100	100	100	<del>-</del>	100
Comorbidities					
Previous ischemic stroke	22.9	16.0	15.2	11.0	13.0
Ischemic heart disease	29.9	26.3	26.7	26.8	27.0
Heart failure/LVD	20.3	15.5	18.9	15.5	16.1
Myocardial infarction	-	-	-	-	-
Vascular disease	22.0	17.7	18.2	19.0	19.0
Renal dysfunction	9.5	3.9	9.1	8.3	7.8
Previous bleeding	17.3	14.3	15.0	11.4	12.5
Hypertension	63.5	64.0	58.1	60.3	61.0
Diabetes	17.3	14.9	16.5	16.3	16.1
Cancer	22.2	18.3	20.0	16.7	17.6
Concomitant medication					
Aspirin	48.2	50.3	44.4	46.8	47.3
Beta-blocker	60.0	62.1	50.5	63.0	61.9
NSAID	18.5	24.5	21.8	24.4	23.7
Calcium channel blocker	33.8	35.6	30.5	33.1	33.4
Renin angiotensin system inhibitor	-	-	-	-	-

### Measure of the risk of an end point

Cumulative incidence rates (calculated as number of events divided by person-time)

### Comparison of the risk of an end point between groups

Person-years of follow-up were calculated from the date of first prescription claim to the occurrence of the first end point (death, emigration, or end of follow-up), whichever came first

Cox regression (warfarin as the primary reference)

Failure curves were used to depict how risks of events evolved over time. Specifically, the Aalen-Johansen estimator was used to calculate absolute risk of events taking into account the competing risk of death and the Kaplan-Meier estimator for all-cause mortality

### Confounding

Applied an inverse probability of treatment weighted approach

#### Sensitivity analysis

Ordinary crude and Cox multivariate adjusted analysis to compare the results obtained from the weighted analyses

Standardized morbidity ratio weights to address the (hypothetical) casual situation of all patients receiving warfarin treatment rather than an NOAC

### **Supplementary analyses**

Supplemented the main analysis by a sensitivity analysis stratified by age category—for instance, age ≥ 80 years

Sensitivity analysis restricted to patients with a hospital diagnosis of atrial fibrillation to increase the likelihood of the treatment indication

Repeated the main analysis confined to the time period where all 3 NOACs were available in Denmark—that is, from 12 December 2012, when apixaban (the latest market drug) became available in Denmark

	Software for statistical analysis
	Stata version 14 (StataCorp) and R version 3.1.1 (R Foundation for Statistical Computing)
	Statistical significance reference
	A 2-sided P<.05 was considered significant
LVD. left ventricular dysfunction:	NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; SD, standard

LVD, left ventricular dysfunction; NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; SD, standard deviation.

Study ID	Nishtala et al. <sup>80</sup>							
Reference	Nishtala PS, Gnjidic D, Jamieson HA, Hanger HC, Kaluarachchi C, Hilmer SN. 'Real-world'							
	haemorrhagic rates for warfarin and dabigatran using population-level data in New Zealand.							
	Int J Cardiol. 2016;203:746-752. doi:10.1016/j.ijcard.2015.11.067							
Objective	To examine the risk of he with atrial fibrillation (AF)							
	and to compare the risk							
	controlling for comorbiditi	_	vitii varying aos	cs or dabigati	an with warrann			
Country	New Zealand	<u> </u>						
Design	Nationwide cohort study							
Data source	The National Minimum D	Dataset which is	a collection of	all nublic and	d nrivate hosnita			
Data source	discharge information, inc	luding data on in	patients and day	patient stays	. These data wer			
Time period	July 2011 to December 20 2012							
NOAC	Dabigatran 300 mg or 220	mg or 150 mg dai	lv					
Control	Warfarin	5 -: -50 mg au	1					
Outcomes	Effectiveness							
	None							
	Safety							
	Bleeding							
	Mortality							
Outcome definitions	Any admission to hospital	for hemorrhage w	hile taking dabig	atran or warfa	ırin			
Population (eligibility)								
. opananon (ang.ama))	Individuals prescribed dabigatran or warfarin during the study period Excluded:							
	Those prescribed warfarin during 18 months prior to the study and those who switched							
	between the 2 drugs							
	between the 2 drugs							
	_							
	Age < 65 years	bed dabigatran 1	50 mg daily (low	v dose) were e	excluded from th			
	Age < 65 years Additionally, those prescri	bed dabigatran 1	50 mg daily (low	v dose) were e	excluded from th			
Population	Age < 65 years Additionally, those prescri second cohort	bed dabigatran 1	50 mg daily (low	v dose) were e	excluded from th			
Population (study sample)	Age < 65 years Additionally, those prescri	bed dabigatran 1	50 mg daily (low	v dose) were e	excluded from th			
Population (study sample)	Age < 65 years Additionally, those prescrisecond cohort Study population		50 mg daily (low	v dose) were e	excluded from th			
=	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12842	)	50 mg daily (low	v dose) were e	excluded from th			
=	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12842 Warfarin, n = 7079 (51.6%)	)	50 mg daily (low	v dose) were e	excluded from th			
=	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1	) %)						
(study sample)	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded	) %) ges, of whom 10	741 met the ab	ove exclusion	criteria and wer			
(study sample)	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a	) %) ges, of whom 10	741 met the ab	ove exclusion	criteria and wer			
(study sample)	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded	) %) ges, of whom 10	741 met the ab	ove exclusion	criteria and wer			
(study sample)	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1) Target population 23 583 new users of all a excluded cicipant characteristics) (valued)	) %) ges, of whom 10 es expressed as po	741 met the ab	ove exclusion s otherwise sta	criteria and wer			
(study sample)	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1) Target population 23 583 new users of all a excluded cicipant characteristics) (valued)	ges, of whom 10 es expressed as pe Dabigatran 46.9	741 met the ab	ove exclusion s otherwise sta Warfarin 48.0	criteria and wer ated) <b>All</b>			
Population (baseline part  Women Age, mean (SD)	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded cicipant characteristics) (valu	) %) ges, of whom 10 es expressed as po Dabigatran	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin	criteria and wer ated) All participants			
Population (baseline part  Women Age, mean (SD) >65 years	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded cicipant characteristics) (valu	ges, of whom 10 es expressed as pe Dabigatran 46.9	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin 48.0	criteria and wer ated) All participants			
Population (baseline part  Women Age, mean (SD) >65 years >75 years	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded cicipant characteristics) (valu	ges, of whom 10 es expressed as pe Dabigatran 46.9	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin 48.0	criteria and wer ated) All participants			
Population (baseline part  Women Age, mean (SD) >65 years	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded cicipant characteristics) (valu	ges, of whom 10 es expressed as pe Dabigatran 46.9	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin 48.0	criteria and wer ated) All participants			
Population (baseline part  Women Age, mean (SD) >65 years >75 years	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded cicipant characteristics) (valualy Apixaban	ges, of whom 10 es expressed as pe Dabigatran 46.9	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin 48.0	criteria and wer ated) All participants			
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Women Age, mean (SD) >65 years >75 years >85 years CHA2DS2VASc, mean (SD)	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded cicipant characteristics) (valu Apixaban	ges, of whom 10 es expressed as pe Dabigatran 46.9	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin 48.0	criteria and wer ated) All participants			
Women Age, mean (SD) >65 years >75 years >85 years CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD) HAS-BLED, mean (SD) Standard dose (for dabig doses, ie, 300 mg, 210 m	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded icipant characteristics) (valualy Apixaban	ges, of whom 10 es expressed as po Dabigatran  46.9 77.3 (6.4)	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin 48.0	criteria and wer ated) All participants			
Women Age, mean (SD) >65 years >75 years >85 years CHA2DS2VASc, mean (SD) HAS-BLED, mean (SD) Standard dose (for dabig	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded icipant characteristics) (valualy Apixaban	ges, of whom 10 es expressed as po Dabigatran  46.9 77.3 (6.4)	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin 48.0	criteria and wer ated) All participants			
Women Age, mean (SD) >65 years >75 years >85 years CHA2DS2VASc, mean (SD) Standard dose (for dabig doses, ie, 300 mg, 210 m daily were considered st depending on age)	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded icipant characteristics) (valualy Apixaban	ges, of whom 10 es expressed as po Dabigatran  46.9 77.3 (6.4)	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin 48.0	criteria and wer ated) All participants			
Women  Age, mean (SD) >65 years >75 years >85 years CHA2DS2VASc, mean (SD) Standard dose (for dabig doses, ie, 300 mg, 210 m daily were considered st depending on age) Reduced dose	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded icipant characteristics) (valualy Apixaban	ges, of whom 10 es expressed as po Dabigatran  46.9 77.3 (6.4)	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin 48.0	criteria and wer ated) All participants			
Women  Age, mean (SD) >65 years >75 years >85 years CHA2DS2VASc, mean (SD) Standard dose (for dabig doses, ie, 300 mg, 210 m daily were considered st depending on age) Reduced dose Comorbidities	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded cicipant characteristics) (valu Apixaban	ges, of whom 10 es expressed as po Dabigatran  46.9 77.3 (6.4)	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin 48.0	criteria and wer ated) All participants			
Women  Age, mean (SD) >65 years >75 years >85 years CHA2DS2VASc, mean (SD) Standard dose (for dabig doses, ie, 300 mg, 210 m daily were considered st depending on age) Reduced dose	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded cicipant characteristics) (valu Apixaban	ges, of whom 10 es expressed as po Dabigatran  46.9 77.3 (6.4)	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin 48.0	criteria and wer ated) All participants			
Women  Age, mean (SD) >65 years >75 years >85 years  CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD)  Standard dose (for dabig doses, ie, 300 mg, 210 m daily were considered st depending on age)  Reduced dose  Comorbidities Ischemic stroke, or system or TIA	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded cicipant characteristics) (valu Apixaban	9%) ges, of whom 10 es expressed as po Dabigatran  46.9 77.3 (6.4) 100	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin  48.0 77.4 (6.6) 19.4	criteria and wer  ated)  All participants  47.3  19.1			
Women Age, mean (SD) >65 years >75 years >85 years CHA2DS2VASc, mean (SD) Standard dose (for dabig doses, ie, 300 mg, 210 m daily were considered st depending on age) Reduced dose Comorbidities Ischemic stroke, or system	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded cicipant characteristics) (valu Apixaban	ges, of whom 10 es expressed as po Dabigatran  46.9 77.3 (6.4) 100  18.8 22.4	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin  48.0 77.4 (6.6) 19.4 21.9	criteria and wer  ated) All participants 47.3 19.1 22.2			
Women  Age, mean (SD) >65 years >75 years >85 years  CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SD)  Standard dose (for dabig doses, ie, 300 mg, 210 m daily were considered st depending on age)  Reduced dose  Comorbidities Ischemic stroke, or system or TIA	Age < 65 years Additionally, those prescrisecond cohort  Study population N = 12 842 Warfarin, n = 7079 (51.6%) Dabigatran, n = 5763 (42.1 Target population 23 583 new users of all a excluded cicipant characteristics) (valu Apixaban	ges, of whom 10 es expressed as po Dabigatran  46.9 77.3 (6.4) 100	741 met the ab ercentages unles Rivaroxaban	ove exclusion s otherwise sta Warfarin  48.0 77.4 (6.6) 19.4	criteria and wer  ated)  All participants  47.3  19.1			

Renal dysfunction	-	7.6	-	7.2	7.4	
Previous bleeding	-	-	-	-	-	
Hypertension	-	-	-	-	-	
Diabetes	-	15.6	-	15.9	15.7	
Cancer	-	3.6	-	3.5	3.5	
Concomitant medication						
Aspirin	-	71.5	-	70.4	70.9	
Beta-blocker	-	-	-	-	-	
NSAID	-	-	-	-	-	
Calcium channel blocker	-	2.7	-	2.1	2.4	
Renin angiotensin system inhibitor	-	-	-	-	-	

#### Measure of the risk of an end point

Bleeding rates per person-year

### Comparison of the risk of an end point between groups

Two propensity score-matched cohort were created: the first was based on drug type (ie, dabigatran vs warfarin, binary matching), and the second was based on drug type and the 2 dosages of dabigatran (ie, 300 mg and 220 mg daily, nonbinary matching), creating 2 groups of dabigatran users and 1 group of warfarin users

Cox proportional hazards models were used to compare adjusted hazard ratios of bleeding in the 2 matched cohorts

#### Confounding

The 2 cohorts were matched by propensity score, derived from age, sex, ethnicity, chronic disease score, impaired renal function, other comorbidities, and medication use

### Sensitivity analysis

Analyses according to different persistence levels (prescription gaps of 30 days vs 60 days)

#### Supplementary analyses

Subgroup analysis of mortality in the first cohort (ie, dabigatran vs warfarin)

### Software for statistical analysis

SPSS (IBM SPSS Statistics) version 22 and R statistics software version 3.1.2

### Statistical significance reference

Not stated

NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Noseworthy et	al. <sup>81</sup>				
Reference	Noseworthy PA	A, Yao X, Abra	ham NS, Sang	aralingham LR,	McBane RD,	Shah ND. Direct
	-		_	_		s and safety in
	nonvalvular atr	ial fibrillation. <i>C</i>	Chest. 2016;150	:1302-1312. doi	:10.1016/j.ches	st.2016.07.013
Objective	To compare the	e effectiveness	and safety of d	abigatran, rivar	oxaban, and ap	oixaban in clinical
	practice					
Country	United States					
Design	Retrospective a	nalysis using ac	dministrative cla	aims data		
Data source	The American	administrative	claims databas	se Optum Labs	Data Warehou	use (OLDW). The
			•	•		antage enrollees
		0 years through	nout the US, wi	ith greatest rep	resentation fro	m the South and
	Midwest					
Time period	October 2010 to	o February 201	5			
NOAC	Dabigatran					
	Rivaroxaban					
	Apixaban					
Control	Dabigatran					
(pairwise comparisons)	Rivaroxaban Apixaban					
Outcomes	Effectiveness					
Outcomes		admission for	r stroke or sv	stamic amholis	m including	ischemic stroke,
	hemorrhagic st		-	sternic embons	in, including	ischemic stroke,
	Safety	oke, and system				
	•	admission for	maior bleedi	ng. which inclu	uded gastroint	estinal bleeding,
	intracranial ble		-	_	garananna	
		_	_		hagic stroke,	and intracranial
	bleeding			•	,	
Outcome definitions	In the Suppleme	entary Material	l, not available			
Population (eligibility)	All adult users	(≥ 18 years) of	dabigatran, ri	varoxaban, and	apixaban for r	nonvalvular atrial
	fibrillation					
	At least a 12-m	onth continuou	ıs enrollment ir	both medical a	ind pharmaceu	tical health plans
	prior to the ind					
					l Classification	of Diseases, 9th
	Revision, Clinica		diagnosis 427.3	31) at baseline		
	Exclusion criter			<b>6</b> 1		
			nosis of atrial	flutter but no o	diagnosis of at	rial fibrillation at
	baseline were e		ar alta a a a a alta lo	-1 1:1-1		
Damulation				sis, or kidney tra		
Population (study sample)	Study population The rivaroxabar	•		•	veen the conor	ts
(study sample)	The apixaban a	_				
	The apixaban a	_				
	Target populati		2011011, 11 12	100		
	Not explicitly defined					
Population (baseline parti			xpressed as per	centages unless	otherwise stat	:ed)
	Rivaroxaban	Dabigatran	Apixaban	Dabigatran	Apixaban	Rivaroxaban
	(N = 15 787)	(N = 15 787)	(N = 6542)	(N = 6542)	(N = 6565)	(N = 6565)
Women	40.3	41.1	45.9	46.1	46.0	
Age, median (IQR)	70 (62-78)	71 (62-78)	73 (65-81)	73 (65-81)	73 (65-81)	73 (65-81)
>65 years	66.4	68.1	75.9	75.5	76	75.2
>75 years	35.2	37.0	45.5	45.4	47.5	45.5
>85 years						. (2.5)
CHA <sub>2</sub> DS <sub>2</sub> VASc, median	4 (2-5)	4 (2-5)	4 (3-5)	4 (3-5)	4 (3-5)	4 (3-5)
(IQR)	14 5	14.0	0.2	0.4	0.1	0.7
0-1 2-3	14.5 33.5	14.0 32.8	9.2 30.0	9.4 30.7	9.1 29.9	9.7 30.1
2-3 ≥4	53.5 52.1	53.2	60.9	30.7 59.9	29.9 61.0	60.2
HAS-BLED, median (IQR)	2 (1-3)	2 (1-3)	2 (2-3)	2 (2-3)	2 (2-3)	2 (2-3)
I IIAS DELD, IIICUIAII (IQN)	ر د -ع)	2 (± 3)	د رد-ع)	د رد-ع)	رد-ع)	~ \~ · J)

≥ 3	38.3	39.5	44.7	43.9	44.9	43.7	
Standard dose	76.9	90.1	81.9	87.0	81.7	71.3	
Reduced dose	23.1	9.9	18.1	13.0	18.3	28.7	
Comorbidities							
Ischemic stroke, or	14.2	14.0	15.4	15.7	15.4	15.6	
systemic embolism, or							
TIA							
Heart failure	27.2	27.5	31.3	31.0	31.4	31.7	
Myocardial infarction							
Vascular disease	46.8	46.6	50.0	48.8	50.0	48.8	
Renal dysfunction	13.3	13.7	18.8	18.3	19.1	19.0	
Previous bleeding	30.2	30.8	31.4	30.2	31.5	31.0	
Hypertension	84.3	84.4	86.5	85.8	86.5	86.3	
Diabetes	34.4	34.1	35.4	35.2	35.5	35.0	
Cancer		Ē				•	
<b>Concomitant medication</b>							
Antiplatelet or NSAID	10.8	11.1	12.2	11.9	12.3	11.7	
Beta-blocker		Ē				•	
Calcium channel blocker		Ē				•	
Renin angiotensin		Ē				•	
system inhibitor							
Warfarin-experienced	39.3	37.7	29.6	29.0	18.3	28.7	

#### Measure of the risk of an end point

Event rate per 100 person-years

### Comparison of the risk of an end point between groups

Cox proportional hazards regression was used to compare outcomes in each of the propensity score-matched cohorts, with robust sandwich estimates to account for the clustering within matched sets

#### Confounding

Three matched cohorts (rivaroxaban vs dabigatran, apixaban vs rivaroxaban, and apixaban vs dabigatran) were created using 1-to-1 propensity score matching without replacement and with a caliper of 0.01. Patients were matched on baseline sociodemographic characteristics, comorbidities, and prior warfarin use. Baseline characteristics were presented descriptively and the standardized difference was used to assess the balance of covariates after matching. A standardized difference less than 10% was considered acceptable. Because all baseline characteristics were balanced after propensity score matching, the Cox proportion hazards regression only included treatment as an independent variable

# Sensitivity analysis

There were 4 sensitivity analyses:

First, effectiveness outcomes were compared including all events that occurred between the index date and the end of the enrollment or study period (an analog of "intention-to-treat" analysis in clinical trials). This analysis was performed to assess the potential for the primary findings using an on-treatment analytic approach to be affected by differential censoring between treatment groups

Second, to investigate whether dosing affects the comparative effectiveness or safety, additional analyses adjusting for whether a patient received a reduced dose were conducted in the Cox proportional hazards model

Third, the study population was limited to patients initiating NOACs from January 1, 2013 to February 28, 2015 to minimize the impact of unmeasured secular trends that may have contributed to the differential effect observed with dabigatran (first to market) and apixaban (last to market)

Fourth, an additional analysis was performed to censor patients at 6 months to minimize the impact of the variable follow-up time with each drug

## Supplementary analyses

Subgroup analyses stratified by  $CHA_2DS_2$ -VASc score (0 or 1, 2 or 3, and  $\geq$  4), as well as HAS-BLED score (0-2 and  $\geq$  3)

### Software for statistical analysis

SAS 9.3 (SAS Institute Inc, Cary, North Carolina) and Stata 13.1 (Stata Corp, College Station,

	Texas)		
	Statistical significance reference		
	Not stated		
AF, atrial fibrillation; IQR, interquartile range; NSAIDs, nonsteroidal anti-inflammatory drugs; TIA, transient ischemic attack.			

Study ID	Seeger et al. <sup>82</sup>		
Reference	Seeger JD, Bykov K, Bartels DB, Huyl	hrechts K. Zint K. Schnee	weiss S Safety and effectiveness
Reference	of dabigatran and warfarin in ro Haemost. 2015;114:1277-1289. doi:	utine care of patients	
Objective	To assess the comparative effective		tran vs warfarin among natients
	with nonvalvular atrial fibrillation in		teran vs warrarm among patients
Country	United States	Toutine care	
Design	Retrospective cohort study		
Data source	Two commercial health insurance	o databases (MarketSe	an [Truyon] and Clinformatics
Data Source	[Optum]) that are nationwide in a Medicare supplement coverage	•	
Time period	October 2010 to December 2012		
NOAC	Dabigatran 150 mg twice daily		
Control	Warfarin		
Outcomes	Effectiveness		
	<ul> <li>Stroke or systemic embolism</li> <li>Ischemic stroke</li> <li>Hemorrhagic stroke</li> <li>Stroke of uncertain cause</li> <li>Transient ischemic attack (TIA)</li> <li>Myocardial infarction</li> <li>Venous thromboembolism</li> <li>Deep vein thrombosis</li> <li>Pulmonary embolism</li> <li>Safety</li> <li>Major intracranial bleeding</li> <li>Major extracranial bleeding</li> <li>Major gastrointestinal (GI) bleed</li> <li>Major lower GI bleeding</li> <li>Major urogenital bleeding</li> </ul>	ding	
	Major other bleeding		
Outcome definitions	Secondary International Classificat	ion of Diseases. Ninth	Revision (ICD-9). The primary
	outcomes have demonstrated high		
Population (eligibility)	Patient had no receipt of any oral ar	•	
r operation (engionity)	Adults ≥ 18 years with recorded sex of atrial fibrillation and no suggest VASC score of 1 or more was also re Patients with a nursing home stay at	were eligible for inclusion ion of valvular disease in quired	on provided they had a diagnosis in their prior history. A CHA <sub>2</sub> S <sub>2</sub> -
Population	Study population		
(study sample)	Dabigatran, n = 23 543		
	Warfarin, n = 50 288		
	Target population		
	N = 385 861		
Population (baseline part	icipant characteristics) (values express	sed as percentages unles	s otherwise stated)
_	· ·	Dabigatran	Warfarin
Women		36.3	39.3
Age, mean (SD)		12.3	12.2
>65-74 years		22.0	22.2
>75 years		29.3	40.8
1			
>85 years	N1	- 2.07/4.6\	2.44 (1.6)
CHA <sub>2</sub> DS <sub>2</sub> VASc, mean (SI	יי	2.87 (1.6)	3.44 (1.6)
HAS-BLED, mean (SD)		2.14 (1.0)	2.39 (1.1)
Standard dose		100	
Reduced dose		-	
Comorbidities			

Ischemic stroke, or systemic embolism, or TIA	-	-	
Prior stroke	7.9	10	
Previous TIA	3.9	4.3	
Heart failure	16.3	22.0	
Myocardial infarction	3.9	4.8	
Peripheral vascular disease	2.6	4.1	
Renal dysfunction	9.0	16.7	
Previous bleeding (see below)	-	-	
Upper GI bleed	0.3	0.6	
Lower/unspecified GI bleed	2.0	3.2	
Hypertension	96.6	95.5	
Diabetes	19.9	23.4	
Cancer	9.6	12.5	
Concomitant medication			
Aspirin	-	-	
Beta-blocker	73.6	71.0	
NSAID	21.5	19.7	
Calcium channel blocker	41.5	41.1	
Renin angiotensin system inhibitor	-	-	

#### Measure of the risk of an end point

Incidence rates

#### Comparison of the risk of an end point between groups

Hazard ratios for the comparison between dabigatran and warfarin were estimated in each data base using a Cox proportional hazards regression model

#### Confounding

Using propensity score matching of dabigatran and warfarin initiators, explicit comparisons were made between contemporaneous initiators of the compared medications in a manner that addressed confounding arising from differences in patient characteristics between the compared medications

### Sensitivity analysis

An intention-to-treat analytic approach was applied that maintained patients in their initial exposure group (dabigatran or warfarin) by carrying this exposure forward for 365 days or until the occurrence of a study outcome, disenrollment from the database, admission to a nursing home, or the end of the study period. This analysis was performed to assess the potential for the primary (as-treated) results to be affected by differential censoring between treatment groups but has its own limitations due to increasing exposure misclassification with longer follow-up

### Supplementary analyses

High-dimensional propensity score (hdPS) analyses were applied, which improve validity in claims-based studies. The hdPS was estimated by logistic regression in a model including 200 empirically identified covariates with the greatest potential to bias the association between dabigatran and the ischemic or hemorrhagic outcomes (separate hdPS models were developed for each of these), in addition to the investigator-specified covariates

### Software for statistical analysis

Not reported

NSAIDs, nonsteroidal anti-inflammatory drugs; SD, standard deviation.

Study ID	Vaughan Sarrazir	n et al. <sup>83</sup>		
Reference	_		hilles E, Cram P. Bleeding rates in	Veterans
	_		ch from warfarin to dabigatran. <i>Ai</i>	
	•	.185. doi:10.1016/j.amjmed.2014		
Objective			stinal, intracranial, and other blee	eding for
		, · · ·	atran after at least 6 months on wa	_
		ntinued on warfarin		
Country	United States			
Design	Nationwide coho	ort study		
Data source		s Affairs administrative encount	er and pharmacy data	
Time period	June 2011 to Sep	tember 2012	· ·	
NOAC	Dabigatran 150 r	ng		
Control	Warfarin			
Outcomes	Effectiveness			
	Death			
	Safety			
	Bleeding events,	including gastrointestinal, intrac	ranial, and other hemorrhage	
Outcome definitions	Outcomes were	defined using International Clas	ssification of Diseases, 9th Revision	n, Clinical
	Modification [IC	D-9-CM] codes validated prev	viously and used in previous st	tudies of
	anticoagulation			
Population (eligibility)			aking warfarin for at least 180 day	ys before
		the most recent fill date within 9	•	
		=	(ICD-9-CM code 427.31) as identifi	
	1		ng the 12 months before June 20	
			ration rate < 30 mL/min/1.73 m <sup>2</sup> d	_
		· ·	Extracts) or with a prosthetic he	
			codes from the prior 12 months)	
	_	s not appropriate for patients v	with severe renal disease or valvu	ılar atrıal
	f: hau: Hau: aua			
Donulation	fibrillation			
Population	Study population		f whom 1204 /1 7%) switched from	warfarin
Population (study sample)	Study population The final sample	included 85 344 total patients, o	f whom 1394 (1.7%) switched from	ı warfarin
(study sample)	Study population The final sample to dabigatran (15	included 85 344 total patients, o 50 mg)		ı warfarin
(study sample)	Study population The final sample to dabigatran (15	included 85 344 total patients, o 50 mg) <b>tics)</b> (values expressed as percen	ntages unless otherwise stated)	ı warfarin
(study sample)	Study population The final sample to dabigatran (15	included 85 344 total patients, o 50 mg) tics) (values expressed as percen Patients who never initiated	ntages unless otherwise stated)  Patients initiating dabigatran	ı warfarin
(study sample)  Population (baseline parti	Study population The final sample to dabigatran (15	included 85 344 total patients, o 50 mg) tics) (values expressed as percen Patients who never initiated dabigatran use	ntages unless otherwise stated) Patients initiating dabigatran use	ı warfarin
(study sample)  Population (baseline parti  Women	Study population The final sample to dabigatran (15	included 85 344 total patients, o 50 mg) tics) (values expressed as percen Patients who never initiated dabigatran use 1.4	Patients initiating dabigatran use	ı warfarin
(study sample)  Population (baseline parti  Women  Age, mean (SD)	Study population The final sample to dabigatran (15	included 85 344 total patients, o 50 mg)  tics) (values expressed as percent Patients who never initiated dabigatran use  1.4  74.4 (10.1)	Patients initiating dabigatran use  1.4 69.7 (9.0)	ı warfarin
(study sample)  Population (baseline parti  Women	Study population The final sample to dabigatran (15	included 85 344 total patients, o 50 mg) tics) (values expressed as percen Patients who never initiated dabigatran use 1.4	Patients initiating dabigatran use	ı warfarin
(study sample)  Population (baseline parti  Women  Age, mean (SD)	Study population The final sample to dabigatran (15	included 85 344 total patients, o 50 mg)  tics) (values expressed as percent Patients who never initiated dabigatran use  1.4  74.4 (10.1)	Patients initiating dabigatran use  1.4 69.7 (9.0)	ı warfarin
(study sample)  Population (baseline parti  Women  Age, mean (SD)  55-64 years	Study population The final sample to dabigatran (15	included 85 344 total patients, o 50 mg) tics) (values expressed as percent Patients who never initiated dabigatran use 1.4 74.4 (10.1) 15.8	Patients initiating dabigatran use  1.4 69.7 (9.0) 26.3	ı warfarin
Women Age, mean (SD) 55-64 years 65-74 years	Study population The final sample to dabigatran (15	included 85 344 total patients, o 50 mg)  tics) (values expressed as percent Patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0	Patients initiating dabigatran use  1.4 69.7 (9.0) 26.3 39.2	ı warfarin
Women Age, mean (SD)  55-64 years 65-74 years 75-84 ≥85 years	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg)  tics) (values expressed as percent Patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0  33.3	Patients initiating dabigatran use  1.4 69.7 (9.0) 26.3 39.2 24.2	ı warfarin
Women Age, mean (SD)  55-64 years 65-74 years 75-84 ≥85 years CHA₂DS₂VASc, mean (SD)	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg)  tics) (values expressed as percent patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0  33.3  18.9	Patients initiating dabigatran use  1.4 69.7 (9.0) 26.3 39.2 24.2 6.5	ı warfarin
Women Age, mean (SD)  55-64 years 65-74 years 75-84 ≥85 years CHA2DS2VASc, mean (SD) CHADS², mean (SD)	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg)  tics) (values expressed as percent patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0  33.3  18.9  -  2.21 (1.12)	ntages unless otherwise stated) Patients initiating dabigatran use 1.4 69.7 (9.0) 26.3 39.2 24.2 6.5 - 2.08 (1.12)	ı warfarin
Women Age, mean (SD)  55-64 years 65-74 years 75-84 ≥85 years  CHA2DS2VASc, mean (SD)  HAS-BLED, mean (SD)	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg)  tics) (values expressed as percent Patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0  33.3  18.9  -  2.21 (1.12)  2.63 (1.18)	ntages unless otherwise stated) Patients initiating dabigatran use  1.4 69.7 (9.0) 26.3 39.2 24.2 6.5 - 2.08 (1.12) 2.67 (1.23)	ı warfarin
Women Age, mean (SD) 55-64 years 65-74 years 75-84 ≥85 years CHA2DS2VASc, mean (SD) CHADS², mean (SD) HAS-BLED, mean (SD) Standard dose	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg)  tics) (values expressed as percent patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0  33.3  18.9  -  2.21 (1.12)	ntages unless otherwise stated) Patients initiating dabigatran use 1.4 69.7 (9.0) 26.3 39.2 24.2 6.5 - 2.08 (1.12)	ı warfarin
Women Age, mean (SD) 55-64 years 65-74 years 75-84 ≥85 years CHA2DS2VASc, mean (SD) HAS-BLED, mean (SD) Standard dose Reduced dose	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg)  tics) (values expressed as percent Patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0  33.3  18.9  -  2.21 (1.12)  2.63 (1.18)	ntages unless otherwise stated) Patients initiating dabigatran use  1.4 69.7 (9.0) 26.3 39.2 24.2 6.5 - 2.08 (1.12) 2.67 (1.23)	ı warfarin
Women Age, mean (SD) 55-64 years 65-74 years 75-84 ≥85 years CHA2DS2VASc, mean (SD) HAS-BLED, mean (SD) Standard dose Reduced dose Comorbidities	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg)  tics) (values expressed as percent Patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0  33.3  18.9  -  2.21 (1.12)  2.63 (1.18)	ntages unless otherwise stated) Patients initiating dabigatran use  1.4 69.7 (9.0) 26.3 39.2 24.2 6.5 - 2.08 (1.12) 2.67 (1.23)	ı warfarin
Women Age, mean (SD) 55-64 years 65-74 years 75-84 ≥85 years CHA2DS2VASc, mean (SD) HAS-BLED, mean (SD) Standard dose Reduced dose Comorbidities Ischemic stroke, or system	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg)  tics) (values expressed as percent Patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0  33.3  18.9  -  2.21 (1.12)  2.63 (1.18)	ntages unless otherwise stated) Patients initiating dabigatran use  1.4 69.7 (9.0) 26.3 39.2 24.2 6.5 - 2.08 (1.12) 2.67 (1.23)	ı warfarin
Women Age, mean (SD) 55-64 years 65-74 years 75-84 ≥85 years CHA2DS2VASc, mean (SD) HAS-BLED, mean (SD) Standard dose Reduced dose Comorbidities Ischemic stroke, or system TIA	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg)  tics) (values expressed as percent Patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0  33.3  18.9  - 2.21 (1.12)  2.63 (1.18)  100  -	ntages unless otherwise stated) Patients initiating dabigatran use  1.4 69.7 (9.0) 26.3 39.2 24.2 6.5 - 2.08 (1.12) 2.67 (1.23) 100 -	ı warfarin
Women Age, mean (SD) 55-64 years 65-74 years 75-84 ≥85 years CHA2DS2VASc, mean (SD) HAS-BLED, mean (SD) Standard dose Reduced dose Comorbidities Ischemic stroke, or system	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg)  tics) (values expressed as percent Patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0  33.3  18.9  -  2.21 (1.12)  2.63 (1.18)	ntages unless otherwise stated) Patients initiating dabigatran use  1.4 69.7 (9.0) 26.3 39.2 24.2 6.5 - 2.08 (1.12) 2.67 (1.23)	n warfarin
Women Age, mean (SD) 55-64 years 65-74 years 75-84 ≥85 years CHA2DS2VASc, mean (SD) HAS-BLED, mean (SD) Standard dose Reduced dose Comorbidities Ischemic stroke, or system TIA	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg)  tics) (values expressed as percent patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0  33.3  18.9  -  2.21 (1.12)  2.63 (1.18)  100  -	ntages unless otherwise stated) Patients initiating dabigatran use  1.4 69.7 (9.0) 26.3 39.2 24.2 6.5 - 2.08 (1.12) 2.67 (1.23) 100 -	ı warfarin
Women Age, mean (SD) 55-64 years 65-74 years 75-84 ≥85 years CHA2DS2VASc, mean (SD) HAS-BLED, mean (SD) Standard dose Reduced dose Comorbidities Ischemic stroke, or system TIA Cardiomyopathy	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg)  tics) (values expressed as percent Patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0  33.3  18.9  -  2.21 (1.12)  2.63 (1.18)  100  -	ntages unless otherwise stated) Patients initiating dabigatran use  1.4 69.7 (9.0) 26.3 39.2 24.2 6.5 - 2.08 (1.12) 2.67 (1.23) 100 13.9	ı warfarin
Women Age, mean (SD) 55-64 years 65-74 years 75-84 ≥85 years CHA2DS2VASc, mean (SD) HAS-BLED, mean (SD) Standard dose Reduced dose Comorbidities Ischemic stroke, or system TIA Cardiomyopathy Other dysrhythmia Heart failure	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg) tics) (values expressed as percent Patients who never initiated dabigatran use  1.4 74.4 (10.1) 15.8 30.0 33.3 18.9 - 2.21 (1.12) 2.63 (1.18) 100 10.3 15.3 29.8	ntages unless otherwise stated) Patients initiating dabigatran use  1.4 69.7 (9.0) 26.3 39.2 24.2 6.5 - 2.08 (1.12) 2.67 (1.23) 100 13.9 20.5 34.1	ı warfarin
Women Age, mean (SD) 55-64 years 65-74 years 75-84 ≥85 years CHA2DS2VASc, mean (SD) HAS-BLED, mean (SD) Standard dose Reduced dose Comorbidities Ischemic stroke, or system TIA Cardiomyopathy Other dysrhythmia	Study population The final sample to dabigatran (15 cipant characteris	included 85 344 total patients, of mg)  tics) (values expressed as percent patients who never initiated dabigatran use  1.4  74.4 (10.1)  15.8  30.0  33.3  18.9  - 2.21 (1.12)  2.63 (1.18)  100  -  10.3  15.3	ntages unless otherwise stated) Patients initiating dabigatran use 1.4 69.7 (9.0) 26.3 39.2 24.2 6.5 - 2.08 (1.12) 2.67 (1.23) 100 13.9 20.5	n warfarin

Rheumatic/other valve disorder	8.8	7.3
Renal dysfunction (see below)		
Kidney function (GFR, mL/min/1.73 m²)		
Normal GFR or mild disease (GFR ≥ 60)	52.2	64.9
Moderate (GFR 30-59)	31.2	23.4
Previous bleeding	-	-
Hypertension	-	-
Diabetes	-	-
Cancer	-	-
Concomitant medication		
Aspirin	-	-
Beta-blocker	-	-
NSAID	-	-
Calcium channel blocker	-	-
Renin angiotensin system inhibitor	-	-

#### Measure of the risk of an end point

Marginal structural models were used to determine the odds of any bleeding, gastrointestinal hemorrhage, intracranial hemorrhage, other hemorrhage, or death for patients taking dabigatran relative to warfarin. Marginal structural models reduce bias by weighting the contribution of each patient during a given week by "stabilized" weights, where stabilized weights reflect both baseline and time-varying patient covariates. Two sets of weights were calculated for each patient-week, the first reflecting patient covariates that affect anticoagulant selection, and the second reflecting characteristics that affect censoring events. Weighting observations effectively creates, for each week, a pseudopopulation in which patient covariates are no longer related to dabigatran use or censoring

#### Comparison of the risk of an end point between groups

The relationship between dabigatran use and each outcome was determined using separate weighted pooled logistic regression models for each outcome. Models were estimated using generalized estimating equations and robust standard errors

#### Confounding

The study uses marginal structural logistic regression models, which address potential bias in time-to-event studies when a time-dependent covariate is a risk factor for the event and predicts subsequent exposure

#### Sensitivity analysis

Three sets of sensitivity analyses were generated for each outcome. First, because bleeding events that are recorded on outpatient visits may be relatively minor, bleeding episodes were also defined using inpatient claims only (as a proxy for severe bleeds). Second, rather than censoring patients who died in analysis of bleeding events, a composite outcome was defined as bleeding or death. Finally, in contrast to the primary analysis in which patients were censored on the day their medication supply ran out, an "intention-to-treat" approach was used

For each sensitivity analysis, stabilized weights were recalculated and weighted pooled logistic regression models were generated

#### Software for statistical analysis

SAS 9.3 (SAS Institute Inc, Cary, North Carolina)

GFR, glomerular filtration rate; NSAIDs, nonsteroidal anti-inflammatory drugs; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Villines et al.84				
Reference		J, Fraeman K, Siu	K, Reynolds MW,	Collins J, Schwa	rtzman E. A comparison
			-		alvular atrial fibrillation
	patients in a la	arge healthcare	e system. <i>Thro</i>	mb Haemost.	2015;114:1290-1298.
	doi:10.1160/TH15-0	06-0453			
Objective	To compare the safe	ety and effective	ness of dabigatrar	n and warfarin ir	n clinical practice
Country	United States				
Design	Retrospective coho				
Data source	US Department of D		ims database		
Time period	October 1, 2009 to				
NOAC	Dabigatran 150	•			
	Dabigatran 75 r	mg			
Control	Warfarin				
Outcomes	Effectiveness		l : - \		
		emorrhagic and is	schemic)		
	• Transient ische Safety	mic attack			
	<ul><li>Major bleeding</li></ul>	,			
	Major intracrar				
	Major extracrai	_			
	-	•	ding (maior upper	GI bleeding, ma	ajor lower GI bleeding)
	<ul> <li>Major urogenit</li> </ul>		(···)	g,	.,
	Major other ble	_			
Outcome definitions	•		CD-9 codes for in	patient admittin	ng and primary inpatient
	diagnosis codes c	on the inpatien	t claim. Only 1	study outcor	me was assigned per
	hospitalization				
Population (eligibility)	_		•		prescription for either
					period. Patients had to
				_	osis at the index date or
		period, and to h	ave been continu	ously enrolled in	n the health plan during
	the baseline period	dod if thoy had a	diagnosis of hype	orthuraidism du	ring the baseline period,
		•	•	•	
	≥ 1 claim with a diagnosis of cardiac surgery, pericarditis, myocarditis, or pulmonary embolism within 3 months of the first diagnosis of AF (to exclude patients with transient				
		mondia or the		AF (to exclude	
		L medical claim fo	_		patients with transient
Population	causes of AF), or ≥ 1	L medical claim fo	_		patients with transient
Population (study sample)			_		patients with transient
Population (study sample)	causes of AF), or ≥ 1  Study population	313	_		patients with transient
<del>-</del>	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148	313	_		patients with transient
(study sample)	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364	313 O	or valvular heart d	isease during th	patients with transient ne baseline period
<del>-</del>	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364	313 O <b>s)</b> (values express	or valvular heart d	isease during th	patients with transient ne baseline period ise stated)
(study sample)	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364	313 ) s) (values express Before prop	or valvular heart d	s unless otherw  After pro	patients with transient ne baseline period
(study sample)	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364	313 O <b>s)</b> (values express	or valvular heart d	isease during th	patients with transient ne baseline period ise stated)
(study sample)	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364	313 S) (values express Before prop matching	or valvular heart d sed as percentage ensity score	s unless otherw After prop matching	patients with transient ne baseline period ise stated) pensity score
(study sample)  Population (baseline parti	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364	313 s) (values express Before prop matching Dabigatran	or valvular heart d sed as percentage sensity score  Warfarin	s unless otherw After promatching Dabigatran	patients with transient ne baseline period  ise stated) pensity score  Warfarin
Population (baseline parti	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364	s) (values express Before prop matching  Dabigatran 40.9	sed as percentage sensity score  Warfarin 42.1	s unless otherw After promatching  Dabigatran 41.2	patients with transient ne baseline period  ise stated)  pensity score  Warfarin  41.1
Population (baseline parti	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364	313 s) (values express Before prop matching Dabigatran	or valvular heart d sed as percentage sensity score  Warfarin	s unless otherw After promatching Dabigatran	patients with transient ne baseline period  ise stated) pensity score  Warfarin
Women Age, mean (SD) >65 years	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364	s) (values express Before prop matching  Dabigatran 40.9	sed as percentage sensity score  Warfarin 42.1	s unless otherw After promatching  Dabigatran 41.2	patients with transient ne baseline period  ise stated)  pensity score  Warfarin  41.1
Women Age, mean (SD) >65 years >75 years	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364	s) (values express Before prop matching  Dabigatran 40.9	sed as percentage sensity score  Warfarin 42.1	s unless otherw After promatching  Dabigatran 41.2	patients with transient ne baseline period  ise stated)  pensity score  Warfarin  41.1
Women Age, mean (SD) >65 years	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364	s) (values express Before prop matching  Dabigatran 40.9	sed as percentage sensity score  Warfarin 42.1	s unless otherw After promatching  Dabigatran 41.2	patients with transient ne baseline period  ise stated)  pensity score  Warfarin  41.1
Women Age, mean (SD) >65 years >75 years	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364  cipant characteristics	s) (values express Before prop matching  Dabigatran 40.9	sed as percentage sensity score  Warfarin 42.1	s unless otherw After promatching  Dabigatran 41.2	patients with transient ne baseline period  ise stated) pensity score  Warfarin 41.1
Women Age, mean (SD) >65 years >75 years >85 years	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364  cipant characteristics	s) (values express Before prop matching  Dabigatran 40.9 73.1 (9.6) -	sed as percentage sensity score  Warfarin 42.1 74.5 (9.2) -	s unless otherw After promatching  Dabigatran 41.2 73.8 (9.3)	patients with transient ne baseline period  ise stated) pensity score  Warfarin 41.1 74.0 (9.0) -
Women Age, mean (SD) >65 years >75 years >85 years CHA2DS2VASc, mean (SD	causes of AF), or ≥ 1  Study population  Dabigatran, n = 148  Warfarin, n = 24500  Target population  N = 167364  cipant characteristics	s) (values express Before prop matching  Dabigatran 40.9 73.1 (9.6) 3.8 (1.7)	sed as percentage sensity score  Warfarin 42.1 74.5 (9.2) 4.2 (1.8)	s unless otherw After promatching  Dabigatran 41.2 73.8 (9.3) 3.9 (1.7)	patients with transient ne baseline period  ise stated)  pensity score  Warfarin  41.1  74.0 (9.0)  3.9 (1.7)

Comorbidities					
Ischemic stroke, or systemic embolism, or	-	-	-	-	
TIA					
Ischemic stroke	3.4	5.4	3.7	3.3	
TIA	1.6	2.1	1.7	1.6	
Heart failure	11.4	18.7	12.9	12.3	
Myocardial infarction	-	-	-	-	
Vascular disease	-	-	-	-	
Coronary heart disease	18.3	25.3	19.8	19.4	
Renal dysfunction (see below)	-	-	-	-	
Kidney disease	10.2	19.8	11.7	11.1	
Previous bleeding	-	-	-	-	
Hypertension (see below)	-	-	-	-	
Hypertension diagnosis	36.3	47.6	38.3	37.2	
Hypertension diagnosis or treatment	96.1	96.5	96.5	95.7	
Diabetes mellitus	13.6	19.7	14.9	14.4	
Cancer	-	-	-	-	
Concomitant medication					_
Other antihypertensive (beta-blockers, calcium channel blockers, or diuretics or other antihypertensive agents)	9.6	12.1	10.3	9.8	
Aspirin	-	-	-	-	
Beta-blocker	-	-	-	-	
NSAID	-	-	-	-	
Calcium channel blocker	-	-	-	-	
Renin angiotensin system inhibitor	-	-	-	-	

#### Measure of the risk of an end point

Event rates for each outcome were calculated on an on-treatment basis as the total number of patients in each group who had the outcome during follow-up, divided by the total person-time of that event for the group. Person-time was calculated separately for each outcome; person-time consisted of the entire follow-up period for patients who did not have the outcome and the time to first occurrence for patients who did have the outcome

# Comparison of the risk of an end point between groups

The time-to-event was evaluated using Kaplan-Meier survival analyses. Log-rank tests were used to assess whether statistically significant differences existed between groups. Cox proportional hazards models were used to evaluate the association between the time-to-event and treatment, adjusting for appropriate covariates if propensity score matching left an imbalance between groups

#### Confounding

Propensity score matching

#### Sensitivity analysis

Hazard ratios were also calculated for a propensity score-matched subgroup of patients with prescriptions for dabigatran 150 mg or warfarin. This subgroup included patients taking dabigatran 150 mg at index and having at least 1 postindex day of dabigatran 150 mg. Patients with both dabigatran 150 mg and dabigatran 75 mg at index (n = 8) were excluded, and follow-up was stopped when the patient started using another oral anticoagulant, including dabigatran 75 mg

### Software for statistical analysis

SAS 9.3 (SAS Institute, Cary, North Carolina)

### Statistical significance reference

A conventional alpha of .05 and 2-tailed level of significance were used

AF, atrial fibrillation; NSAIDs, nonsteroidal anti-inflammatory drugs; SD, standard deviation; TIA, transient ischemic attack.

Study ID	Yao et al. <sup>85</sup>
Reference	Yao X, Abraham NS, Sangaralingham LR, Bellolio MF, McBane RD, Shah ND, Noseworthy PA.
	Effectiveness and safety of dabigatran, rivaroxaban, and apixaban versus warfarin in
	nonvalvular atrial fibrillation. <i>J Am Heart Assoc</i> . 2016;5:e003725.
	doi:10.1161/JAHA.116.003725
Objective	To evaluate the effectiveness and safety of dabigatran, rivaroxaban, and apixaban vs
	warfarin in nonvalvular atrial fibrillation
Country	United States
Design	Retrospective cohort study
Data source	The OptumLabs Data Warehouse (OLDW), which contains > 100 million privately insured and
	Medicare Advantage enrollees from the past 20 years throughout the United States
Time period	October 1, 2010, and June 30, 2015
NOAC	Apixaban 2.5 mg twice daily
	Apixaban 5 mg twice daily
	Dabigatran 150 mg
	Dabigatran 75 mg
	Rivaroxaban
	Rivaroxaban
Control	Warfarin
Outcomes	Effectiveness
	Stroke or systemic embolism, including ischemic stroke, hemorrhagic stroke, and
	systemic embolism
	Safety
	Major bleeding, including gastrointestinal bleeding, intracranial bleeding, and bleeding
0	from other sites
Outcome definitions	Outcomes were identified using ICD-9 codes in the primary or secondary diagnosis positions
Demulation (aliaibility)	of inpatient claims. The positive predictive value in general ranged from 85% to 95%
Population (eligibility)	Adult patients (aged ≥ 18 years) with nonvalvular AF who were users of apixaban,
	dabigatran, rivaroxaban, and warfarin during the study period were identified  Patients were required to have at least 12 months of continuous enrollment in both medical
	and pharmacy insurance plans prior to the index date, defined as the baseline period. For
	patients who only filled warfarin and never filled NOACs, the index medication was defined
	as the first warfarin fill after enrolling in health plans for at least 12 months; therefore, both
	warfarin and NOAC cohorts included patients who had previous warfarin exposure but none
	had previous NOAC exposure. All patients were required to have at least 1 inpatient or
	outpatient AF diagnosis at either primary or secondary positions on the index date or at
	baseline
	Patients who had valvular heart disease, end-stage chronic kidney disease, kidney transplant,
	or dialysis at any time were excluded. Also excluded were patients who underwent hip or
	knee replacement surgery within 6 weeks prior to the index date and who had a diagnosis of
	deep vein thrombosis or pulmonary embolism at baseline
Population	Study population
(study sample)	Apixaban, n = 7698
	Dabigatran, n = 14 881
	Rivaroxaban, n = 16 795
	Warfarin, n = 85 869
	Target population N = 339 606
	Excluded:
	<ul> <li>Patients with AF diagnosis at baseline, n = 162 883</li> </ul>
	<ul> <li>Patients with Ai diagnosis at baseline, ii = 102 863</li> <li>Patients without dialysis, kidney transplant, end-stage renal disease, or valvular heart</li> </ul>
	disease, n = 29 989
	<ul> <li>Patients without VTE at baseline or joint replacement within 6 weeks prior to the index</li> </ul>
	date, n = 20556
	<ul> <li>Adult patients who had valid demographic data, were not admitted for primary</li> </ul>
	outcomes or died on the index date, and the index medication was not edoxaban, n =
	935

Population (baseline participant characteristics) (values expressed as percentages unless otherwise stated)						
	Apixaban	Warfarin	Dabigatran	Warfarin	Rivaroxaban	Warfarin
Women	46.9	46.8	39.7	40.4	43.2	43.7
Age, median (IQR)	73 (66-81)	73 (66-81)	70 (62-78)	70 (61-78)	72 (64-79)	72 (64-
						80)
>65-74 years	30.9	30.9	31.5	30.4	32.9	32.8
≥75 years	46.4	46.1	34.4	34.6	41.8	41.4
>85 years	-	_	-	-	-	-
CHA2DS2VASc, median (IQR)	4 (3-5)	4 (3-5)	3 (2-5)	3 (2-5)	4 (2-5)	4 (2-5)
HAS-BLED, median (IQR)	2 (2-3)	2 (2-3)	2 (1-3)	2 (1-3)	2 (2-3)	2 (2-3)
Standard dose	81.9	-	91.2	-	78.5	-
Reduced dose	18.1	_	8.8	-	21.5	-
Comorbidities						
Ischemic stroke, or systemic	15.1	15.5	13.8	14.2	14.0	14.4
embolism, or TIA						
Congestive heart failure	31.4	31.9	27.2	27.3	28.9	29.5
Myocardial infarction	-	-	-	-	-	-
Vascular disease	28.3	28.4	23.1	23.4	26.9	27.5
Abnormal renal function	10.1	10.1	5.6	5.6	7.4	7.3
Bleeding history or	31.4	31.8	29.4	30.1	30.7	31.5
predisposition						
Hypertension	87.5	87.5	85.2	84.9	85.7	85.9
Diabetes mellitus	35.0	34.3	34.0	34.0	34.6	35.1
Cancer	-	-	-	-	-	-
Concomitant medication						
Aspirin (see below)	-	-	-	-	-	-
Antiplatelets/NSAID	12.1	12.5	10.3	10.2	11.6	11.6
Beta-blocker	47.5	47.8	44.6	44.5	45.6	45.0
NSAID	-	-	-	-	-	-
Other calcium channel blocker	16.6	16.3	13.3	13.4	14.9	14.7
Renin angiotensin system	47.1	47.2	45.4	45.0	45.5	46.0
inhibitor						
Amiodarone	9.6	10.1	8.4	8.4	8.3	8.8
Dronedarone	2.8	2.6	3.7	4.2	2.4	2.6
Other antiarrhythmic drug	11.1	10.7	12.8	12.9	11.0	11.2
Digoxin	8.9	9.1	13.6	13.6	10.8	11.1
Diltiazem	16.9	17.0	17.5	17.3	17.5	17.9
Verapamil	1.3	1.3	1.9	1.9	1.7	1.7
Statin	45.6	46.7	41.5	41.2	43.0	43.9
Other cholesterol reducer	5.9	5.9	7.3	7.6	5.7	5.7
Diuretics	32.3	31.8	28.5	28.5	29.6	29.6
Metformin	11.1	10.7	10.2	9.9	10.6	11.0
Sulfonylurea	6.0	6.0	6.0	5.9	6.0	5.9
Thiazolidinedione	0.8	0.8	1.5	1.3	0.9	0.9
Insulin	7.3	7.3	6.8	7.1	7.1	7.5
Other diabetes drug	3.1	2.9	2.8	2.9	2.7	2.9
Antiulcer agent	21.9	21.4	18.4	18.4	20.3	21.2
Antidepressant	16.2	16.1	14.5	15.0	15.3	15.6

### Measure of the risk of an end point

Three matched cohorts (dabigatran vs warfarin, rivaroxaban vs warfarin, and apixaban vs warfarin) using 1:1 propensity score matching without replacement and with a caliper of 0.01. Propensity scores for NOAC treatment were estimated using logistic regression

# Comparison of the risk of an end point between groups

Cox proportional hazards regression was used to compare outcomes in each of the propensity score-matched cohorts

# Sensitivity analysis

The risk of stroke or systemic embolism was compared, including all events that occurred between the index date and the end of the enrollment or study period (an intention-to-treat

analytic approach). The study population was limited to patients initiating NOACs from January 1, 2013 to June 30, 2015

Because apixaban became available in the United States in December 2012, apixaban users had a shorter follow-up time than those of other agents. Sensitivity analyses were conducted to censor patients at 6 months so that all drugs had a similar follow-up time

Patients who had catheter ablation within 2 months prior to the index medication and those who had cardioversion 1 month before and 1 month after the index medication were excluded

Subgroup analyses were conducted based on baseline time in therapeutic range (TTR) in patients with prior warfarin experience and based on follow-up TTR. The TTR was calculated using Rosendaal's method, which uses linear interpolation to assign an INR value to each day between successive observed INR values. Gaps of 56 days between INR values were not interpolated. After interpolation, the percentage of time during which the interpolated INR values lay between 2.0 and 3.0 (from 0% to 100%) was calculated. The follow-up TTRs of NOAC-treated patients were assigned based on the TTRs of their matched warfarin controls. A labile INR was defined as TTR < 60%

### Software for statistical analysis

SAS 9.4 (SAS Institute Inc) and Stata 14.1 (Stata Corp)

AF, atrial fibrillation; IQR, interquartile range; NOACs, nonvitamin K antagonist oral anticoagulants; NSAIDs, nonsteroidal anti-inflammatory drugs; TIA, transient ischemic attack; TTR, time in therapeutic range; VTE, venous thromboembolism.

# FIGURE LEGEND

Figure of the supplementary material. HRs with 95%Cls for ischemic stroke (A), ischemic stroke plus systemic embolism (B), major bleeding (C), and intracranial hemorrhage (D) in patients with AF treated with DOACs vs VKAs using the longer-term data available in each study. 95%Cl, 95% confidence interval; AF, atrial fibrillation; DOACs, direct oral anticoagulants; HR, hazard ratio; IV, interval variable, SE, systemic embolism, VKAs, vitamin K antagonists.