ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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**Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Jadwiga
2. Surname (Last Name) Wedzicha
3. Date 09-June-2016
4. Are you the corresponding author? ☑ No
5. Manuscript Title
   Global Strategy for the Diagnosis, Management, and Prevention of Chronic Obstructive Lung Disease 2017 Report: GOLD Executive Summary
6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☑ No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? ☑ Yes ☐ No

If yes, please fill out the appropriate information below.

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**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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**Section 6. Disclosure Statement**

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Dr. Wedzicha reports non-financial support from Novartis, during the conduct of the study; personal fees and non-financial support from Novartis, grants, personal fees and non-financial support from GlaxoSmithKline, personal fees from Pfizer, grants, personal fees and non-financial support from Takeda, personal fees and non-financial support from Astra Zeneca, personal fees and non-financial support from Boehringer Ingelheim, grants and personal fees from Johnson and Johnson, grants and personal fees from Vifor Pharma, personal fees from Bayer, personal fees from Chiesi, personal fees from Napp, outside the submitted work.
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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. **Given Name (First Name)**
   - Bartolome

2. **Surname (Last Name)**
   - Celli

3. **Date**
   - 26-January-2017

4. **Are you the corresponding author?**
   - Yes [ ]
   - No [✔]

   **Corresponding Author’s Name**
   - Claus Vogelmeier

5. **Manuscript Title**
   - GOLD document

6. **Manuscript Identifying Number (if you know it)**

### Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? [ ] Yes [ ]

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☐ Yes  ☑ No

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**Section 1. Identifying Information**

1. Given Name (First Name)  
   Alvar

2. Surname (Last Name)  
   Agusti

3. Date  
   27-January-2017

4. Are you the corresponding author?  
   Yes [ ]  No [x]

   Corresponding Author’s Name  
   Claus Vogelmeier

5. Manuscript Title  
   Global Initiative for the Diagnosis, Management and Prevention of Chronic Obstructive Lung Disease (GOLD) 2017 Report

6. Manuscript Identifying Number (if you know it)  
   not known

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Are there any relevant conflicts of interest?  
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Dr. Agusti reports grants and personal fees from Astra-Zeneca, grants and personal fees from GSK, grants from MSD, grants and personal fees from Menarini, personal fees from Novartis, personal fees from TEVA, personal fees from Chiesi, outside the submitted work;.

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1. Given Name (First Name)  
   Antonio

2. Surname (Last Name)  
   Anzueto

3. Date  
   17-January-2017

4. Are you the corresponding author?  
   Yes ☐ No ☑

5. Manuscript Title

6. Manuscript Identifying Number (if you know it)

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No

Section 5. Relationships not covered above

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Yes, the following relationships/conditions/circumstances are present (explain below):

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Section 6. Disclosure Statement

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Dr. Anzueto reports grants from GSK, personal fees from GSK, personal fees from AstraZeneca, personal fees from Novartis, personal fees from BI, outside the submitted work; .

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**Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. 
Identifying Information

1. Given Name (First Name) 
Peter

2. Surname (Last Name) 
Barnes

3. Date 
26-January-2017

4. Are you the corresponding author? 
☐ Yes  ✔ No

5. Manuscript Title 
Global Initiative for the Diagnosis, Management and Prevention of Chronic Obstructive Lung Disease (GOLD) 2017 Report

Section 2. 
The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? 
Are there any relevant conflicts of interest?  ✔ Yes  ☐ No

Section 3. 
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Dr. Barnes reports grants and personal fees from AstraZeneca, personal fees from Zambon, grants and personal fees from Boehringer Ingelheim, grants and personal fees from Chiesi, personal fees from Novartis, personal fees from GSK, outside the submitted work; .

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Section 1. Identifying Information

1. Given Name (First Name)  
Jean

2. Surname (Last Name)  
Bourbeau

3. Date  
27-January-2017

4. Are you the corresponding author?  
Yes ☐ No ☑

Corresponding Author’s Name  
Claus Vogelmeier

5. Manuscript Title  
2017 GOLD Executive Summary

6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  
Yes ☐ No ☑

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Are there any relevant conflicts of interest?  
Yes ☑ No ☐

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Dr. Bourbeau reports grants from Research Chair COPD McGill University, grants from Research Institute of the McGill University Health Centre, grants from Research Chair COPD from GlaxoSmithKline, grants from CanCOLD consortium grant by Aerocrine, Almirall, AstraZeneca, Boehringer-Ingelheim, GlaxoSmithKline, Novartis, grants from Canadian Respiratory Research Network (CRRN) - Canadian Institute of Health Research (CIHR), outside the submitted work; .

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Section 1. Identifying Information

1. Given Name (First Name)  Gerard
2. Surname (Last Name)  Criner
3. Date  26-January-2017

4. Are you the corresponding author?  Yes ☐  No ☑

5. Manuscript Title

6. Manuscript Identifying Number (if you know it)

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4. Are you the corresponding author?  
   - [ ] Yes  
   - [x] No  

Corresponding Author's Name  
Claus F. Vogelmeier

5. Manuscript Title  
Global Initiative for the Diagnosis, Management and Prevention of Chronic Obstructive Lung Disease (GOLD) 2017 Report

6. Manuscript Identifying Number (if you know it)

### Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
- [ ] Yes  
- [x] No

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- [ ] Yes  
- [x] No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
- [ ] Yes  
- [x] No
ICMJE Form for Disclosure of Potential Conflicts of Interest

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Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Leonardo
2. Surname (Last Name)  Fabbri
3. Date  18-January-2017
4. Are you the corresponding author?  Yes  ✔  No
5. Manuscript Title
6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest?  Yes  ✔  No

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If yes, please fill out the appropriate information below.
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**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  ☐ Yes  ✔ No

---

Fabbri
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

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1. Given Name (First Name)  
   Fernando

2. Surname (Last Name)  
   Martinez

3. Date  
   30-January-2017

4. Are you the corresponding author?  
   Yes ☑  No

   Corresponding Author’s Name  
   Fernando Studart

5. Manuscript Title  
   Serum Immunoglobulin G and Risk of Exacerbations and Hospitalizations in Chronic Obstructive Pulmonary Disease

6. Manuscript Identifying Number (if you know it)  
   JACI-D-16-01358R2

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ICMJE Form for Disclosure of Potential Conflicts of Interest

WebMD □ ✔ □ □ COPD CME program
PeerView Network □ ✔ □ □ COPD CME programs
California Society of Allergy and Immunology □ ✔ □ □ ACO CME program
Chiesi □ ✔ □ □ COPD CME presentations
Puerto Rico Thoracic Society □ ✔ □ □ COPD CME presentations

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? □ Yes ✔ No

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Dr. Martinez reports grants from NHLBI, during the conduct of the study; grants from National Institutes of Health, personal fees from Continuing Education, personal fees from Forest Laboratories, other from Janssen, personal fees from GlaxoSmithKline, personal fees from Nycomed/Takeda, personal fees from AstraZeneca, personal fees from Boehringer Ingelheim, personal fees from Bellerophon (formerly Ikaria), personal fees from Genentech, personal fees from Novartis, personal fees from Pearl, personal fees from Roche, personal fees from Sunovion, personal fees from Theravance, personal fees from CME Incite, personal fees from Annenberg Center for Health Sciences at Eisenhower, personal fees from Integritas, personal fees from InThought, personal fees from National Association for Continuing Education, personal fees from Paradigm Medical Communications, LLC, personal fees from PeerVoice, personal fees from UpToDate, personal fees from Haymarket Communications, personal fees from Western Society of Allergy and Immunology, personal fees from Proterixbio (formerly Bioscale), personal fees from Unity Biotechnology, personal fees from ConCert Pharmaceuticals, personal fees from Lucid, personal fees from Methodist Hospital, personal fees from Columbia University, personal fees from Prime Healthcare Ltd, personal fees from WebMD, personal fees from PeerView Network, personal fees from California Society of Allergy and Immunology, personal fees from Chiesi, personal fees from Puerto Rico Thoracic Society, outside the submitted work.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Peter

2. Surname (Last Name)  
   Frith

3. Date  
   27-January-2017

4. Are you the corresponding author?  
   ✔ Yes  
   No

   Corresponding Author’s Name  
   Claus F. Vogelmeier

5. Manuscript Title  
   Global Initiative for the Diagnosis, Management and Prevention of Chronic Obstructive Lung Disease (GOLD) 2017 Report

6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  

Are there any relevant conflicts of interest?  
   ✔ Yes  
   No

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  
   ✔ Yes  
   No

If yes, please fill out the appropriate information below.

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**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☐ Yes  ☑ No

**Section 5. Relationships not covered above**

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Dr. Frith reports non-financial support and other from Lung Foundation Australia, personal fees and other from AstraZeneca, personal fees and other from Boehringer Ingelheim, personal fees and other from Menarini, personal fees and other from Novartis, personal fees and other from MundiPharma, personal fees and other from CSL-Behring, personal fees and other from GlaxoSmithKline, outside the submitted work;  

Frith
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   David

2. Surname (Last Name)  
   Halpin

3. Date

4. Are you the corresponding author?  
   [ ] Yes  ✔ No

   Corresponding Author’s Name  
   Claus Vogemeier

5. Manuscript Title  
   Global Initiative for the Diagnosis, Management and Prevention of Chronic Obstructive Lung Disease (GOLD) 2017 Report Executive Summary

6. Manuscript Identifying Number (if you know it)

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Are there any relevant conflicts of interest?  [ ] Yes  ✔ No

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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   Nicolas  

2. Surname (Last Name)  
   Roche  

3. Date  
   25-January-2017  

4. Are you the corresponding author?  
   Yes [ ]  No [x]  

   Corresponding Author's Name  
   Francesco Blasi  

5. Manuscript Title  
   Pneumococcal vaccination and chronic respiratory diseases  

6. Manuscript Identifying Number (if you know it)

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ICMJE Form for Disclosure of Potential Conflicts of Interest

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</table>

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☐ Yes  ☑ No

**Section 5. Relationships not covered above**

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Dr. Roche reports personal fees from Pfizer, during the conduct of the study; grants and personal fees from Boehringer Ingelheim, grants and personal fees from Novartis, personal fees from Teva, personal fees from GSK, personal fees from AstraZeneca, personal fees from Chiesi, personal fees from Mundipharma, personal fees from Cipla, grants and personal fees from Pfizer, personal fees from Sanofi, personal fees from Sandoz, personal fees from 3M, personal fees from Zambon, outside the submitted work; .

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Section 1. Identifying Information

1. Given Name (First Name)  
   Roberto

2. Surname (Last Name)  
   Rodriguez-Roisin

3. Date  
   30-January-2017

4. Are you the corresponding author?  
   Yes ✔ No

   Corresponding Author’s Name  
   Claus Vogelmeier

5. Manuscript Title  
   Global Strategy for the Diagnosis, Management, and Prevention of Chronic Obstructive Lung Disease 2017 Report

6. Manuscript Identifying Number (if you know it)  
   ERJ-00214-2017

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  
   Yes ✔ No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

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Dr. RODRIGUEZ-ROISIN reports personal fees from AstraZeneca, personal fees from Boehringer Ingelheim, grants from Menarini, personal fees from Novartis, personal fees from Pearl Therapeutics, and personal fees from TEVA during the conduct writing this report, all related to COPD. He is member of the GOLD Board of Directors and of the Scientific Committee.

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4. Are you the corresponding author? [ ] Yes [X] No
   Corresponding Author's Name
   Claus F. Vogelmeier

5. Manuscript Title
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6. Manuscript Identifying Number (if you know it)

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Dr. Chen has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
Don

2. Surname (Last Name)  
Sin

3. Date  
25-January-2017

4. Are you the corresponding author?  
Yes ☐ No ☑

Corresponding Author’s Name  
C. Volgelmeier

5. Manuscript Title  
Global Initiative for the Diagnosis, Management and Prevention of Chronic Obstructive Lung Disease (GOLD) 2017 Report

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<tr>
<td>AstraZeneca</td>
<td>☑</td>
<td>☑</td>
<td>☐</td>
<td>☐</td>
<td>I have served on AZ’s international COPD advisory board since 2013 and have received research funding for a study on the airway microbiota in COPD patients. I have received honoraria for speaking engagements and organizing inhaler education for general practitioners.</td>
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<td>Boehringer Ingelheim</td>
<td>✔</td>
<td>✔</td>
<td></td>
<td>□</td>
<td>I have received honorarium for organizing a scientific meeting to discuss asthma-COPD Overlap Syndrome in the American Thoracic Society meeting in Denver (May 2015) and received research funding for investigator-initiated study. I have also served on the COPD advisory board.</td>
</tr>
<tr>
<td>Merck Frosst</td>
<td>✔</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>I have received research funding from Merck for an investigator-initiated study to translate genetic signals to druggable targets.</td>
</tr>
<tr>
<td>Novartis</td>
<td>□</td>
<td>✔</td>
<td></td>
<td>□</td>
<td>I received honorarium for serving on its COPD advisory board.</td>
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- Yes  
- No

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Singh
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Dave  

2. Surname (Last Name)  
   Singh  

3. Date  
   17-January-2017  

4. Are you the corresponding author?  
   [ ] Yes  ✔ No  

   Corresponding Author’s Name  
   Claus Vogelmeier

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Are there any relevant conflicts of interest?  
   ✔ Yes  [ ] No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  

- [ ] Yes  
- ✔ No

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2. The work under consideration for publication.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Robert

2. Surname (Last Name)  
   Stockley

3. Date  
   31-January-2017

4. Are you the corresponding author?  
   Yes  ✔  No

   Corresponding Author’s Name  
   Claus Vogelmeier

5. Manuscript Title  
   Global Strategy for the Diagnosis, Management, and Prevention of Chronic Obstructive Lung Disease 2017 Report

6. Manuscript Identifying Number (if you know it)  
   ERJ-00214-2017

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
   Yes  ✔  No

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Section 1. Identifying Information

1. Given Name (First Name)  Jørgen
2. Surname (Last Name)     Vestbo
3. Date                    26-January-2017
4. Are you the corresponding author? Yes ✔ No

Corresponding Author’s Name
Claus F Vogelmeier

5. Manuscript Title
Global Initiative for the Diagnosis, Management and Prevention of Chronic Obstructive Lung Disease (GOLD) 2017 Report

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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   M. VICTORINA  
2. Surname (Last Name)  
   LOPEZ VARELA  
3. Date  
   17-January-2017  
4. Are you the corresponding author?  
   ✔ Yes  
   No  
5. Manuscript Title  
   Global Initiative for the Diagnosis, Management and Prevention of Chronic Obstructive Lung Disease (GOLD) 2017 Report  
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**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   ✔ Yes  
   No
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# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. **Given Name (First Name)**  
   Claus  
2. **Surname (Last Name)**  
   Vogelmeier  
3. **Date**  
   17-January-2017  
4. Are you the corresponding author?  
   ✔ Yes   
   No  
5. **Manuscript Title**  
   Global Initiative for the Diagnosis, Management and Prevention of Chronic Obstructive Lung Disease (GOLD) 2017 Report - Executive Summary  
6. **Manuscript Identifying Number (if you know it)**  

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  
Are there any relevant conflicts of interest?  
✔ Yes  
No  

## Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication.  
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✔ Yes  
No  
If yes, please fill out the appropriate information below.

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Dr. Vogelmeier reports personal fees from Almirall, personal fees from AstraZeneca, personal fees from Boehringer Ingelheim, personal fees from Chiesi, grants and personal fees from GlaxoSmithKline, grants and personal fees from Grifols, personal fees from Mundipharma, personal fees from Novartis, personal fees from Takeda, personal fees from Cipla, personal fees from Berlin Chemie/Menarini, outside the submitted work; .
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1. Given Name (First Name)  
Masaharu

2. Surname (Last Name)  
Nishimura

3. Date  
02-February-2017

4. Are you the corresponding author?  
☑ Yes  
☐ No

5. Manuscript Title  
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